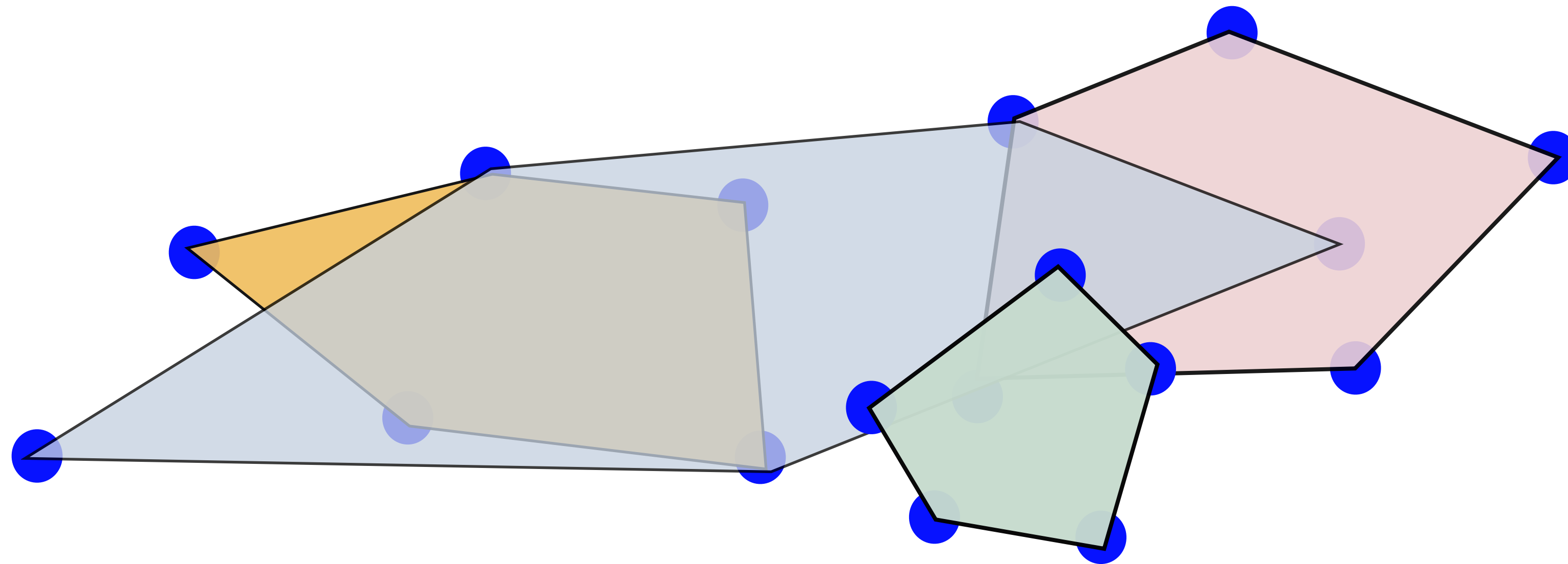


Automated Mathematical Discovery and Verification



Minimizing Pentagons in the Plane

Bernardo Subercaseaux, John Mackey, Marijn Heule, and Ruben Martins

Carnegie Mellon University

Automated Reasoning (CS) meets Math



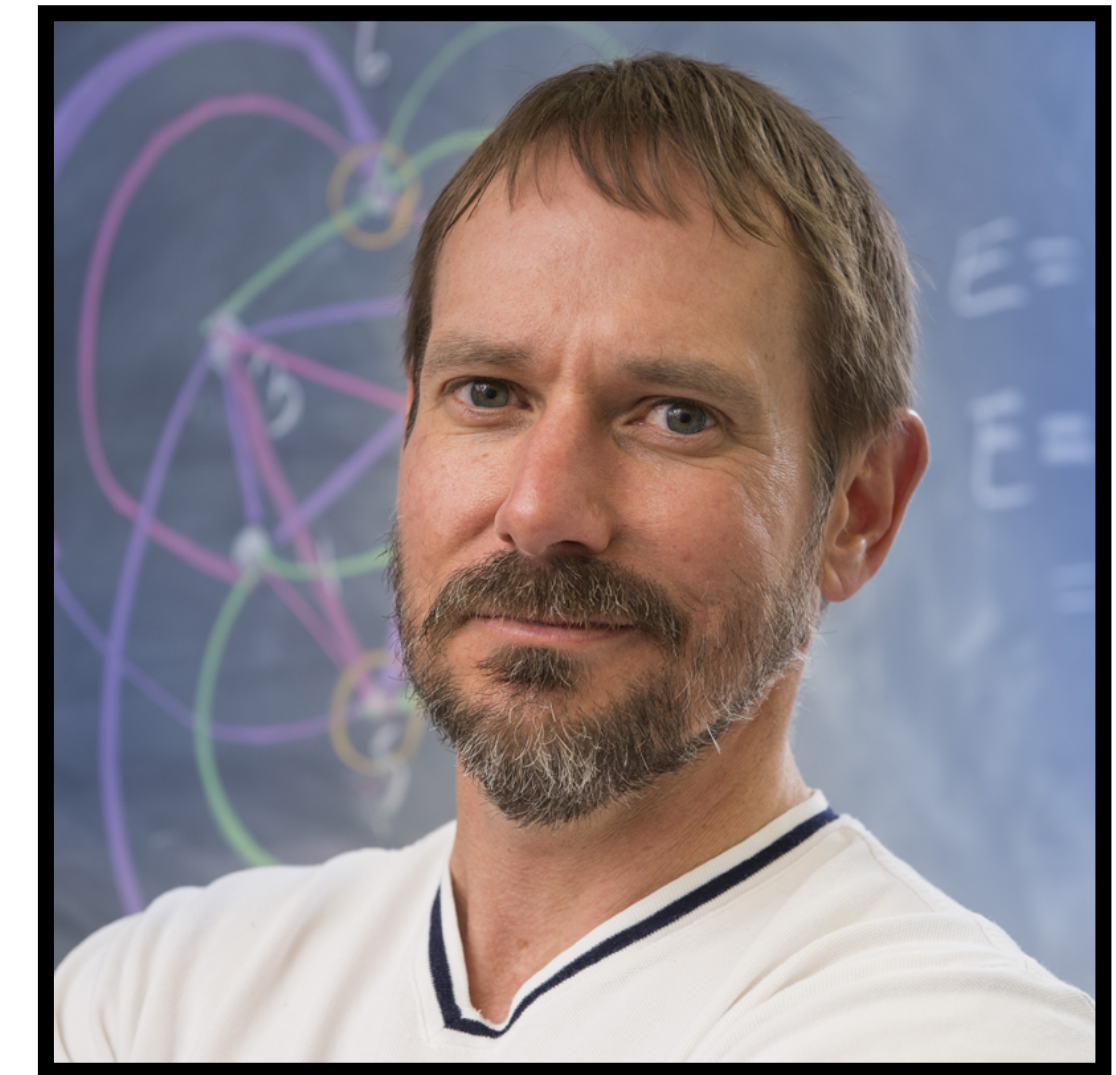
Marijn Heule
CMU CS



Ruben Martins
CMU CS



Me
CMU CS



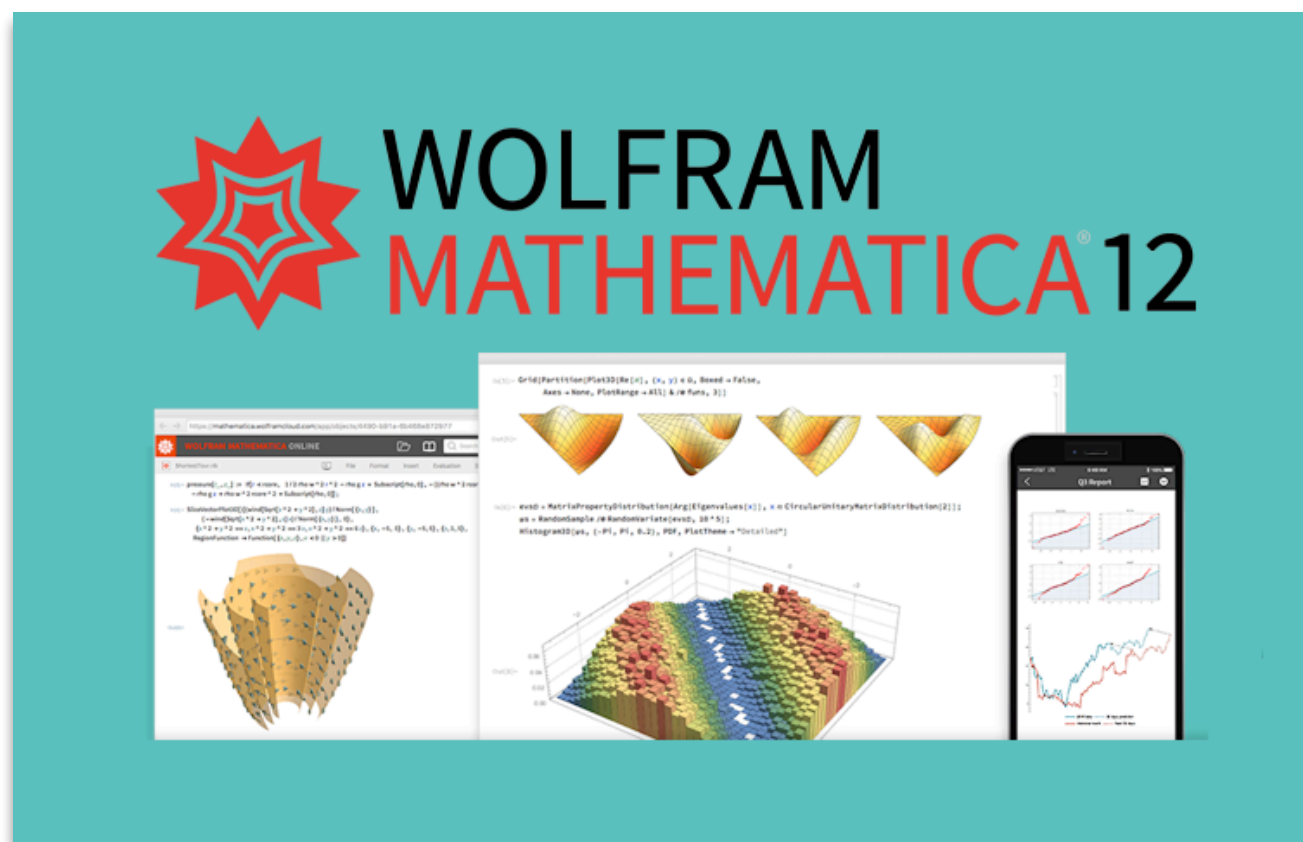
John Mackey
CMU Math

A mathematician's toolbox



Cauchy Schwarz

$$\left(\sum_{i=1}^n u_i v_i \right)^2 \leq \left(\sum_{i=1}^n u_i^2 \right) \left(\sum_{i=1}^n v_i^2 \right)$$



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THE ON-LINE ENCYCLOPEDIA OF INTEGER SEQUENCES®

founded in 1964 by N. J. A. Sloane

1, 3, 5, 7, 9 [Hints](#)

(Greetings from [The On-Line Encyclopedia of Integer Sequences!](#))

Search: **seq:1,3,5,7,9**
Displaying 1-10 of 450 results found. page 1 2 3 4 5 6 7 8 9 10 ... 45

Sort: [relevance](#) | [references](#) | [number](#) | [modified](#) | [created](#) Format: [long](#) | [short](#) | [data](#)

A005408 The odd numbers: $a(n) = 2*n + 1$. +20
805

(Formerly M2400)

1, 3, 5, 7, 9, 11, 13, 15, 17, 19, 21, 23, 25, 27, 29, 31, 33, 35, 37, 39, 41, 43, 45, 47, 49, 51, 53, 55, 57, 59, 61, 63, 65, 67, 69, 71, 73, 75, 77, 79, 81, 83, 85, 87, 89, 91, 93, 95, 97, 99, 101, 103, 105, 107, 109, 111, 113, 115, 117, 119, 121, 123, 125, 127, 129, 131 ([list](#); [graph](#); [refs](#); [listen](#); [history](#); [text](#); [internal format](#))

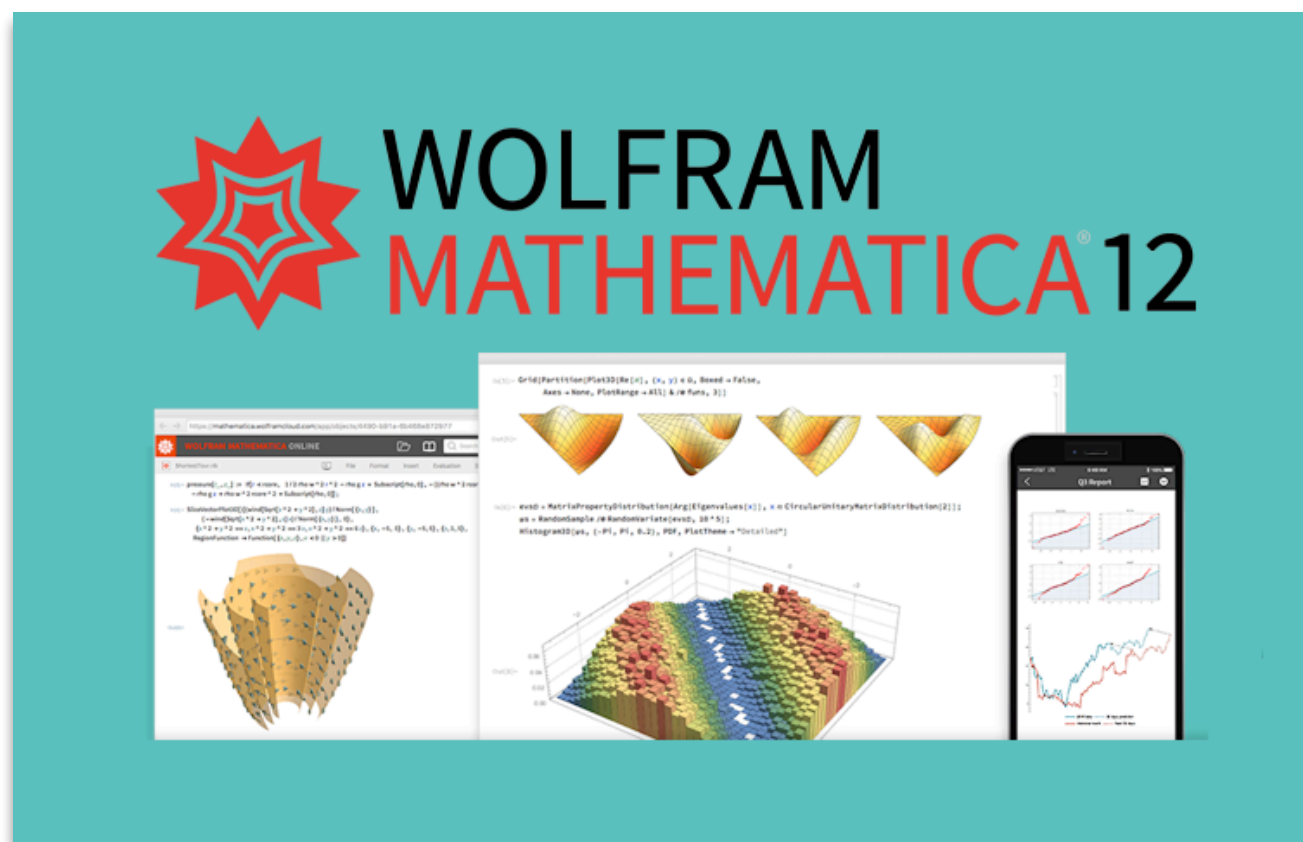
OFFSET 0,2

A mathematician's toolbox



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OFFSET 0,2

Satisfiability $\in \mathcal{NP}$

$$(x_1 \vee \neg x_2 \vee x_3) \wedge (x_1 \wedge (\neg x_1 \vee x_2) \wedge x_3)$$

SAT Solvers?

SAT Solvers

- Hyper-optimized programs for solving a single problem: *Boolean satisfiability*

$$(x_1 \vee x_4 \vee \overline{x_5}) \wedge (x_2 \vee \overline{x_3} \vee x_4) \wedge (\overline{x_1} \vee \overline{x_4}) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3)$$

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$$x_1 = 0, \quad x_2 = 1, \quad x_3 = 1, \quad x_4 = 1, \quad x_5 = 0$$

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$$x_1 = 0, \quad x_2 = 1, \quad x_3 = 1, \quad x_4 = 1, \quad x_5 = 0$$

- Because of NP-completeness, it can encode a variety of combinatorial problems!


```

def encode(clues):
    """
    Encode a sudoku puzzle as a SAT problem.

    Parameters
    -----
    clues : list of lists of ints
            9x9 int matrix, non-zero values represent clues

    Returns
    -----
    model : eznf.Model
            A SAT model representing the sudoku puzzle.
    """
    Z = modeler.Modeler() # modeler object from my library, always starts like this.

    # create vars
    for i in range(9):
        for j in range(9):
            for n in range(1, 10):
                Z.add_var(f"x_{i, j, n}", description=f"Cell ({i}, {j}) gets number {n}")

```



```

# exactly one number per cell
for i in range(9):
    for j in range(9):
        Z.exactly_one([f"x_{i, j, n}" for n in range(1, 10)])

# respect clues
for i in range(9):
    for j in range(9):
        if clues[i][j] != 0:
            Z.constraint(f"x_{i, j, clues[i][j]}")

# exactly-one constraints
for n in range(1, 10):
    # rows
    for i in range(9):
        Z.exactly_one([f"x_{i, j, n}" for j in range(9)])

    # cols
    for j in range(9):
        Z.exactly_one([f"x_{i, j, n}" for i in range(9)])

# sub_grids
sub_grids = [[[ ] for sj in range(3)] for si in range(3)]
for i in range(9):
    for j in range(9):
        sub_grids[i//3][j//3].append((i, j))
for si in range(3):
    for sj in range(3):
        Z.exactly_one([f"x_{*cell, n}" for cell in sub_grids[si][sj]])

```

SAT Solvers in Math

Some success stories:

- (2014) Boolean Erdős Discrepancy Problem
- (2016) Boolean Pythagorean Triples
- (2018) Schur Number 5
- (2019) Keller's Conjecture
- (2023) Packing Chromatic Number of the Grid
- (2024) An Empty Hexagon in every 30 points

SAT Solvers in Math

Some success stories:

- (2014) Boolean Erdős Discrepancy Problem

These all follow a common pattern:

Using SAT solvers to tackle a hard combinatorial problem that brute force computation (i.e., backtracking) would take forever on

- (2024) An Empty Hexagon in every 30 points

SAT Solvers in Math

Some success stories:

- (2014) Boolean Erdős Discrepancy Problem

These all follow a common pattern:

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Today we will talk about a different use:

SAT solvers can be used at different stages of mathematical research; to build examples, get ideas and ellicit conjectures.

- (2024) An Empty Hexagon in every 30 points

Problem: Minimizing Convex Pentagons

Given an integer N , what is, $\mu_5(N)$ the minimum number of convex pentagons we can get by placing N points in the Euclidean plane without 3 on a line?

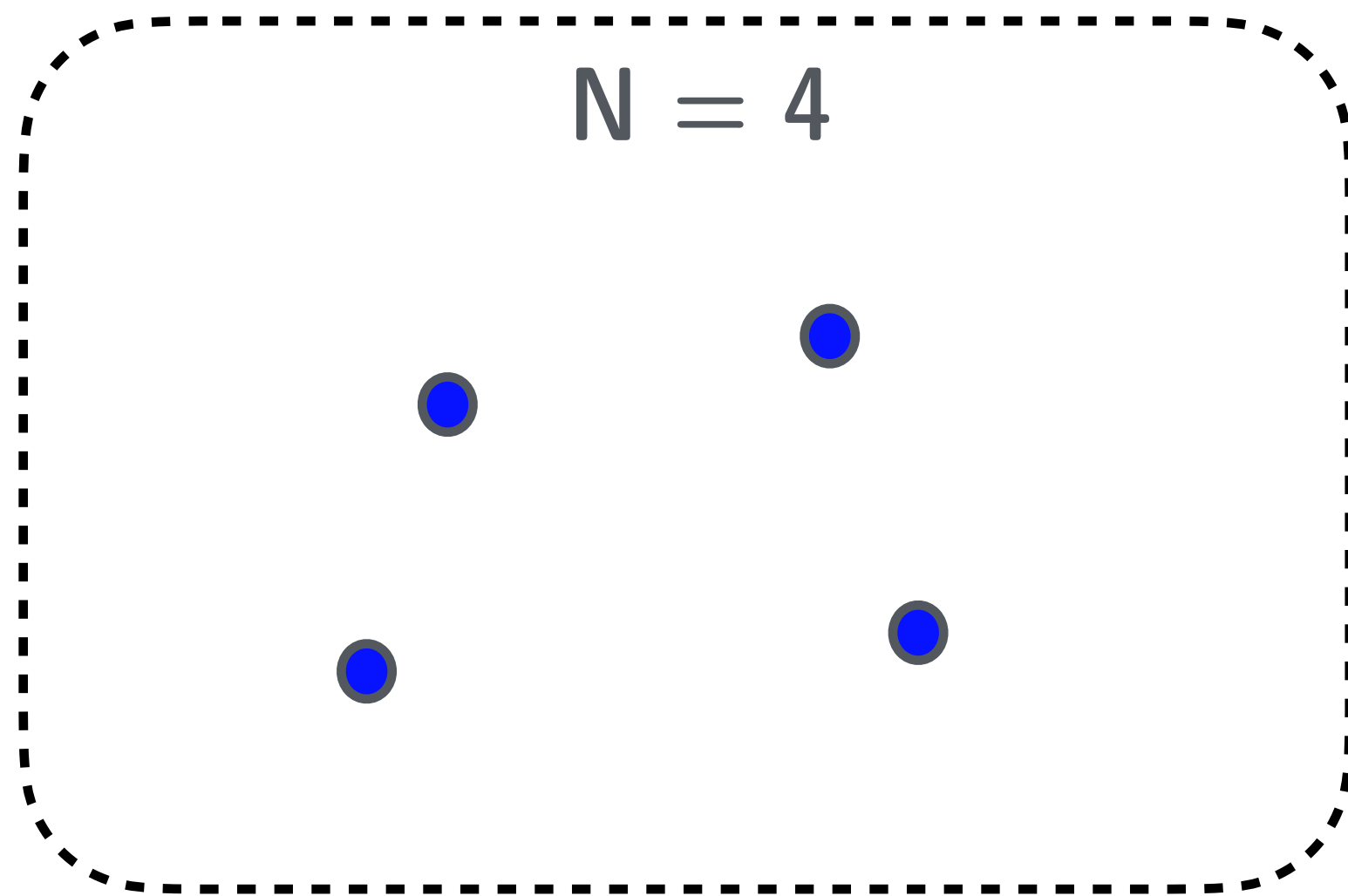
Problem: Minimizing Convex Pentagons

Given an integer N , what is, $\mu_5(N)$ the minimum number of convex pentagons we can get by placing N points in the Euclidean plane without 3 on a line?

$N = 4$

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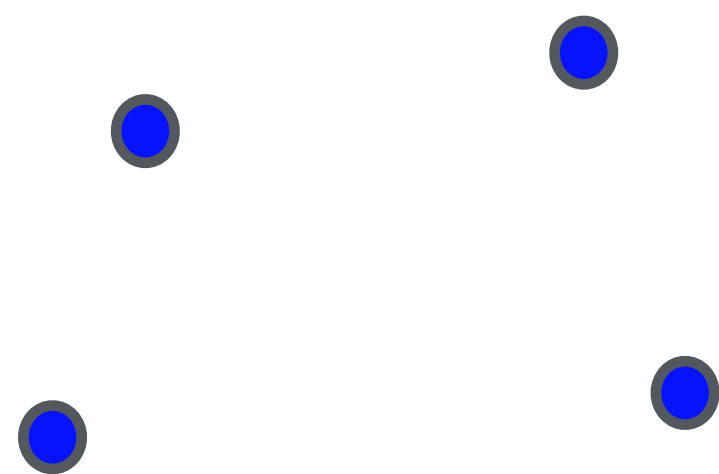


Answer = 0

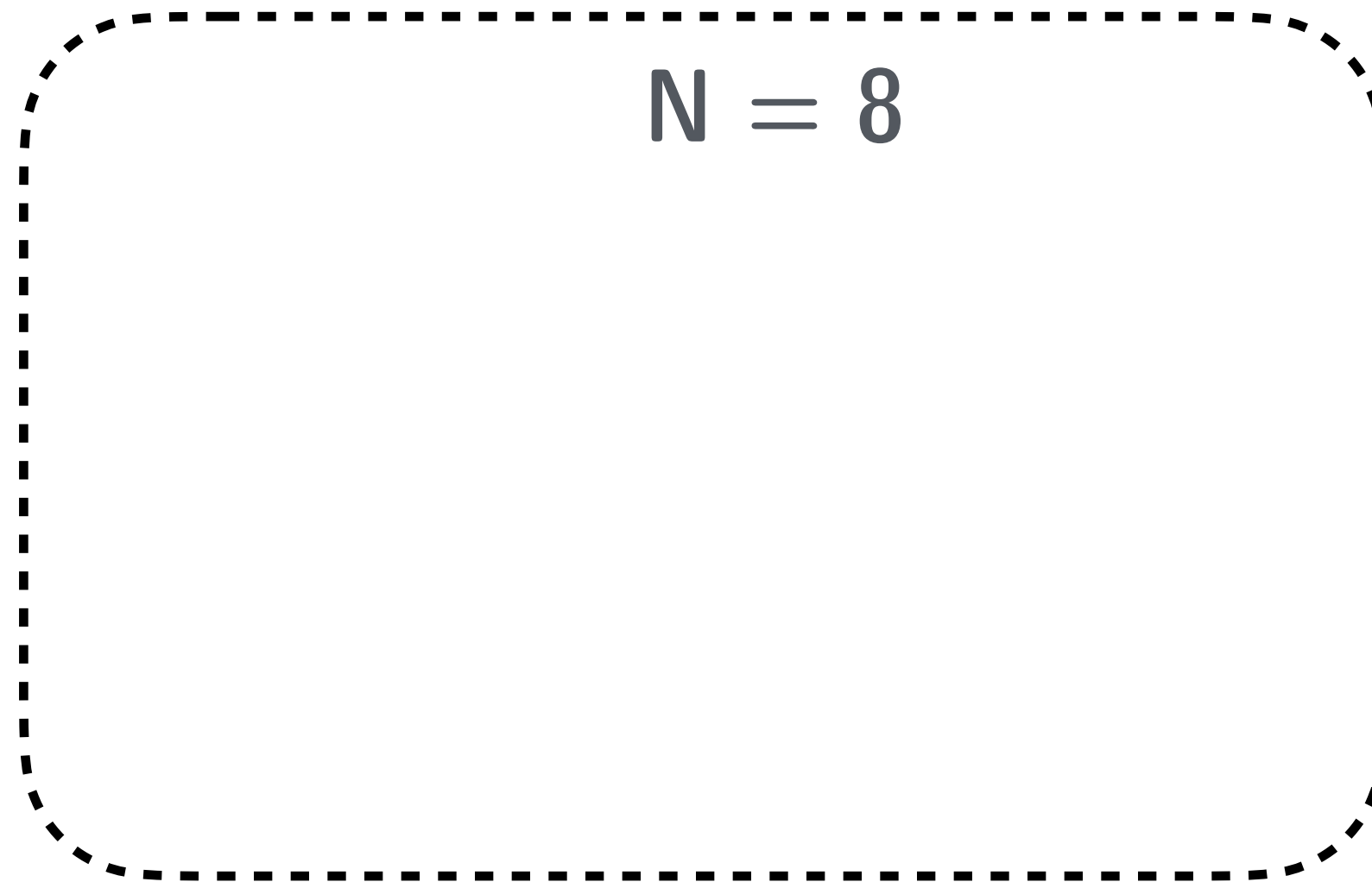
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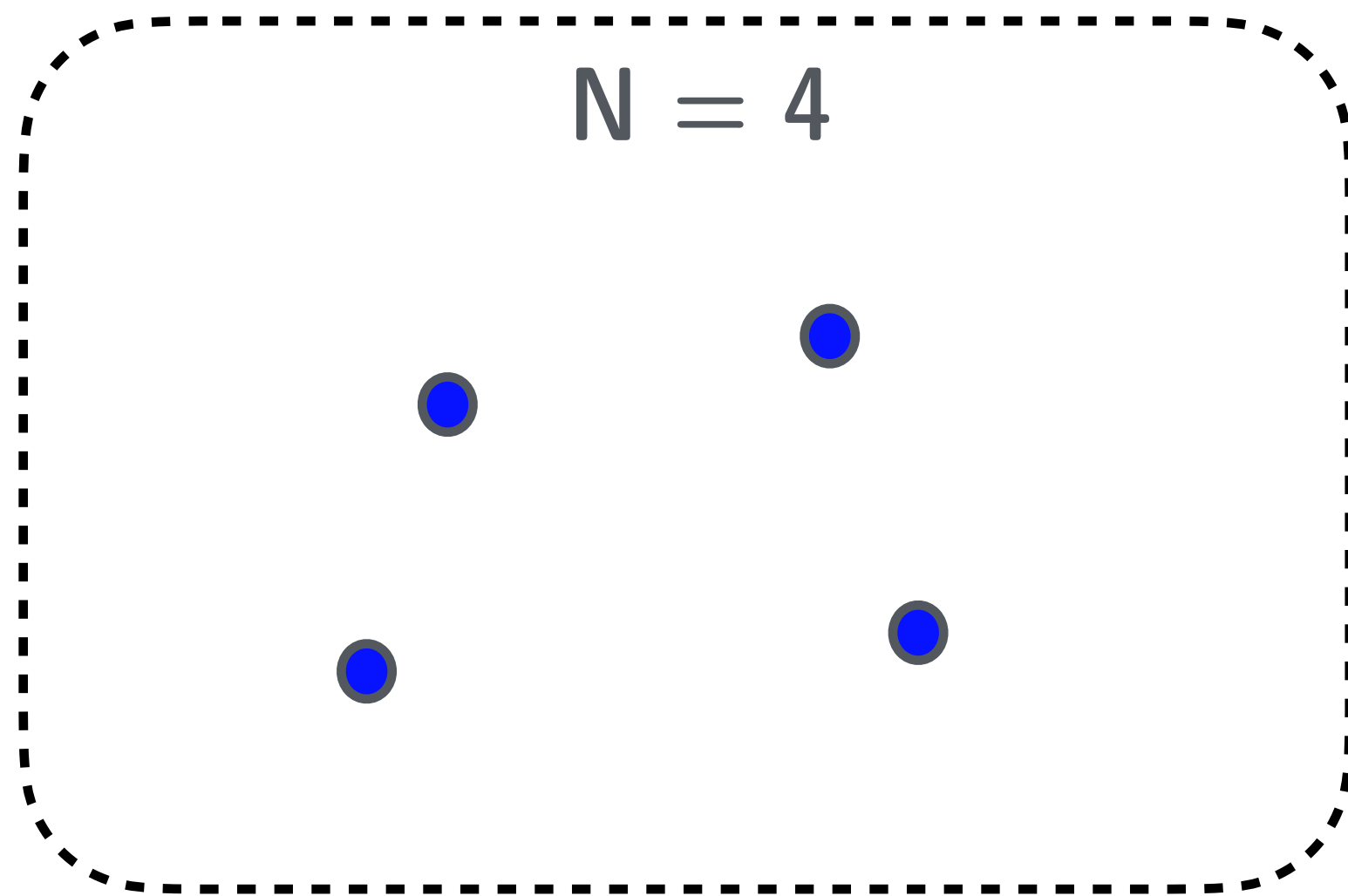
$N = 8$



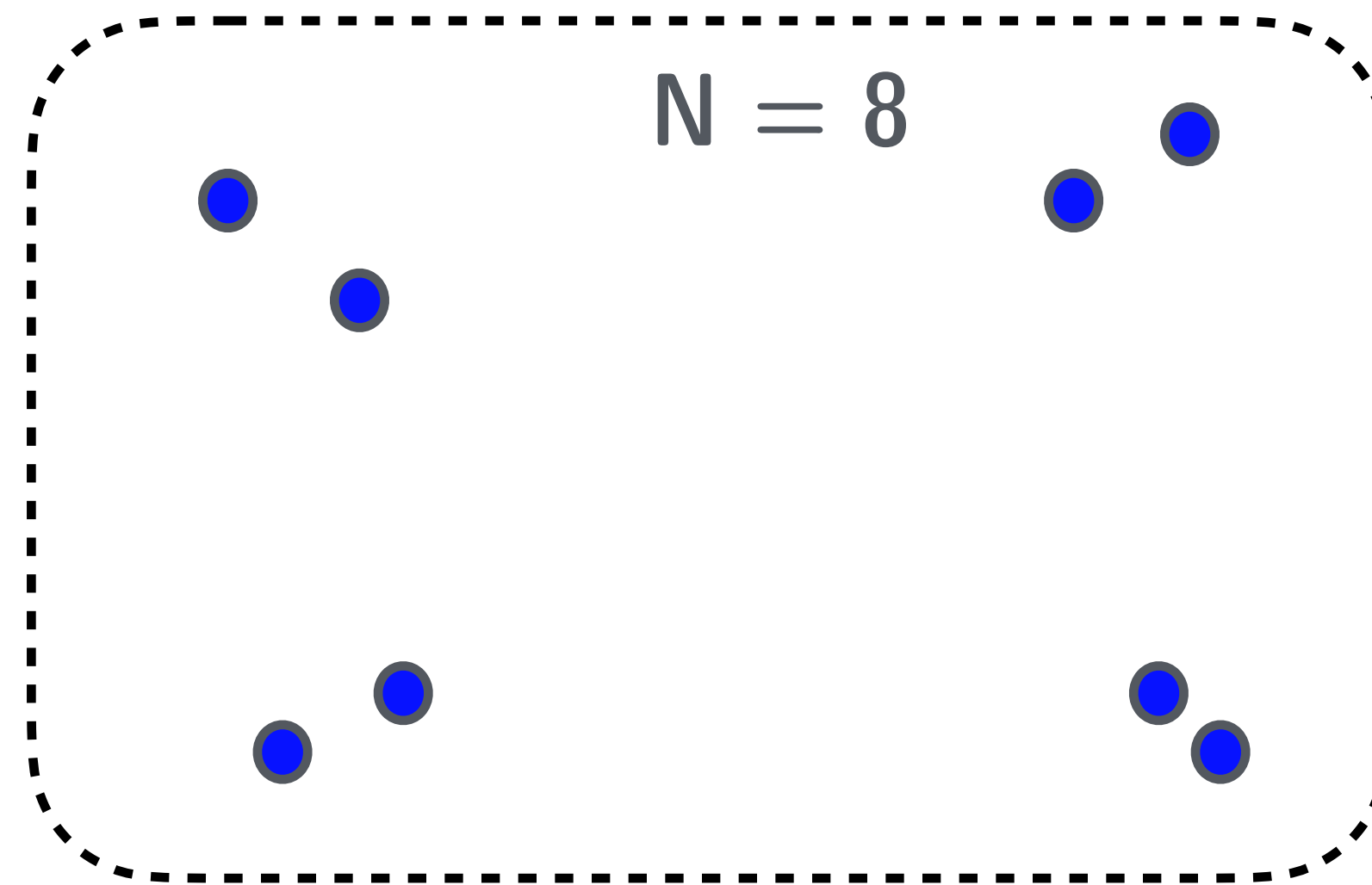
Answer = 0

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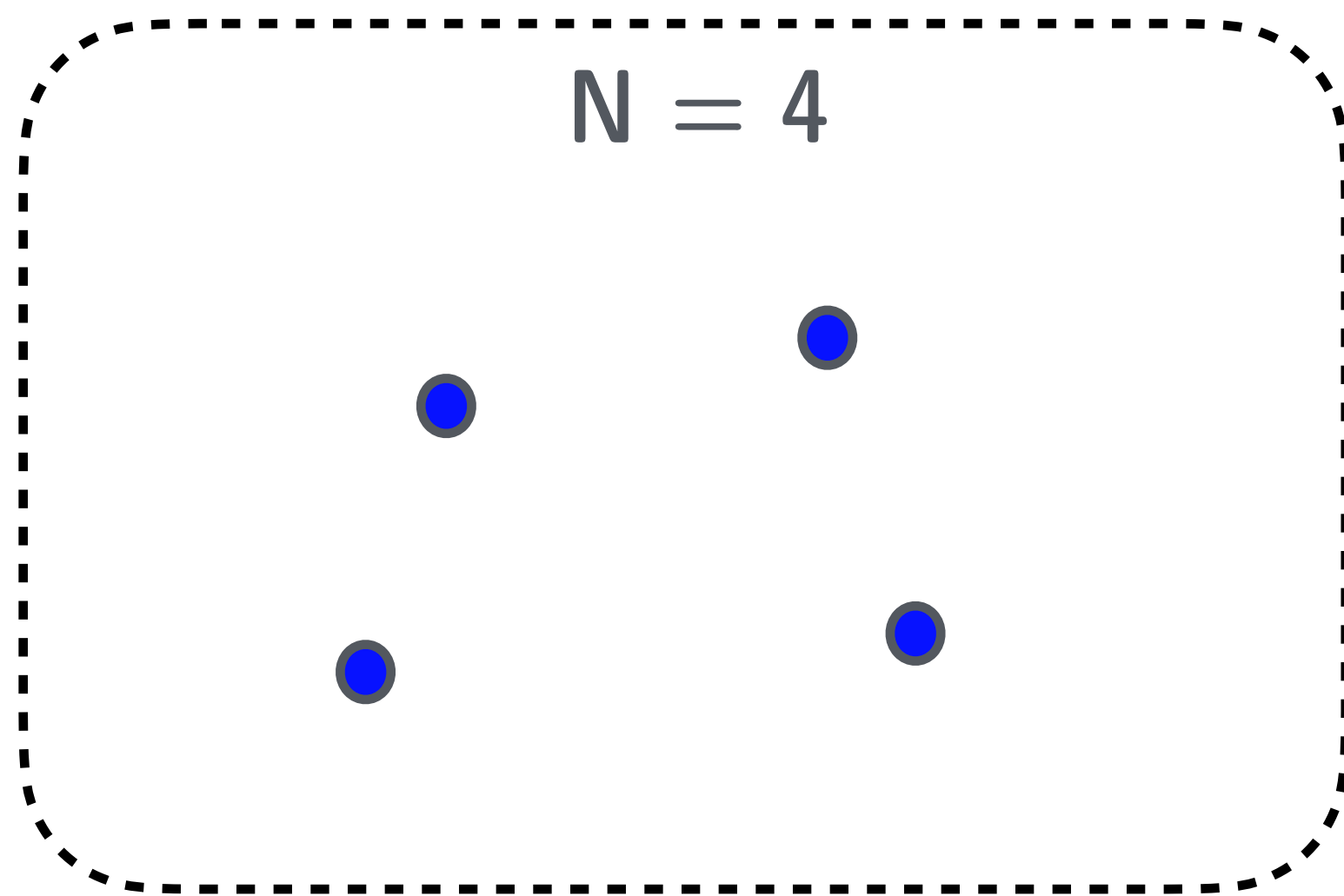
Answer = 0



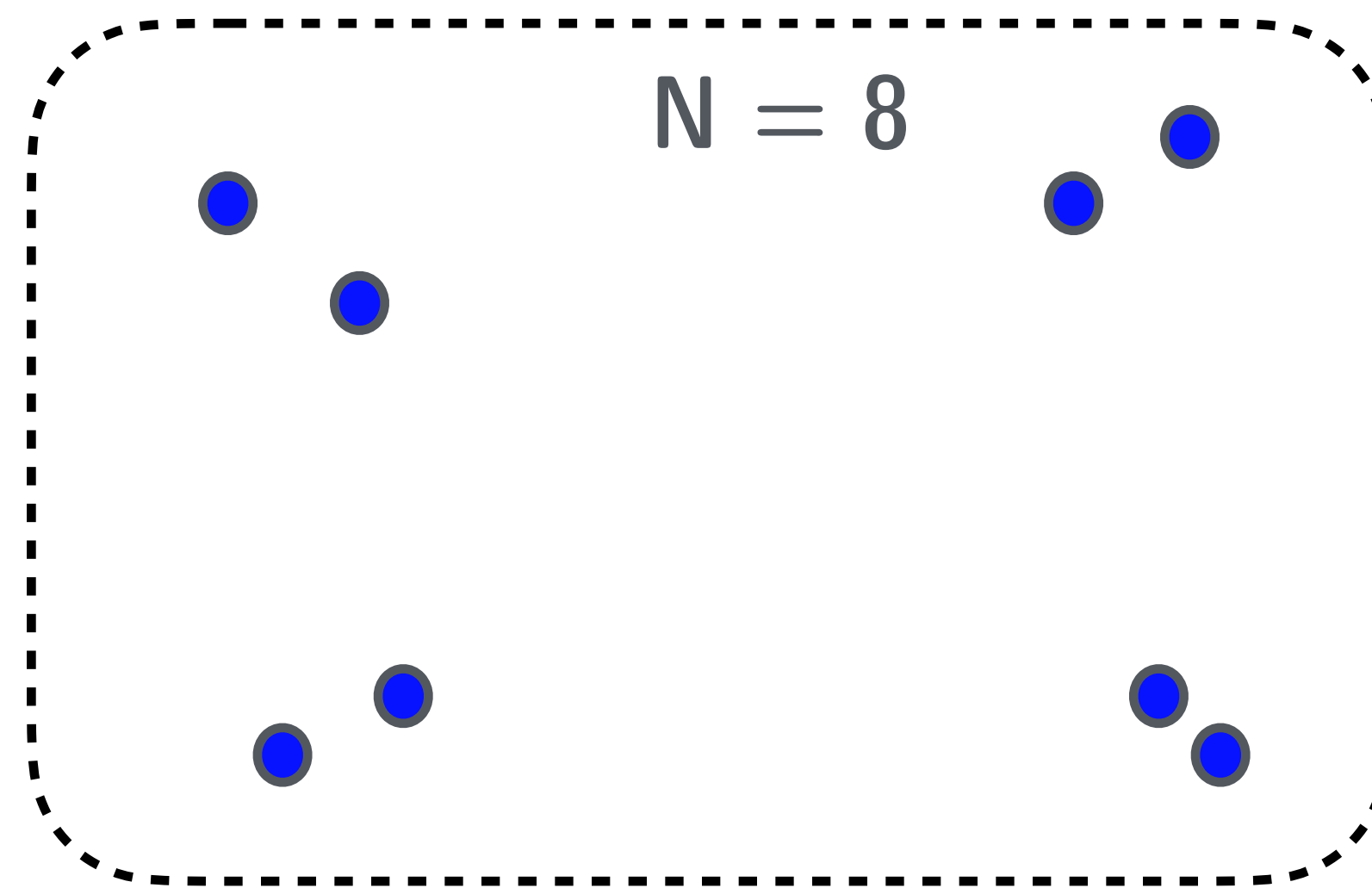
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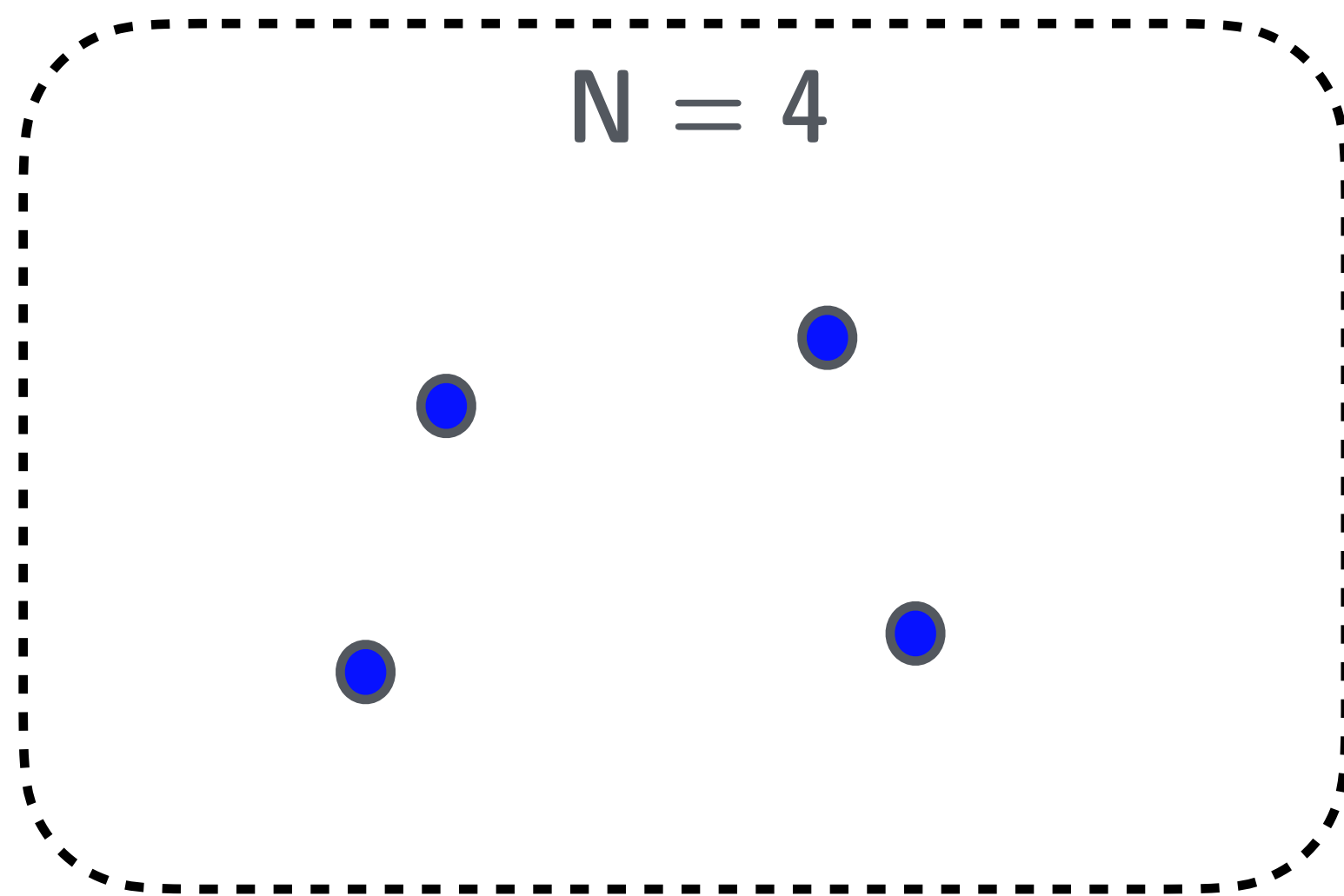


Answer = 0

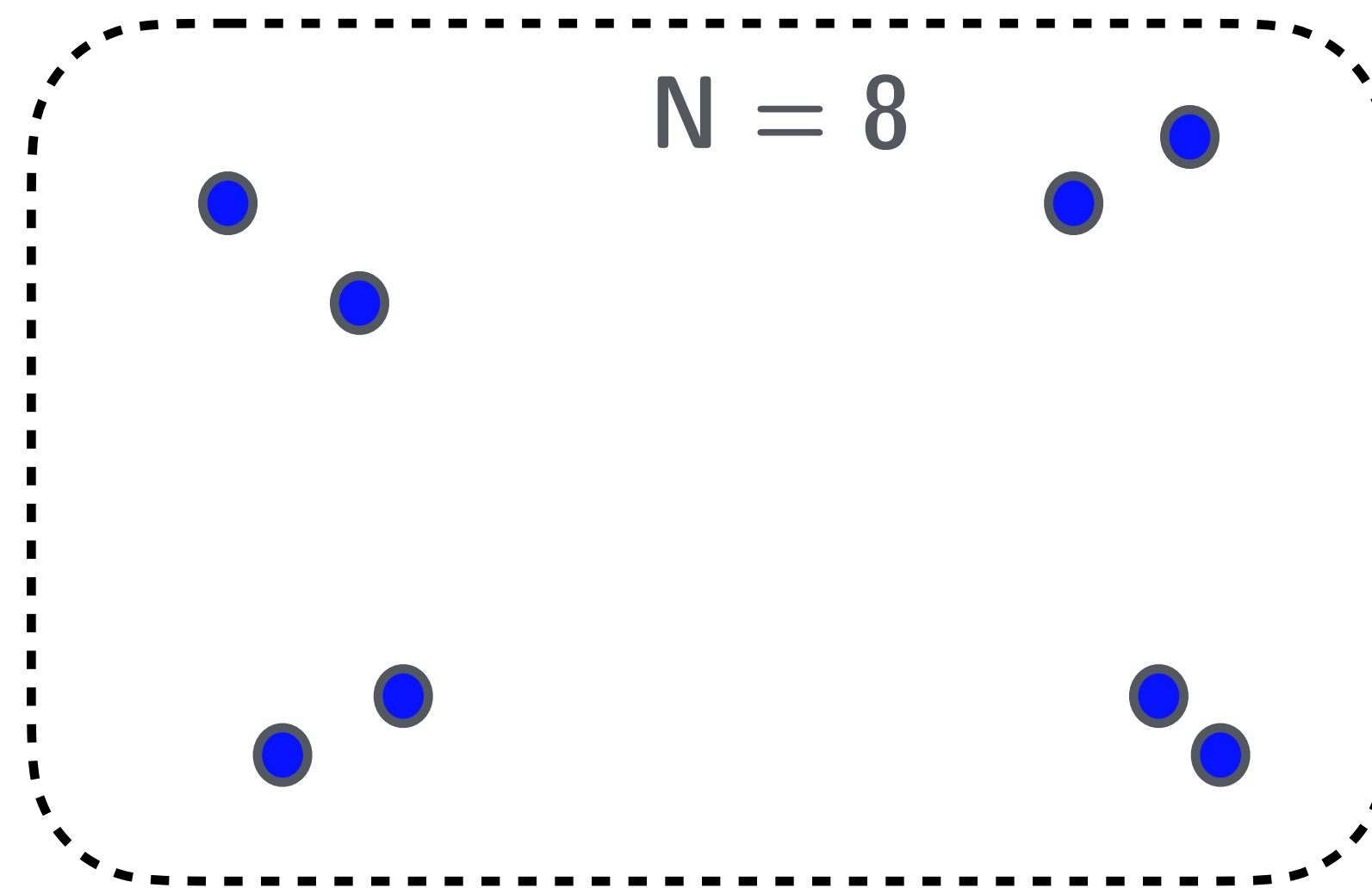
| N | $\mu_5(N)$ |
|-----|------------|
| 4 | 0 |
| 8 | 0 |

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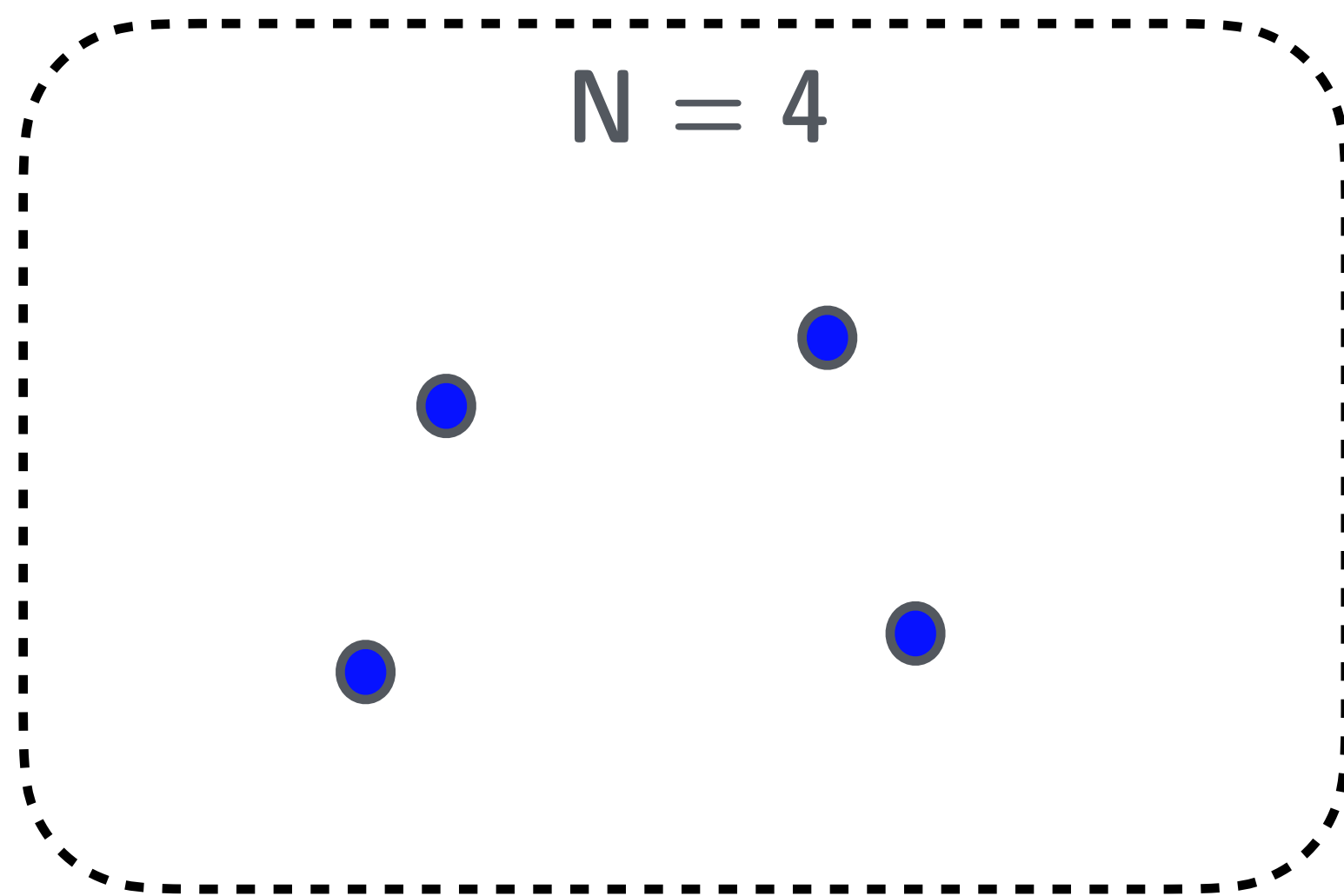


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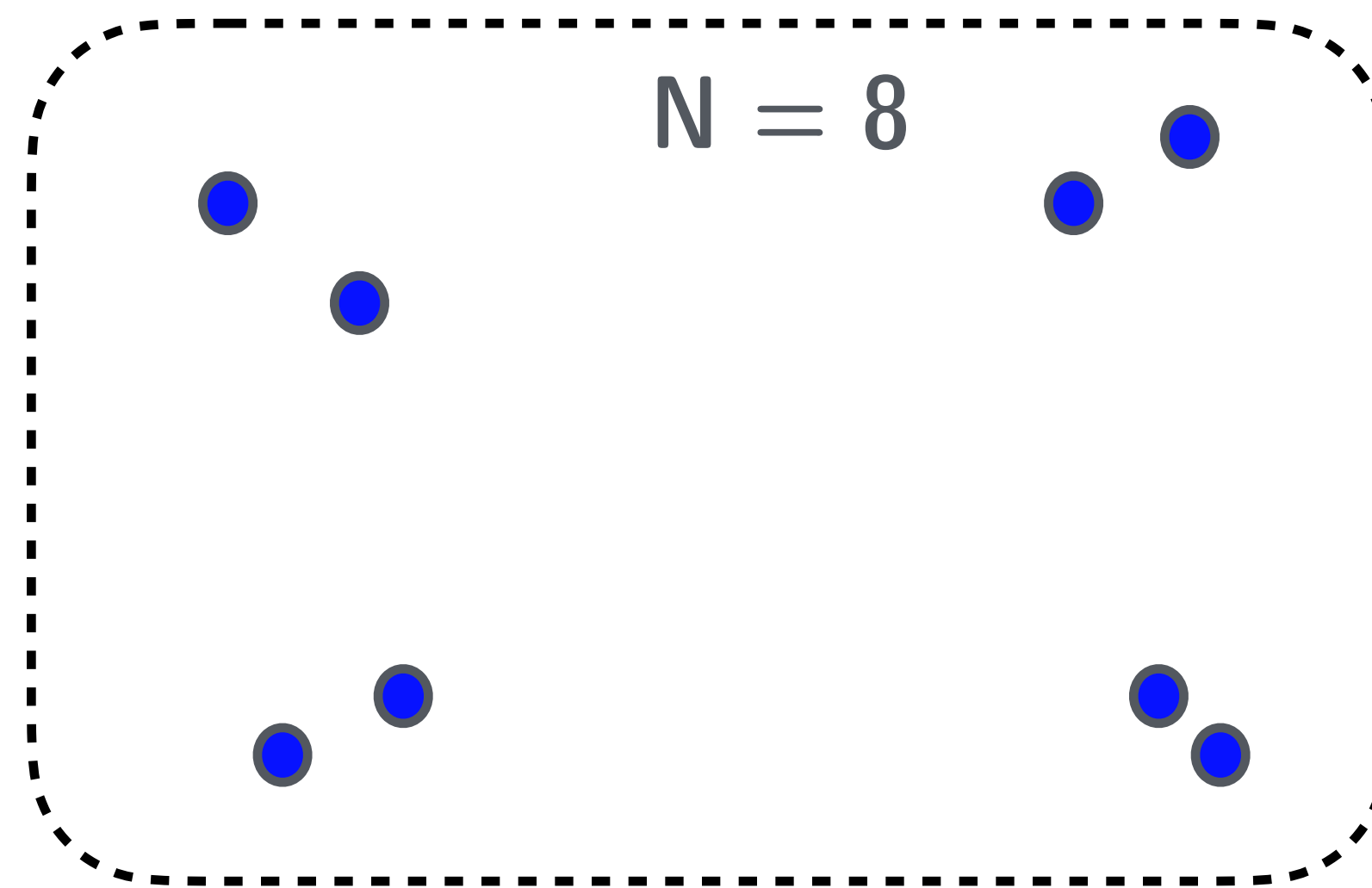
| N | $\mu_5(N)$ |
|-----|------------|
| 4 | 0 |
| 8 | 0 |
| 9 | |

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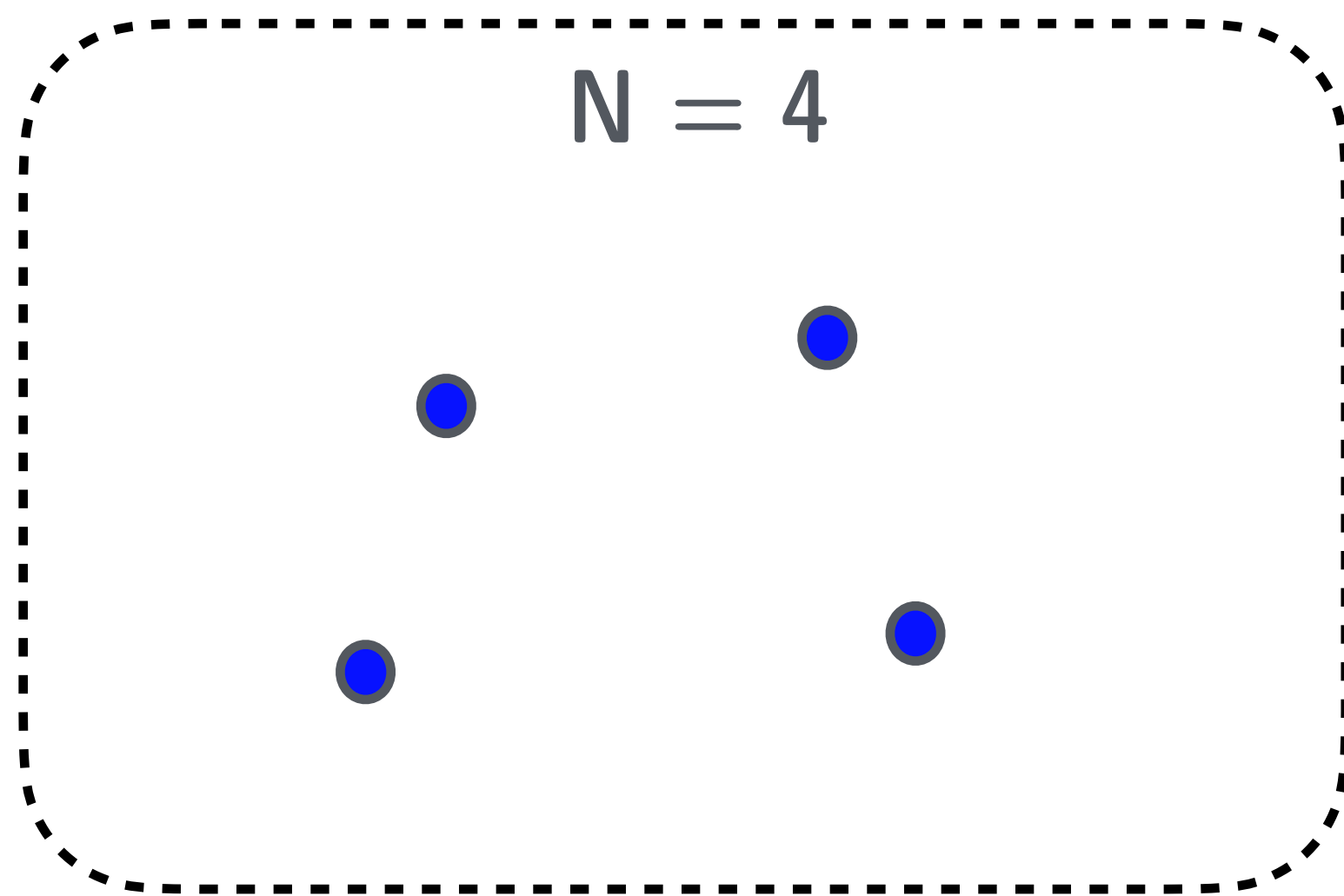


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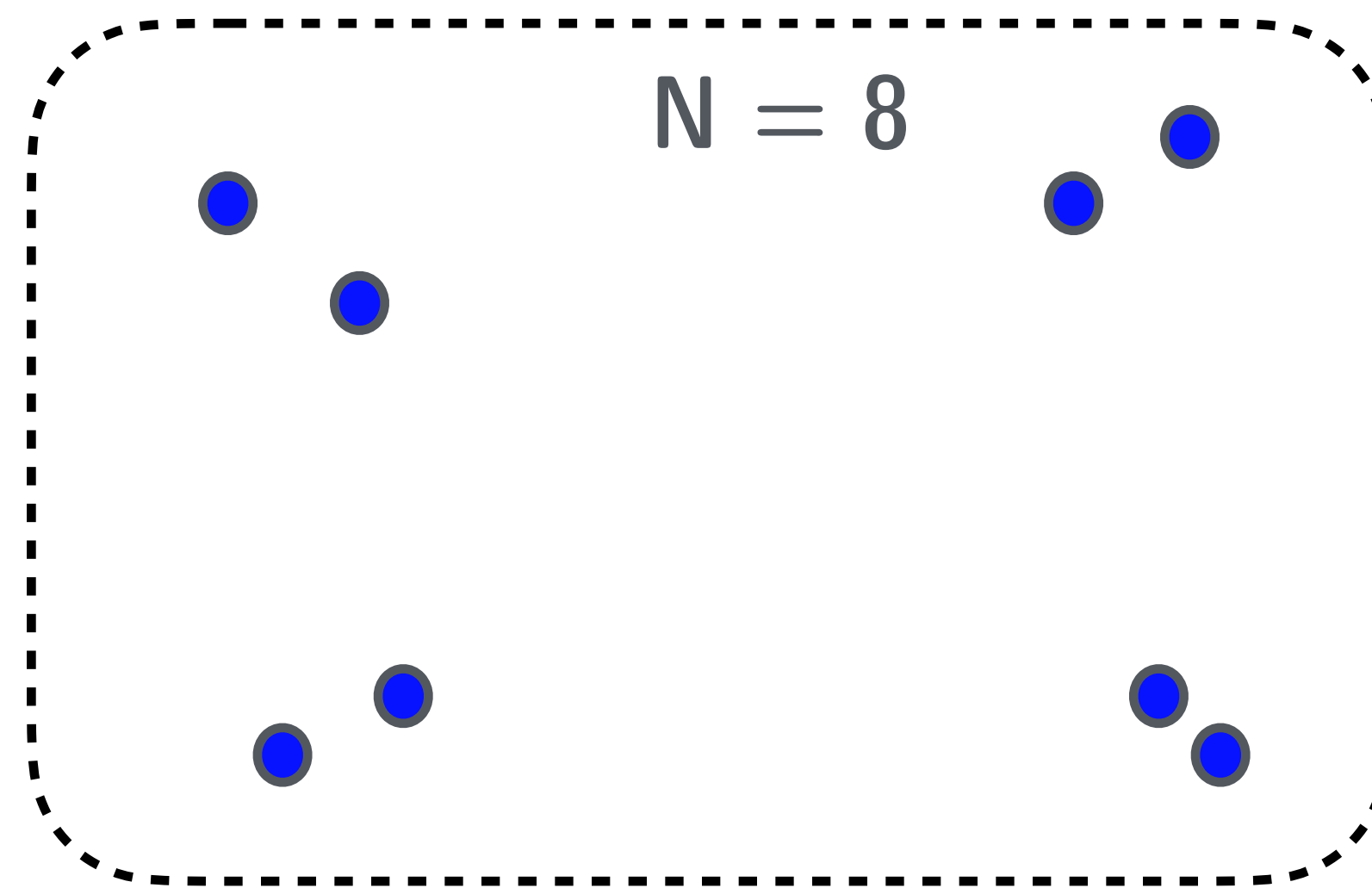
| N | $\mu_5(N)$ |
|-----|------------|
| 4 | 0 |
| 8 | 0 |
| 9 | 1 |

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Answer = 0

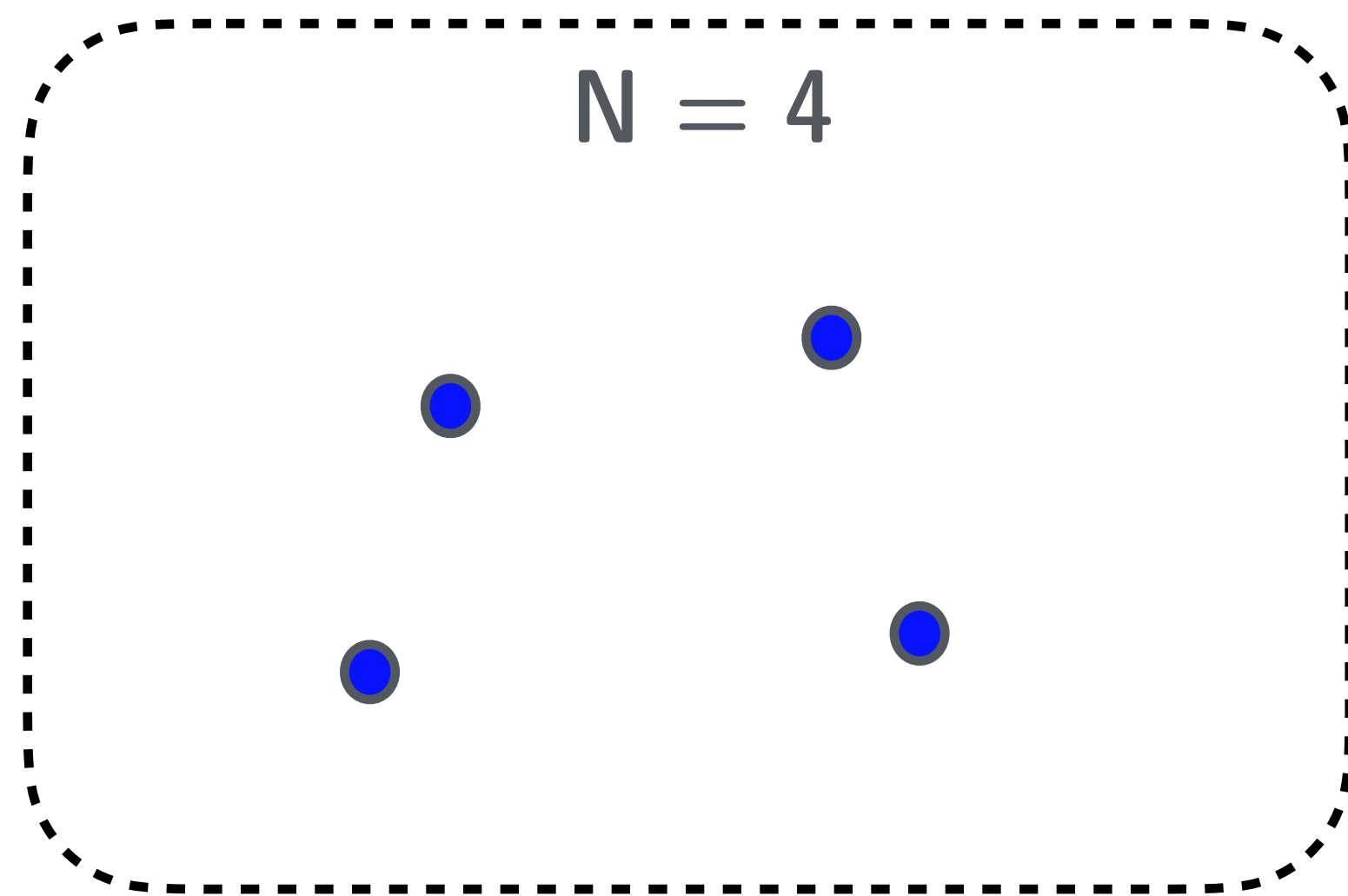


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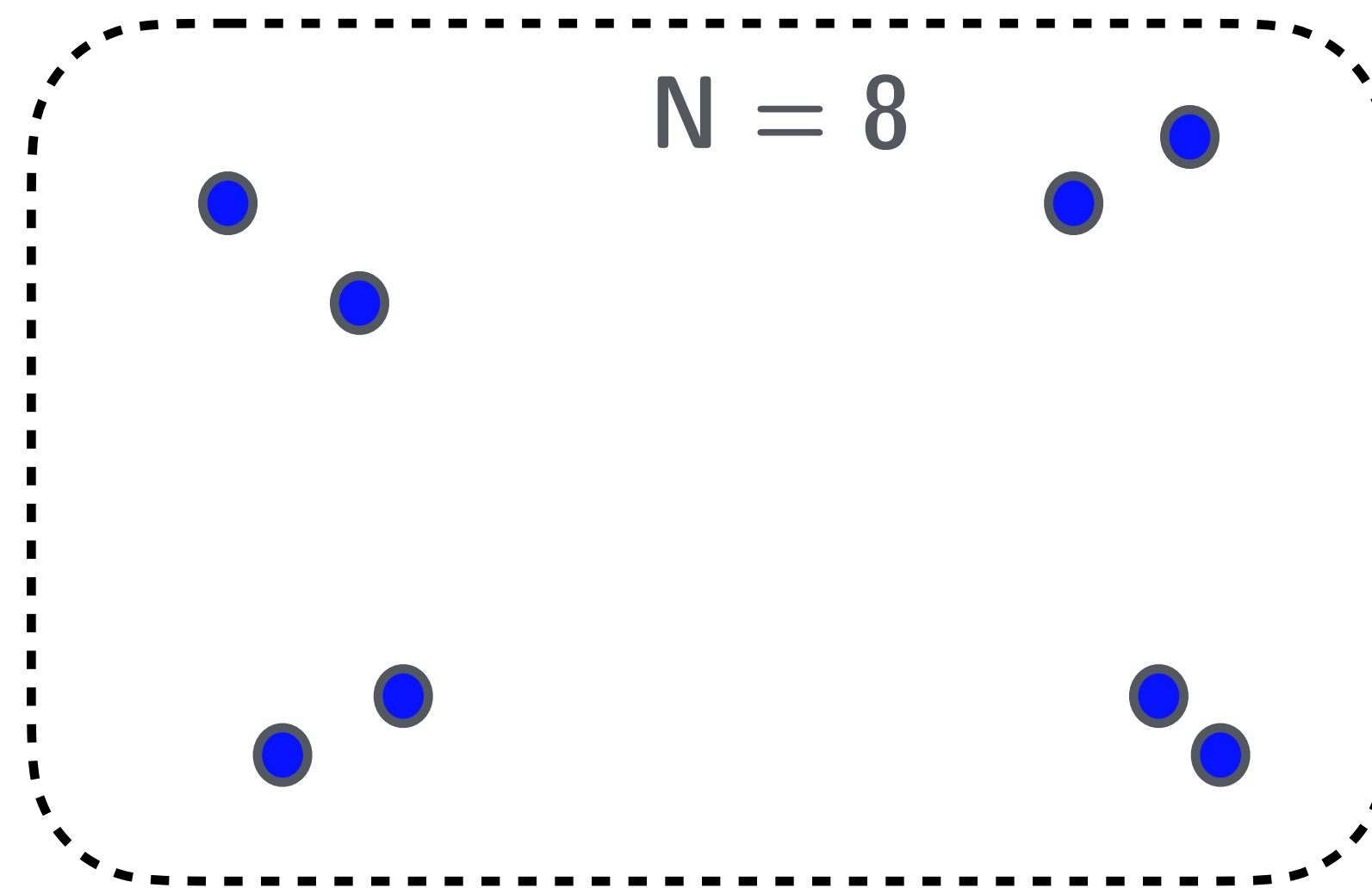
| N | $\mu_5(N)$ |
|-----|------------|
| 4 | 0 |
| 8 | 0 |
| 9 | 1 |
| 15 | |

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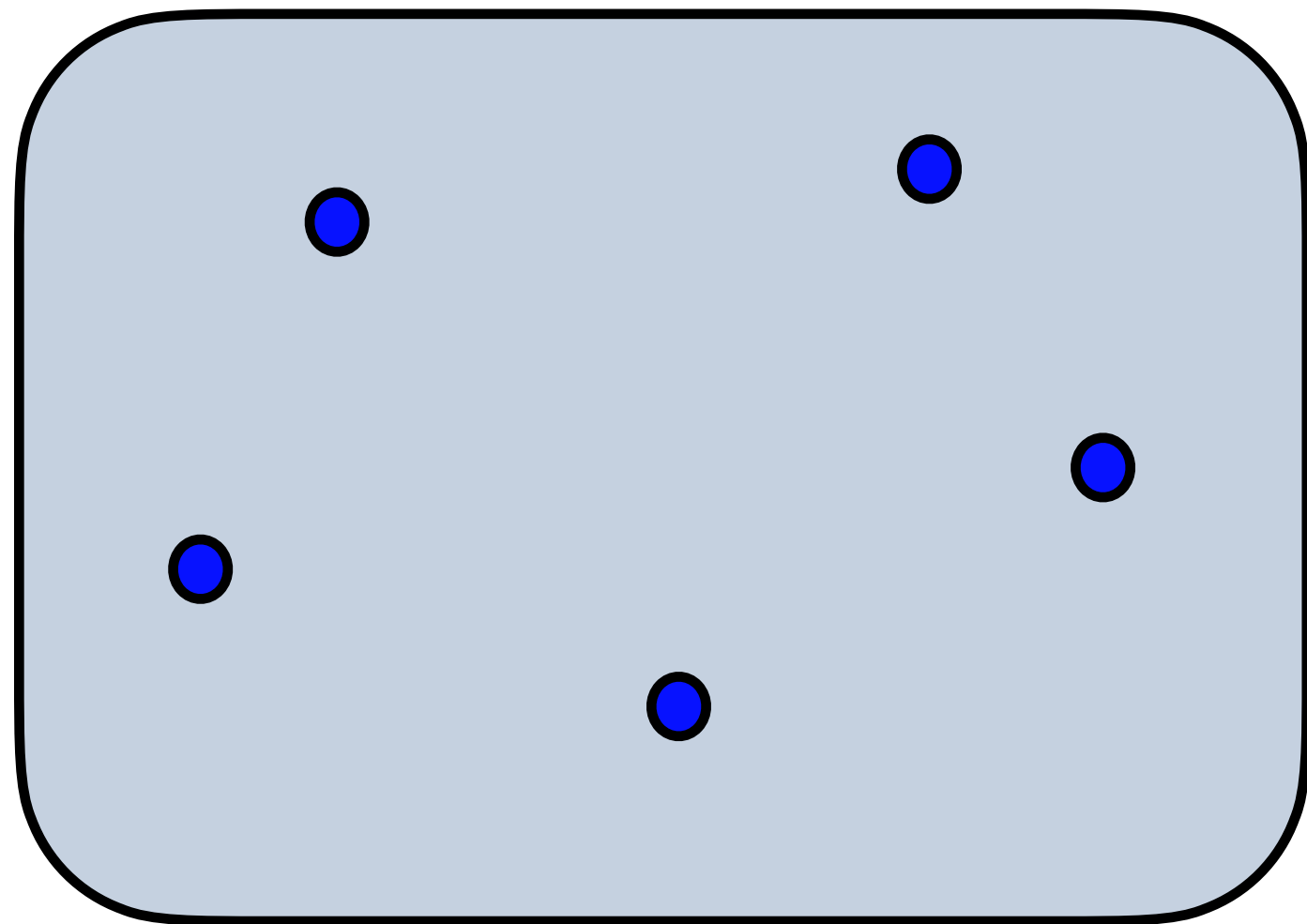


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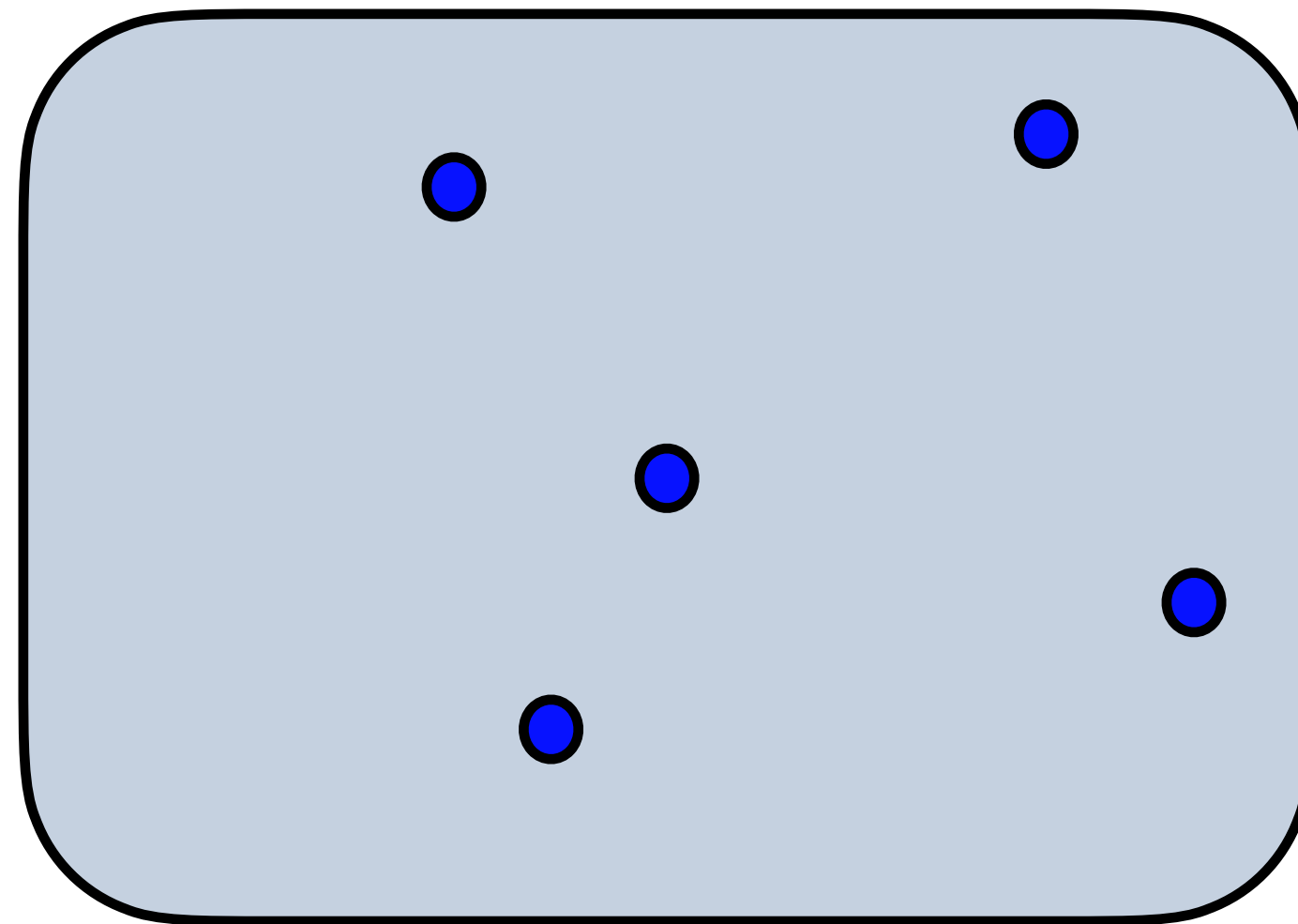
| N | $\mu_5(N)$ |
|-----|------------|
| 4 | 0 |
| 8 | 0 |
| 9 | 1 |
| 15 | 77 |

Let's do something easier first...

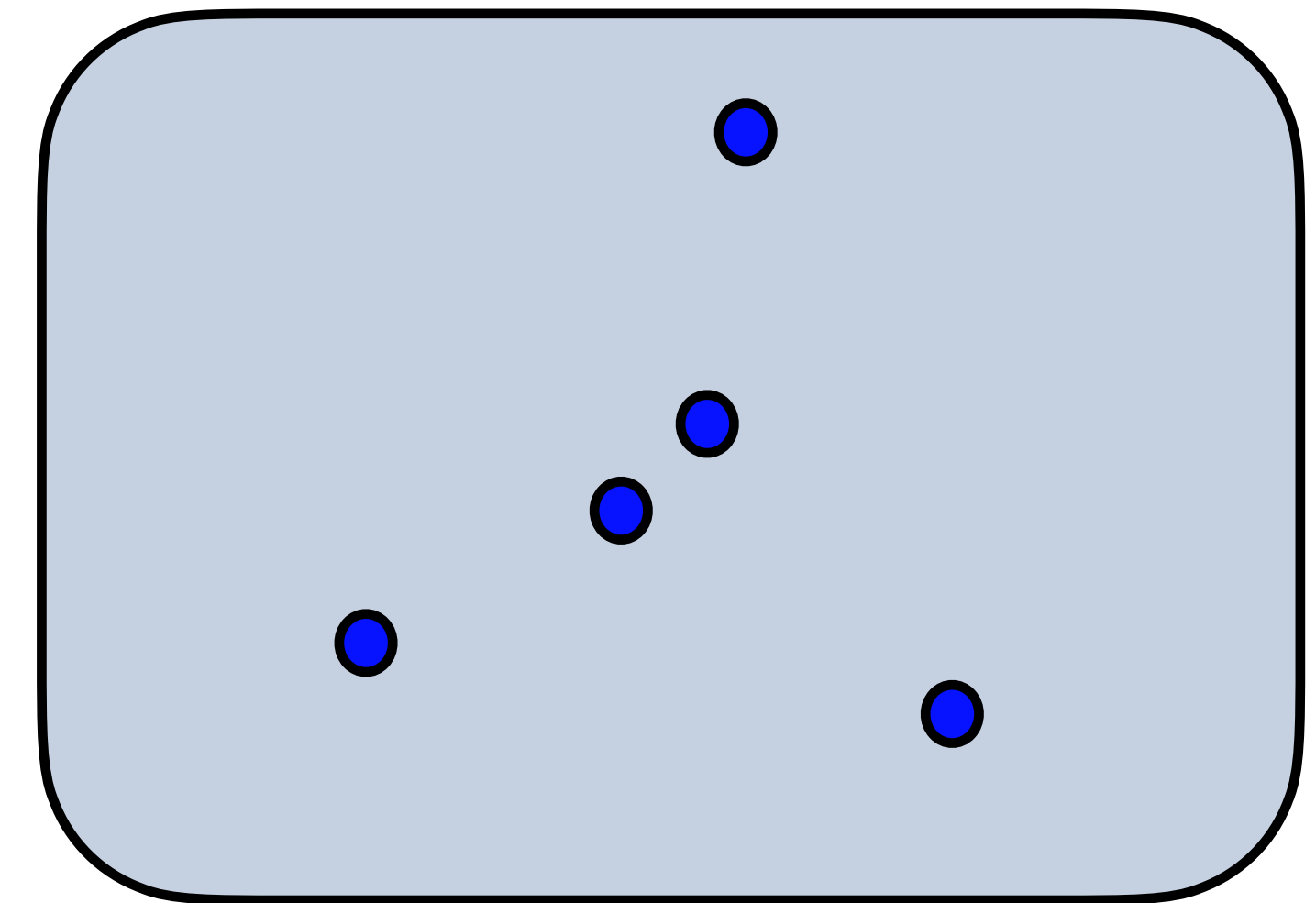
How many points without 3 on a line guarantee a **convex quadrilateral**?



Case 1: 5 points in Convex Hull



Case 2: 4 points in Convex Hull

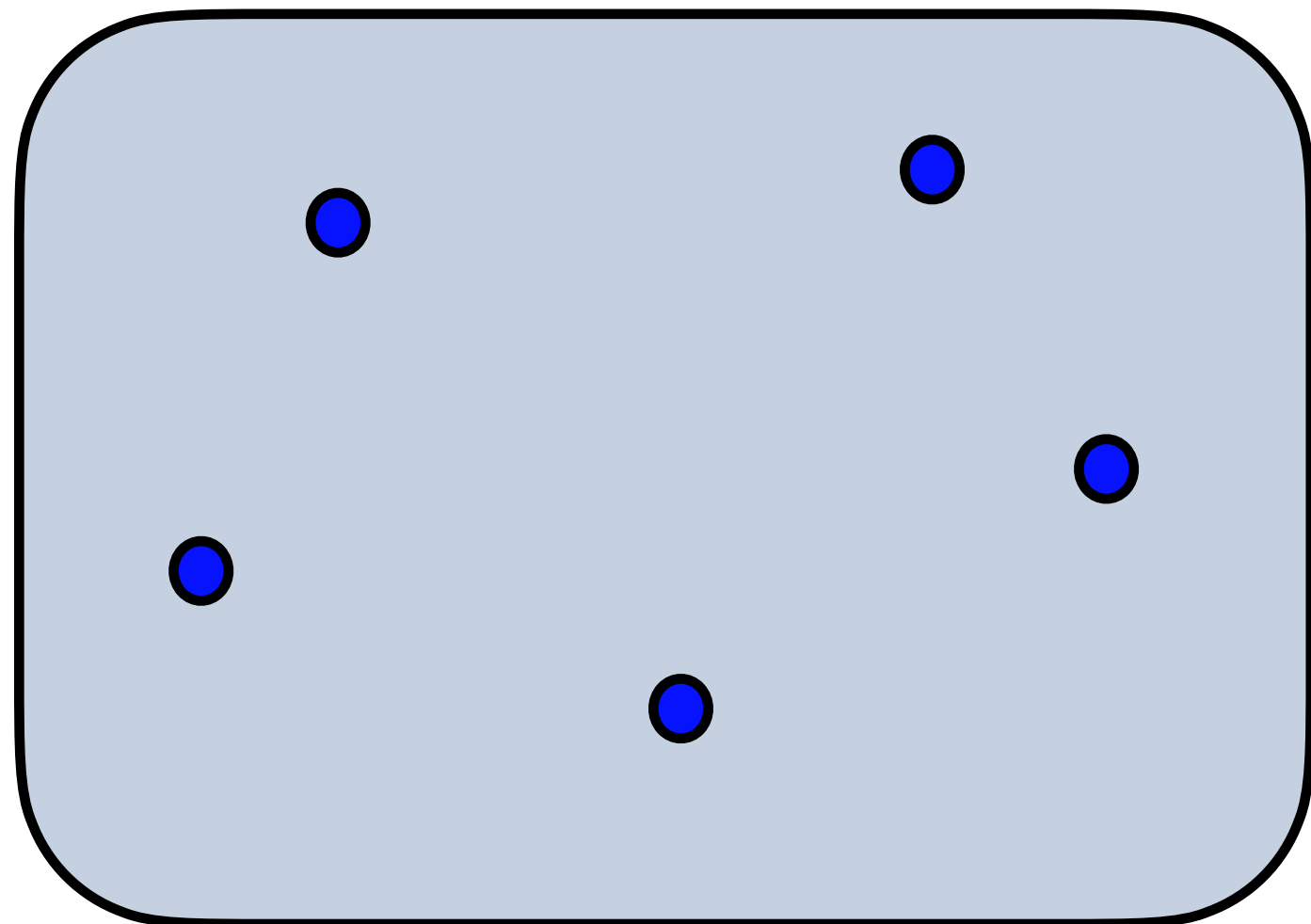


Case 3: 3 points in Convex Hull

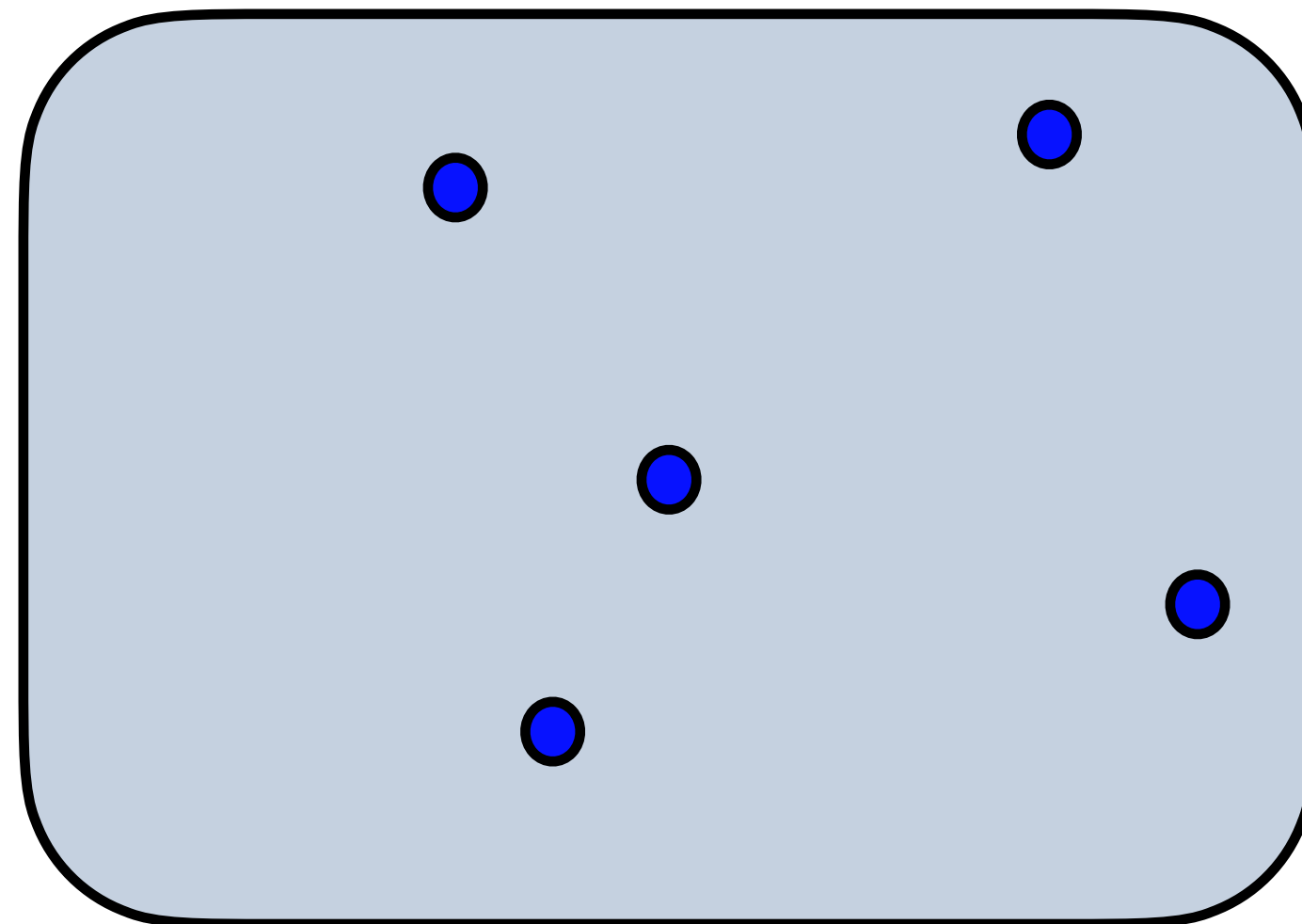
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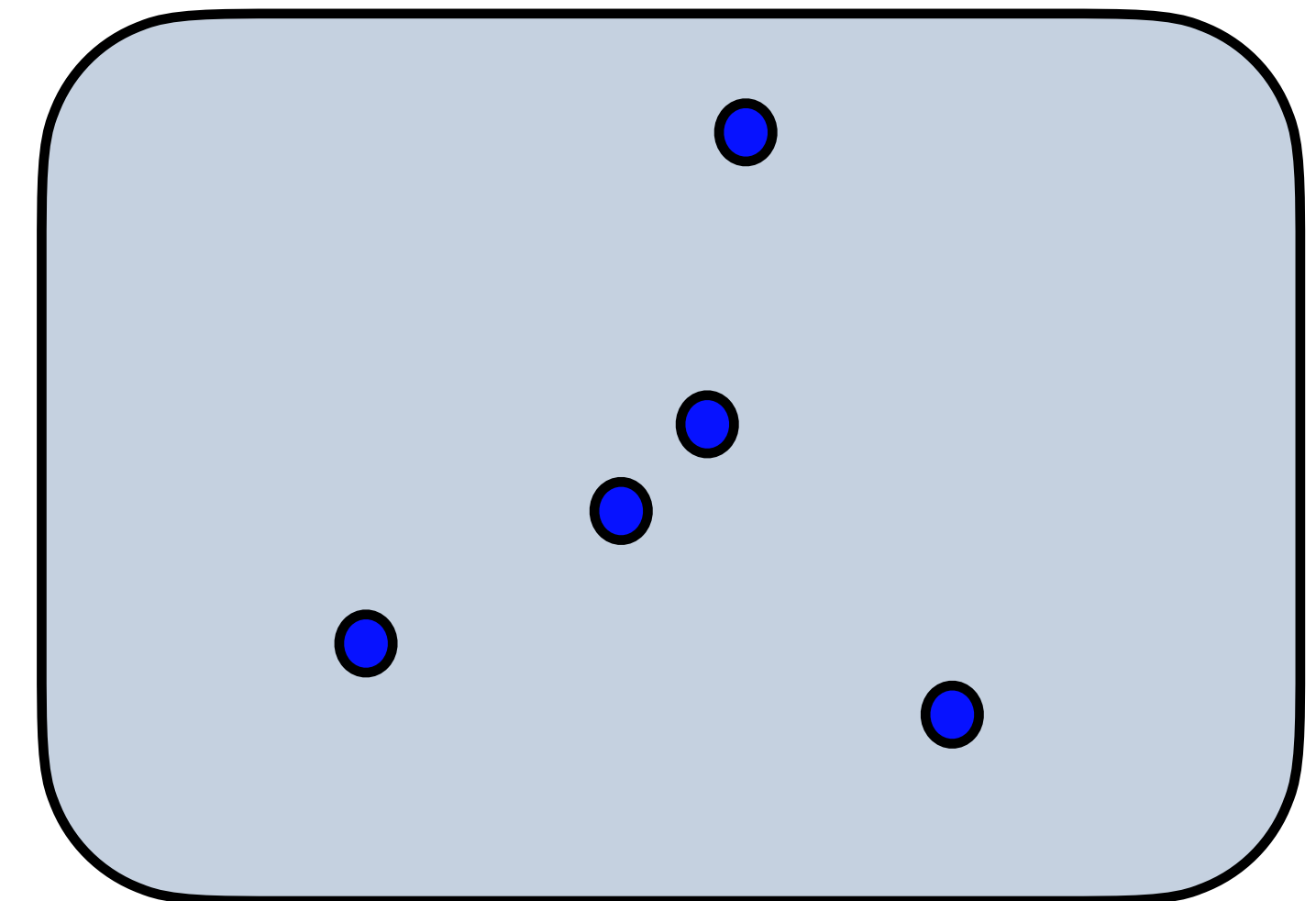
Answer: 5, Klein, "*Happy Ending Theorem*"



Case 1: 5 points in Convex Hull



Case 2: 4 points in Convex Hull

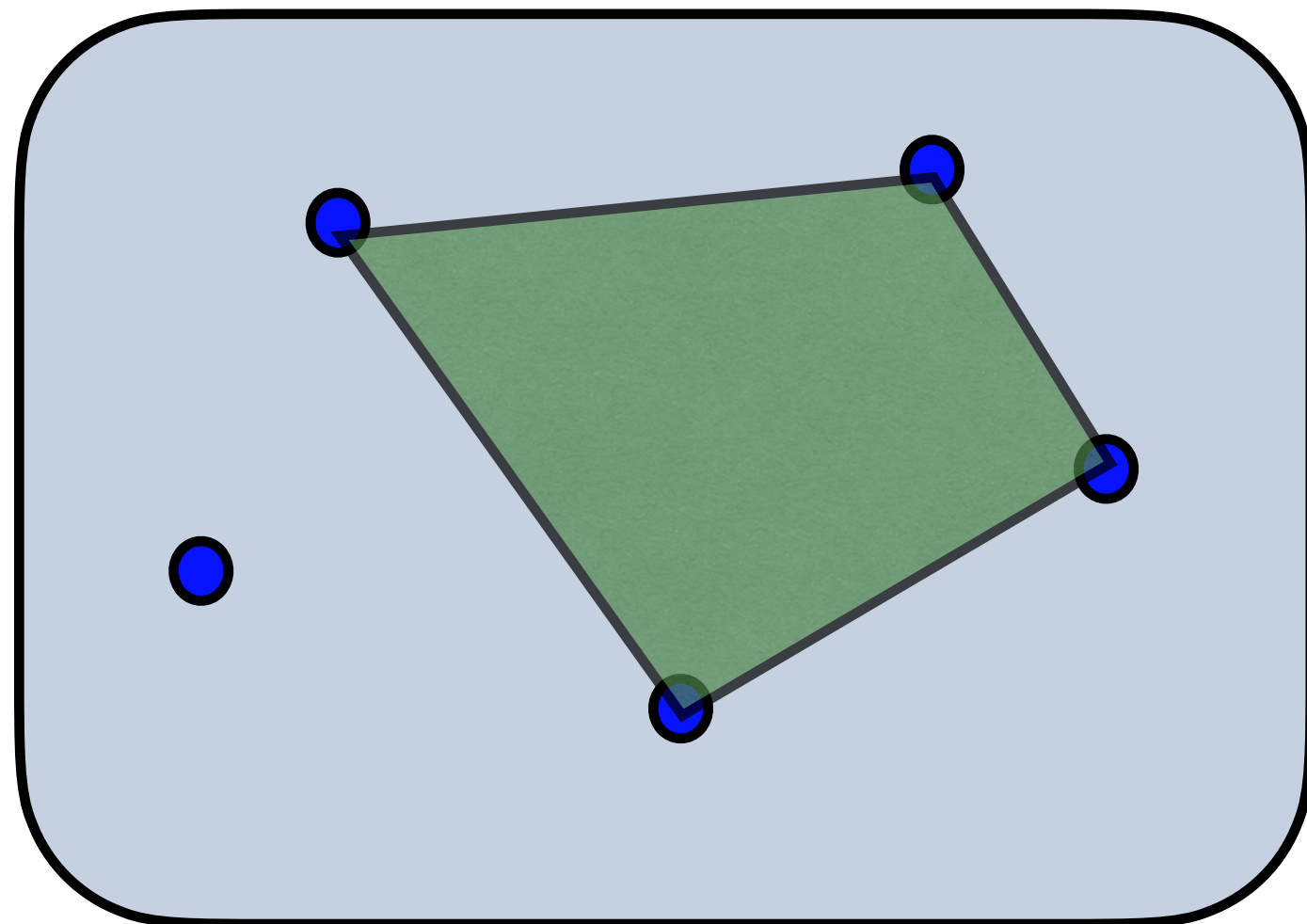


Case 3: 3 points in Convex Hull

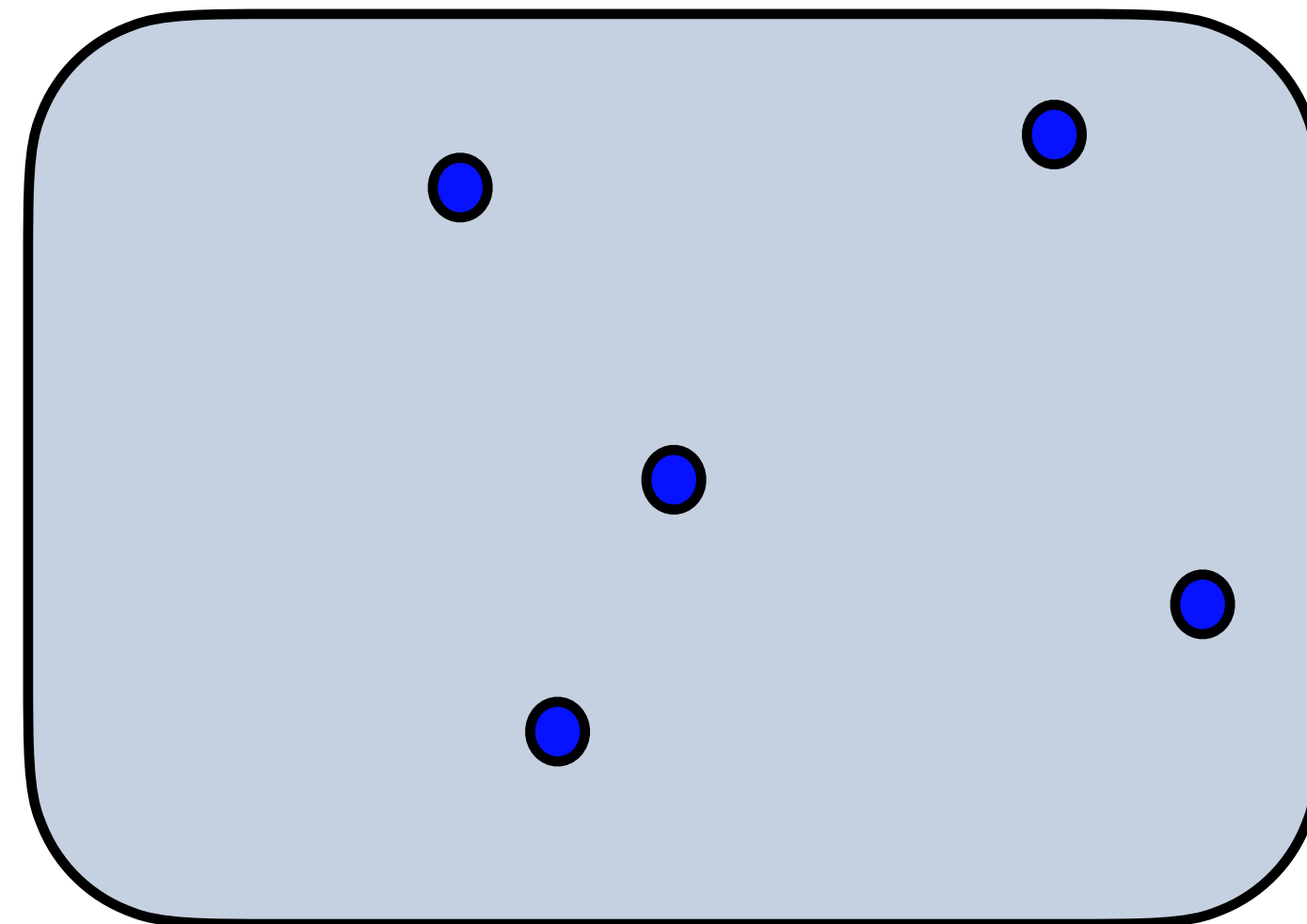
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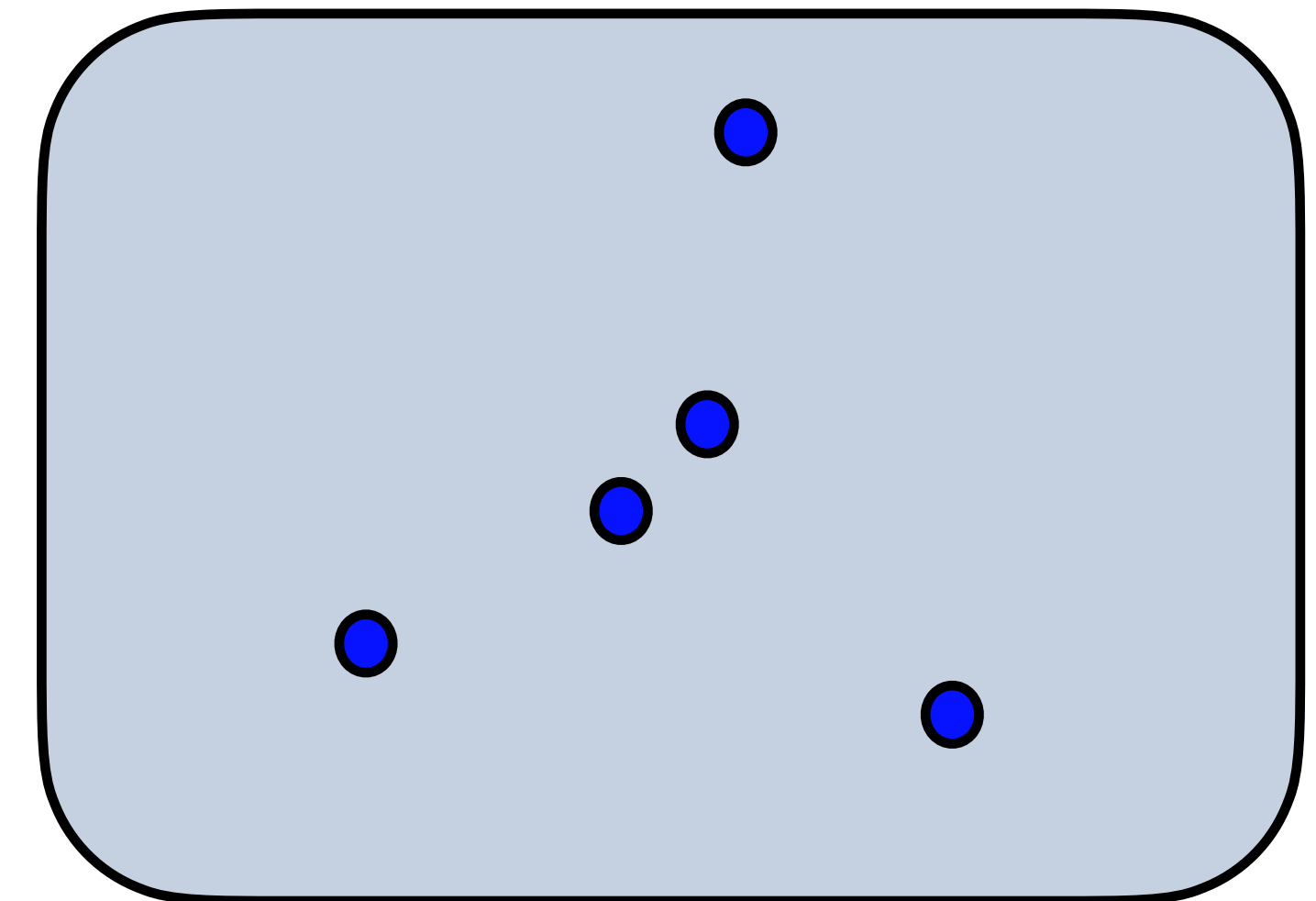
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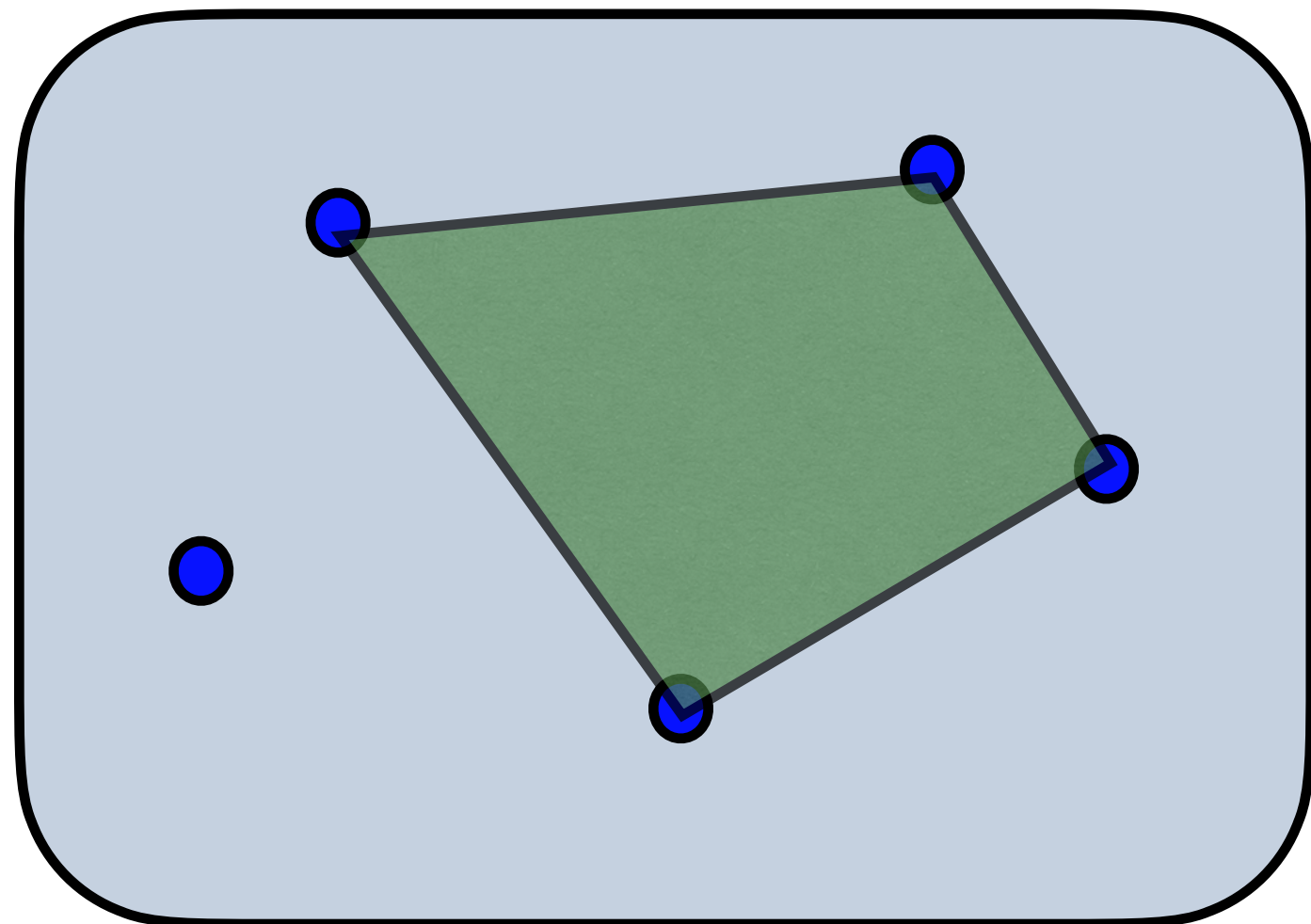


Case 3: 3 points in Convex Hull

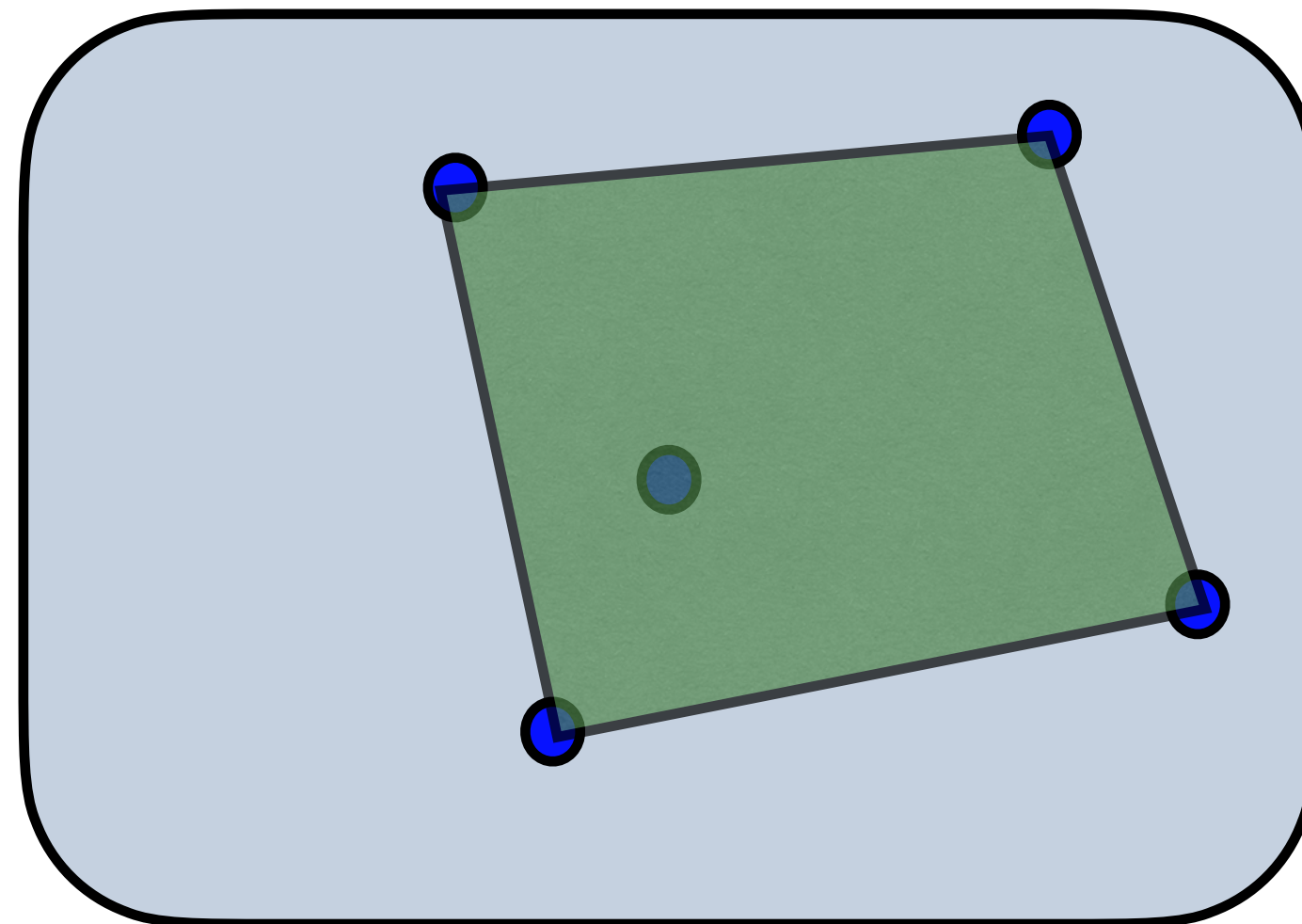
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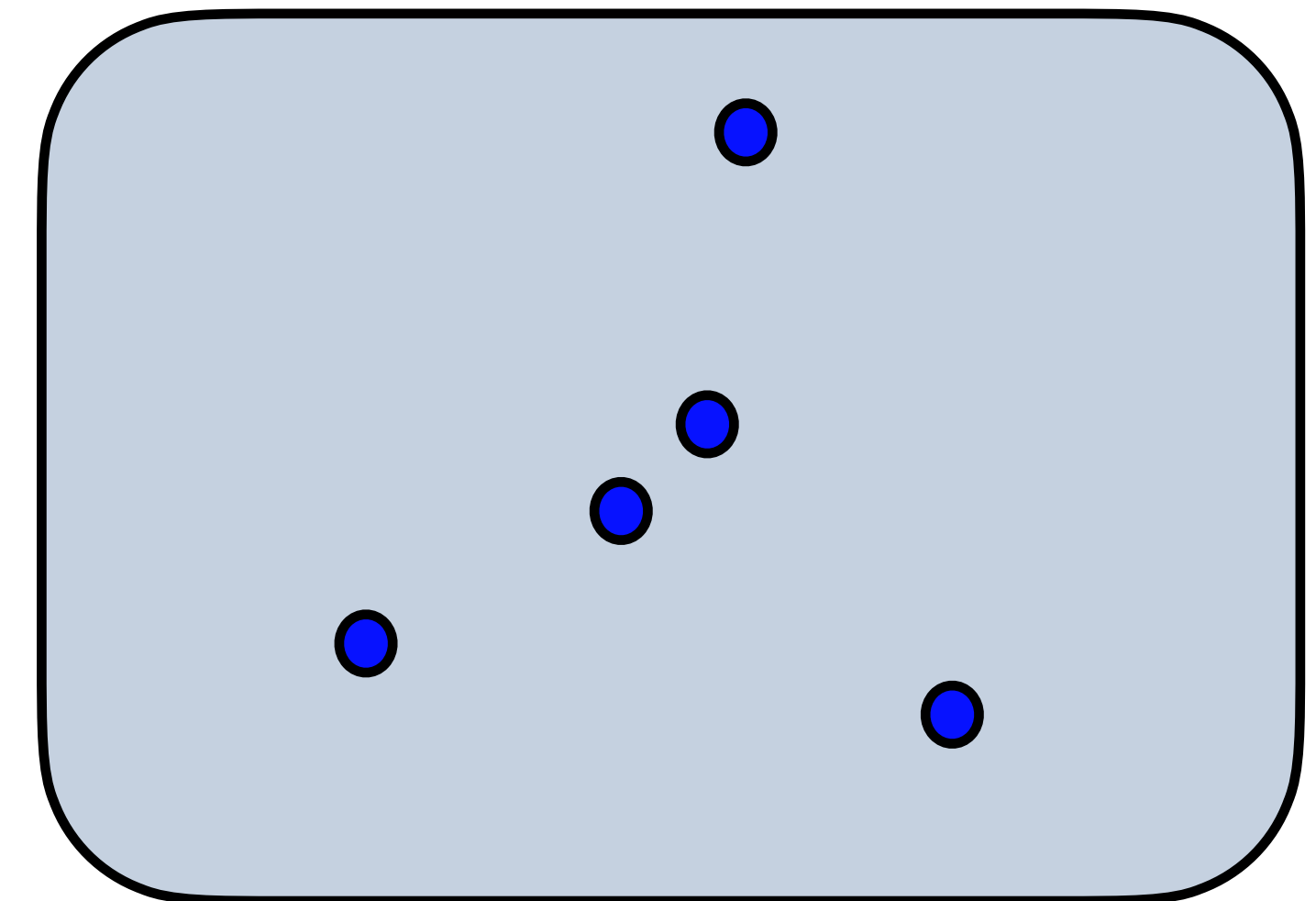
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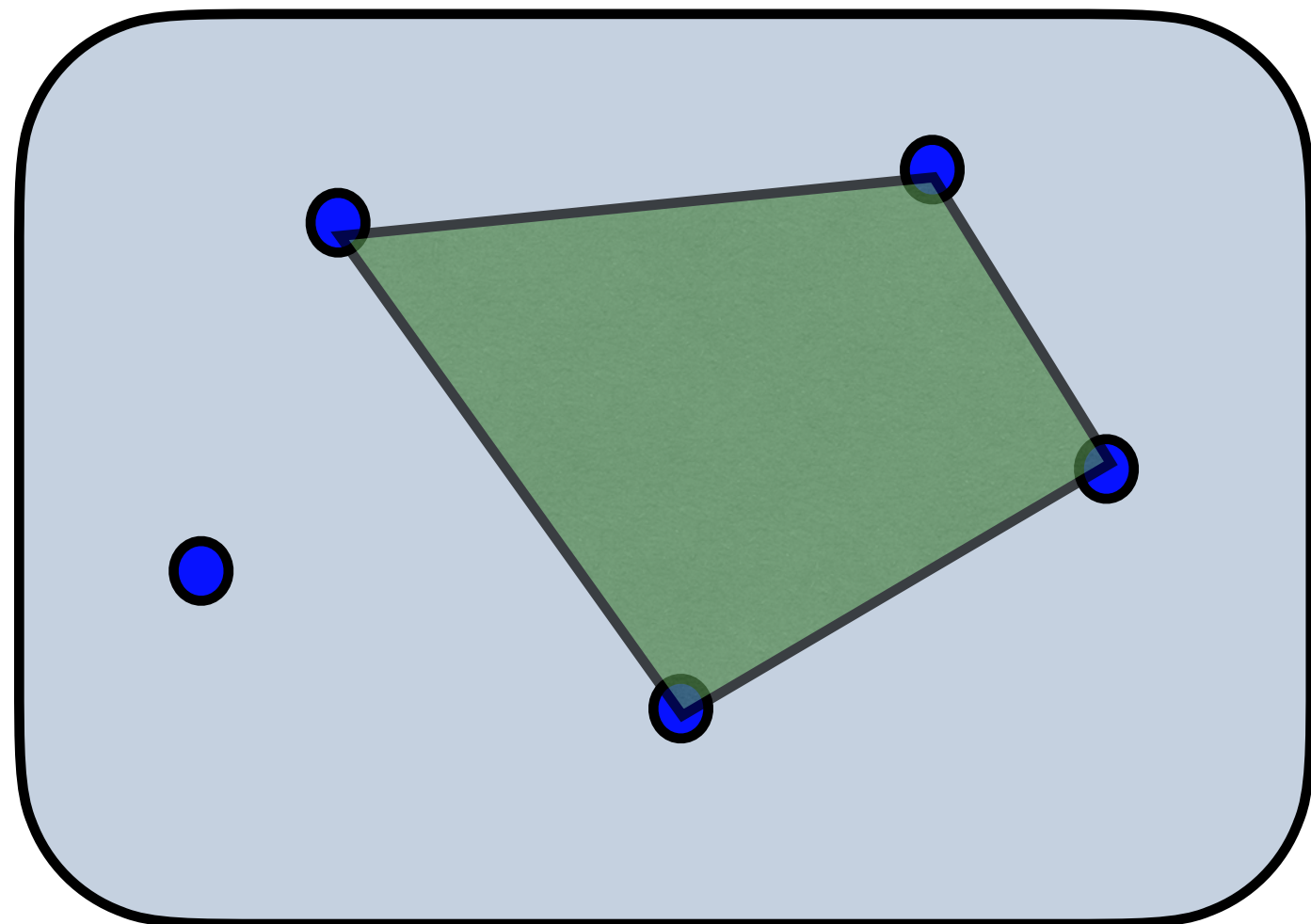


Case 3: 3 points in Convex Hull

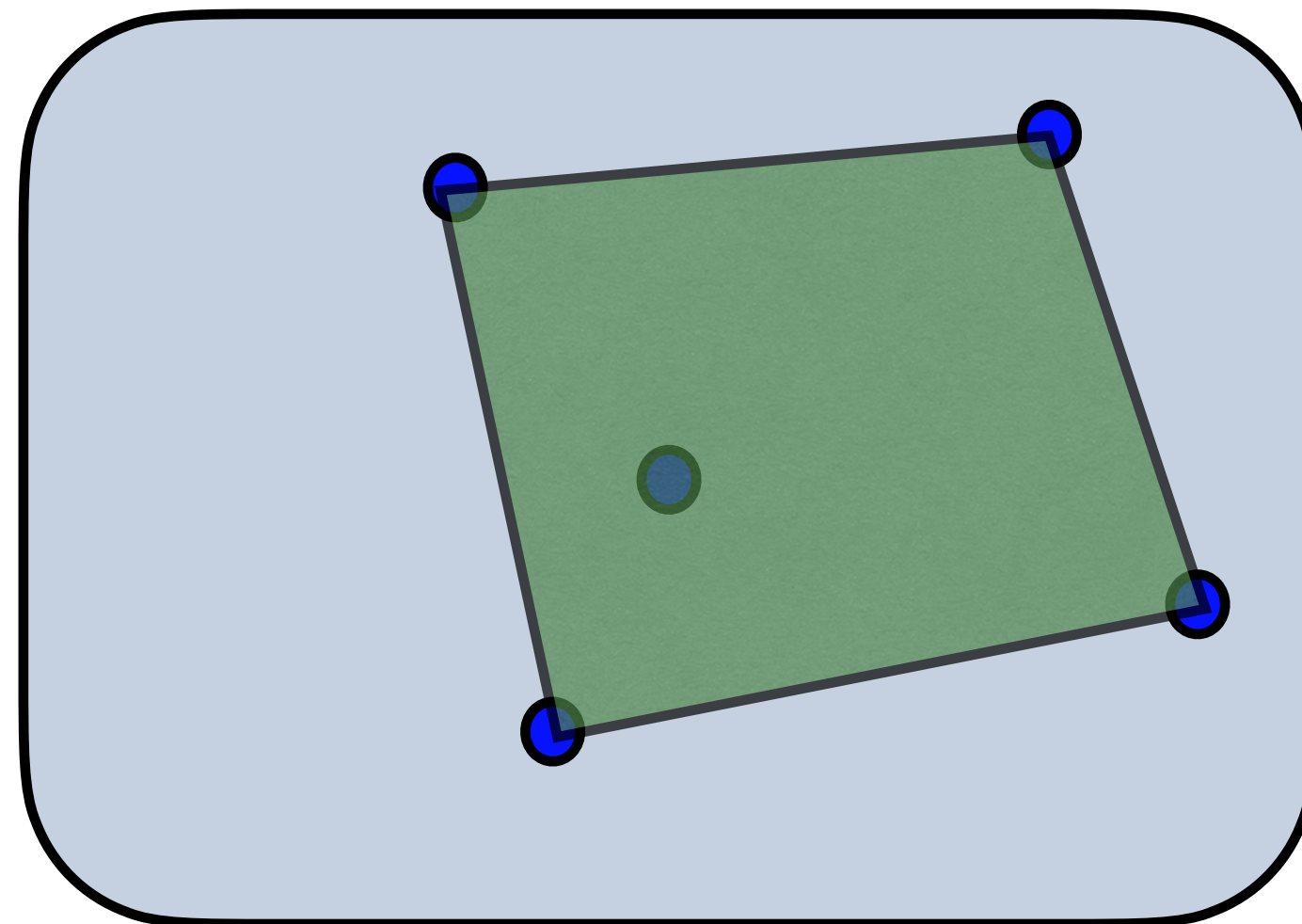
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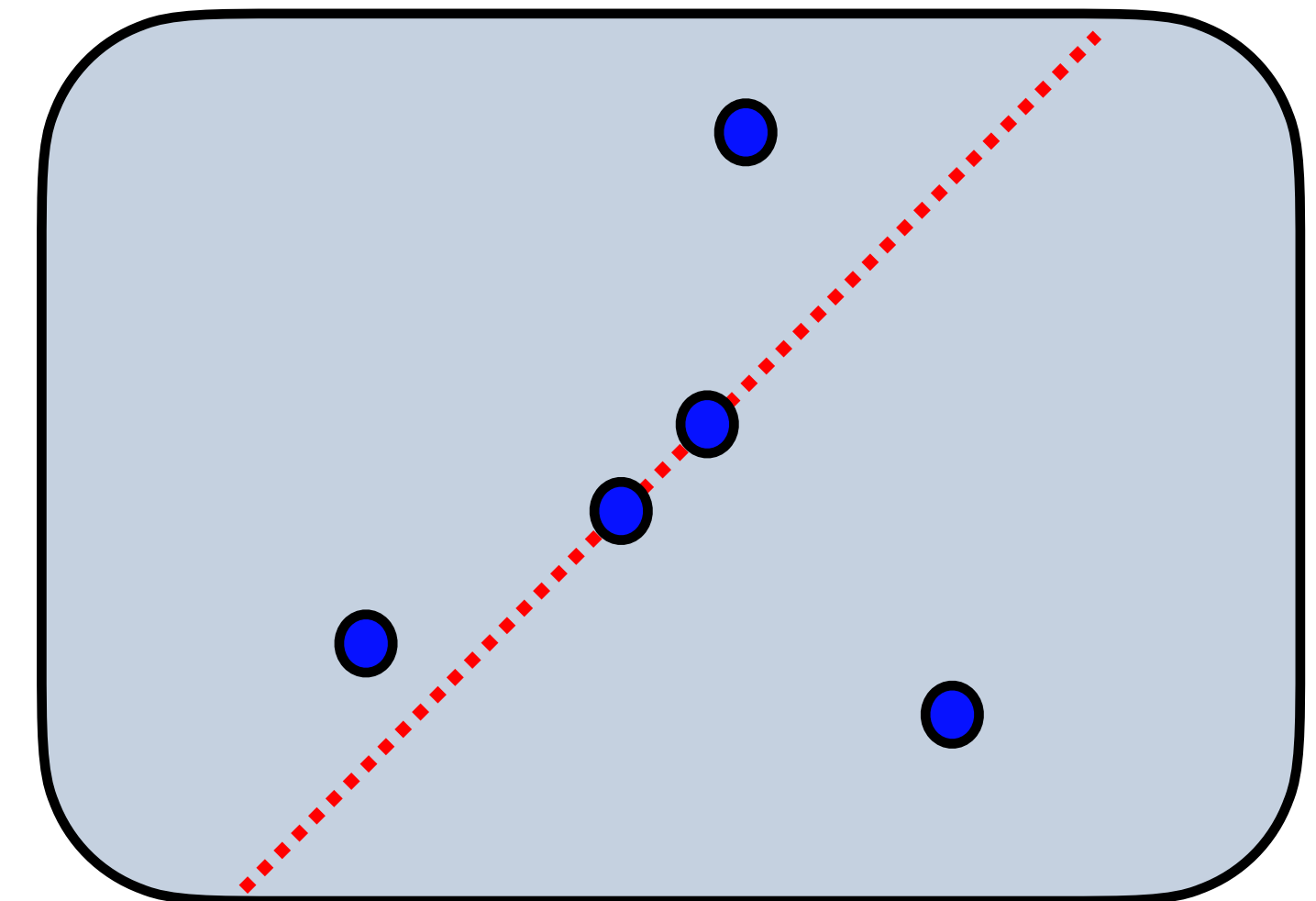
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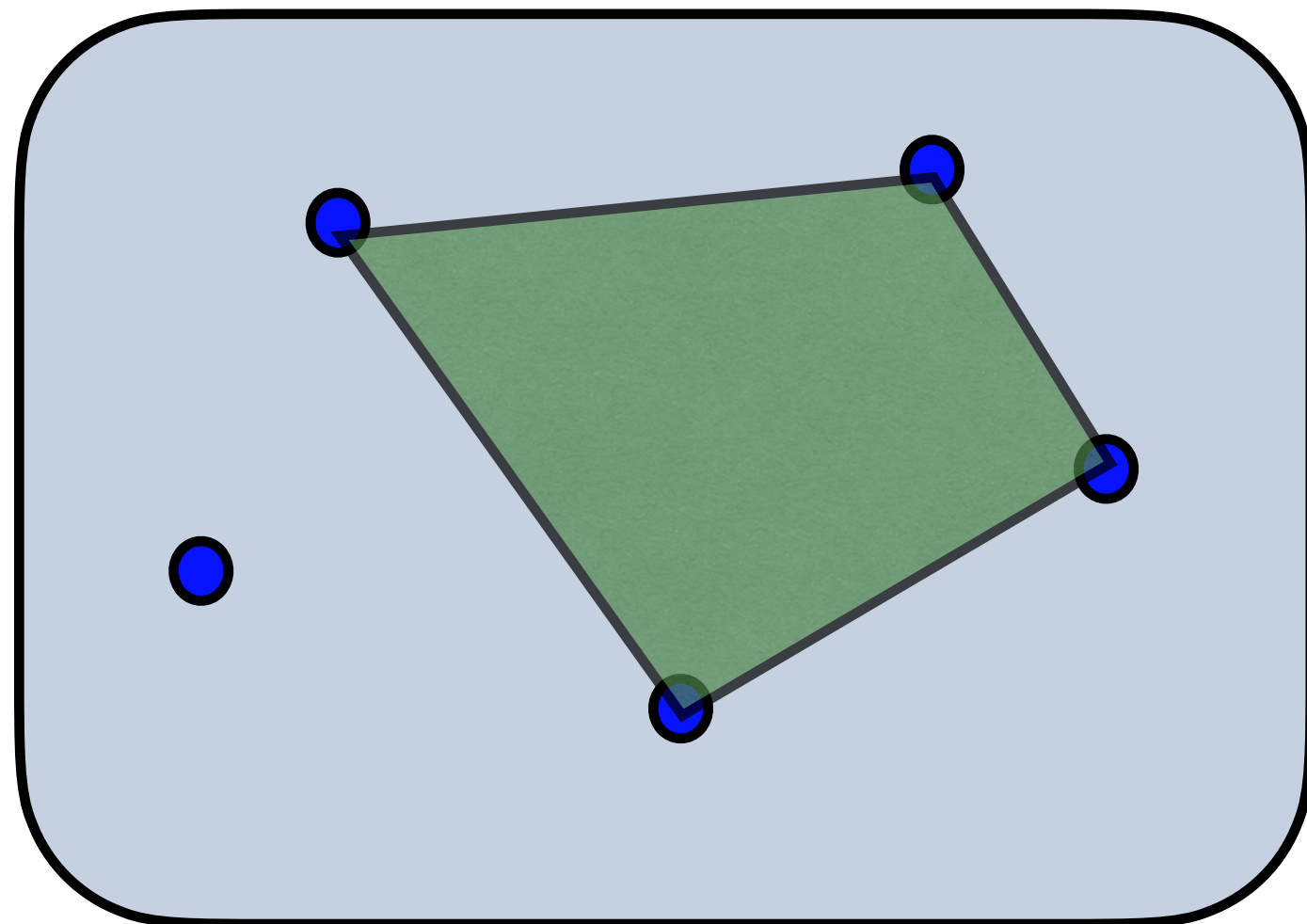


Case 3: 3 points in Convex Hull

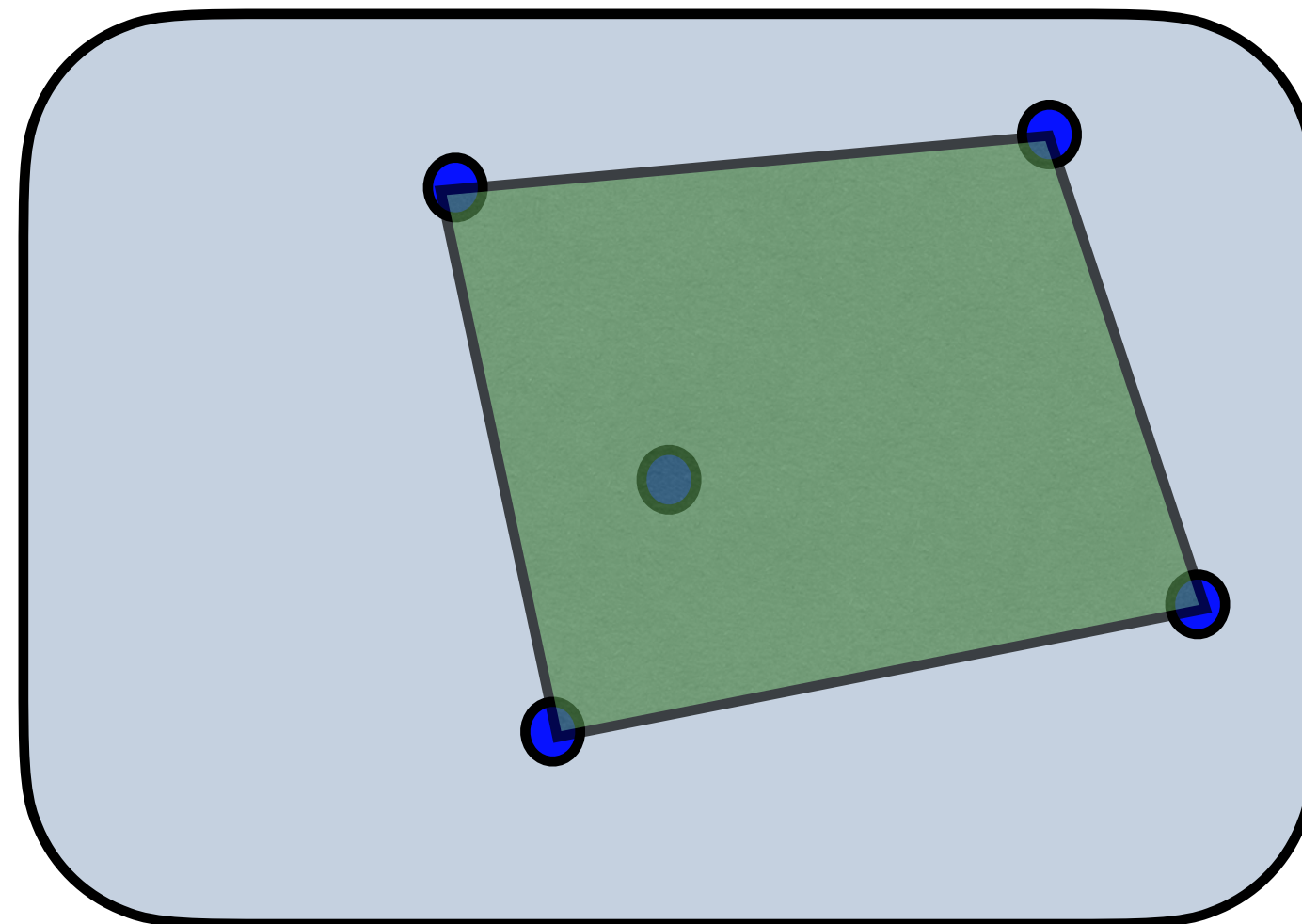
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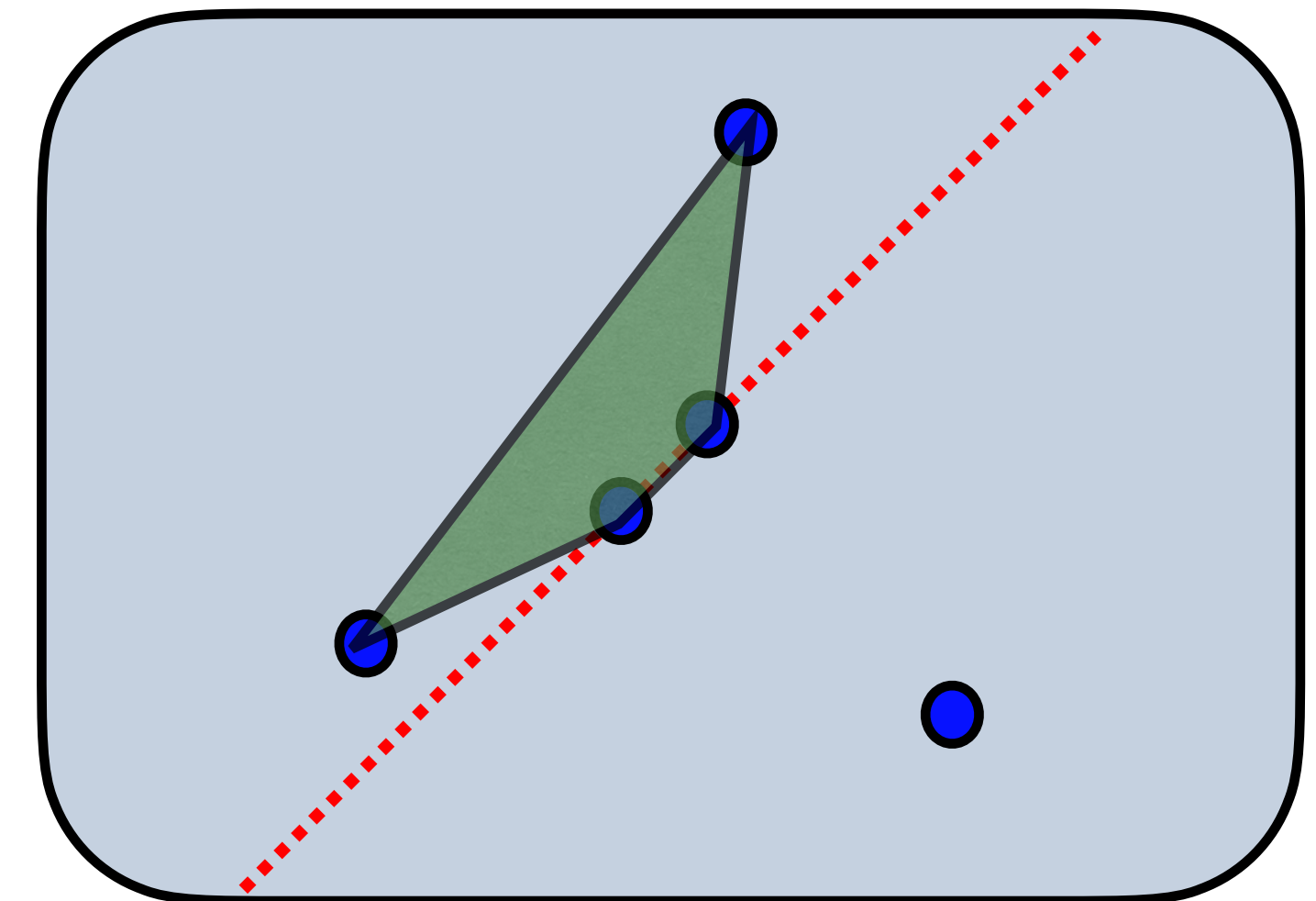
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How many points without 3 on a line guarantee a **convex quadrilateral**?

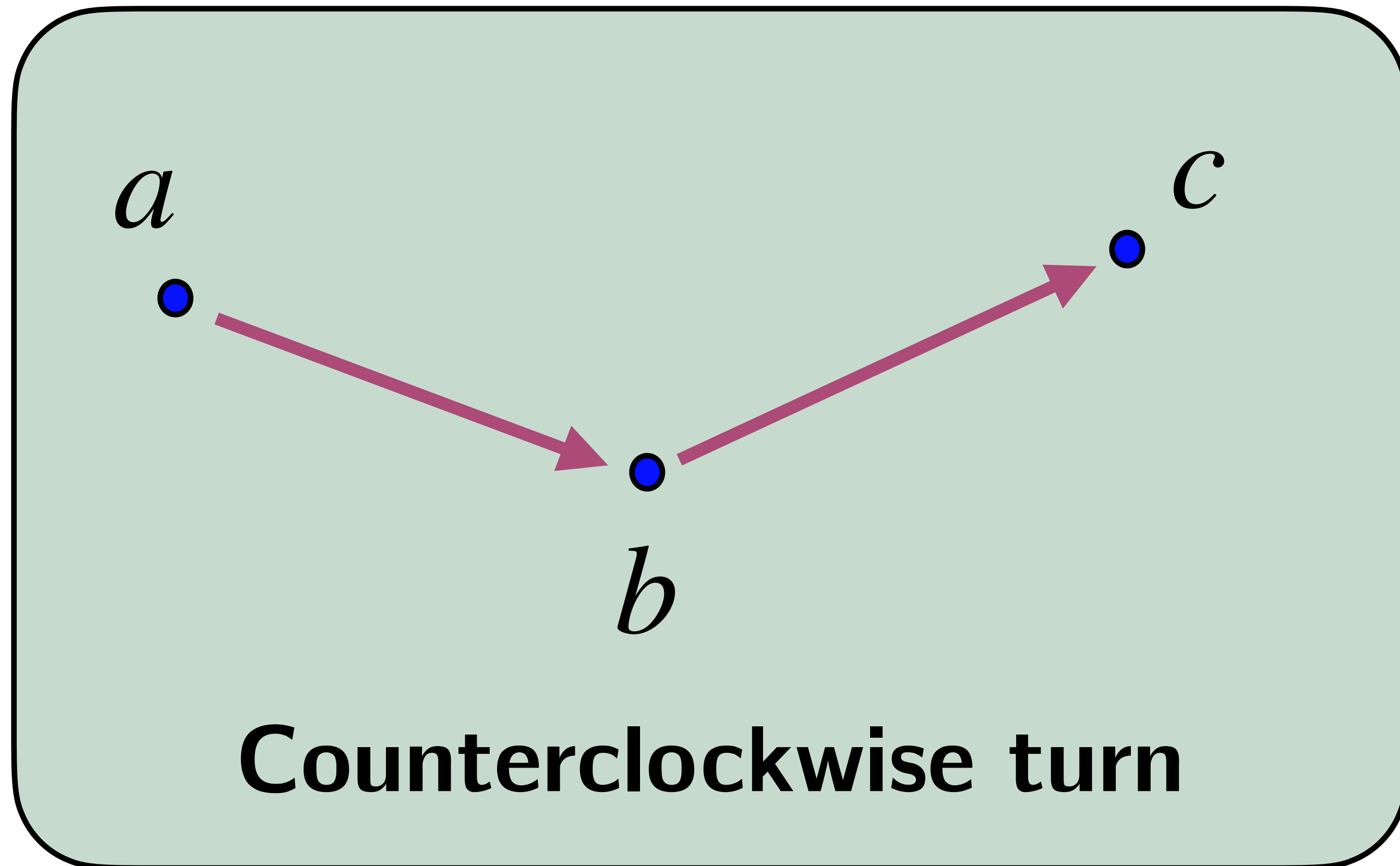
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Insight: we can reason by cases in terms of which points are above or below lines formed by other points!

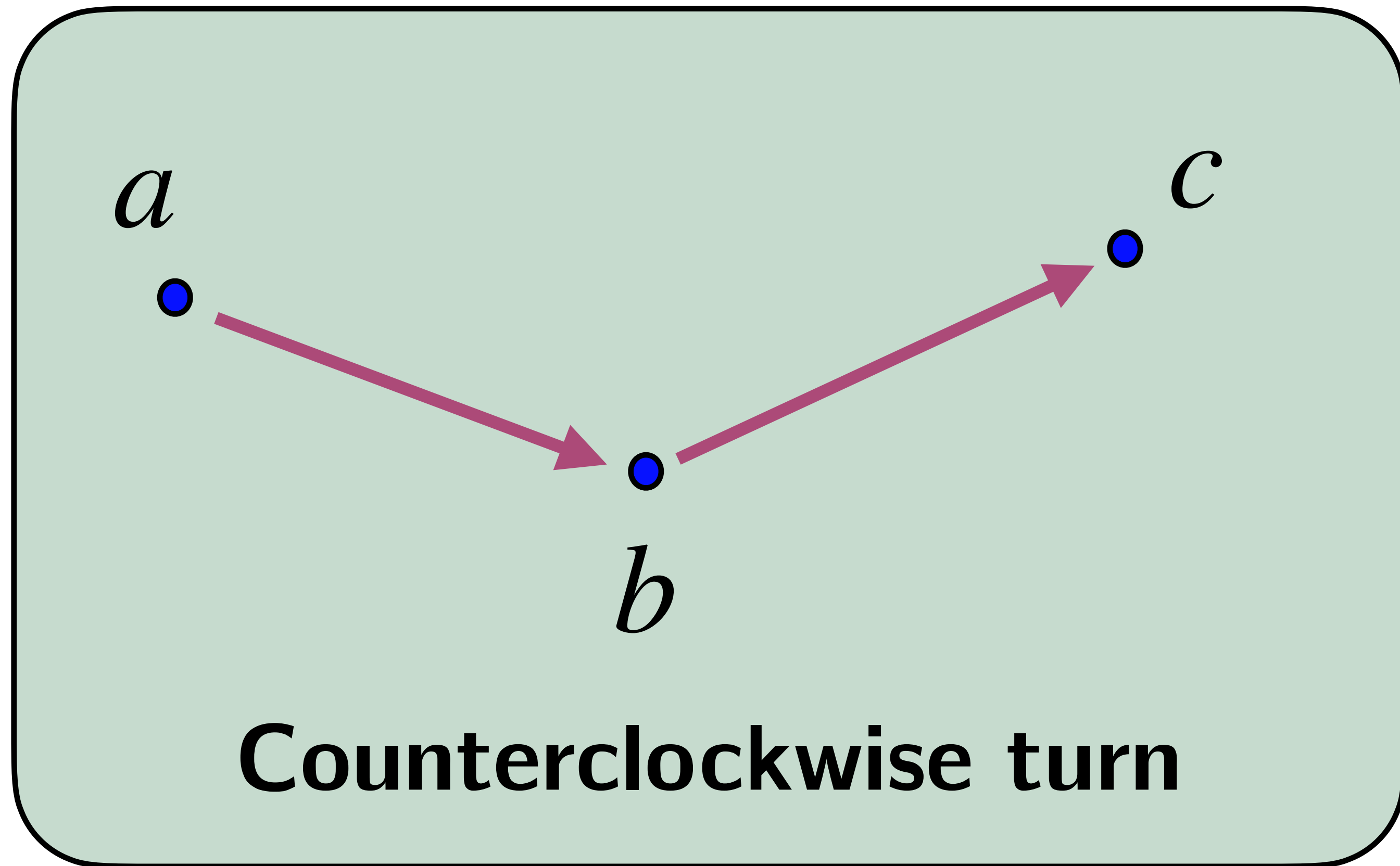
Case 1: 5 points in Convex Hull

Case 2: 5 points in Convex Hull

Combinatorial Structure: Triple Orientations



Combinatorial Structure: Triple Orientations

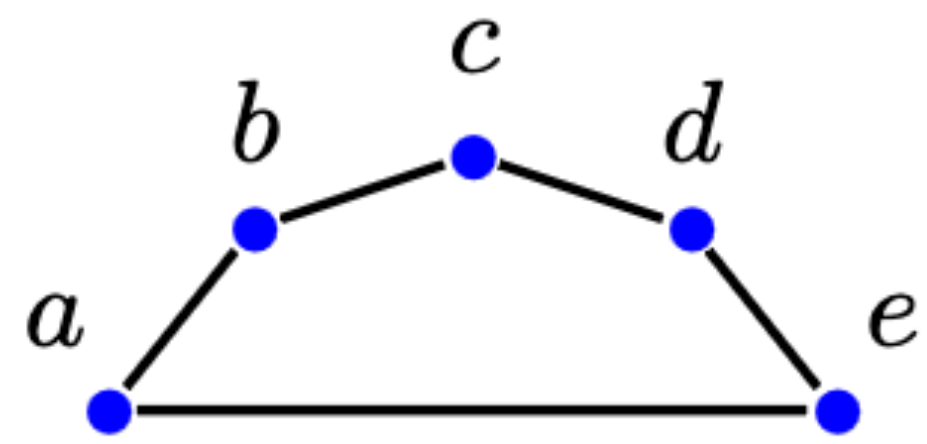


$$\sigma(a, b, c) = \text{true}$$

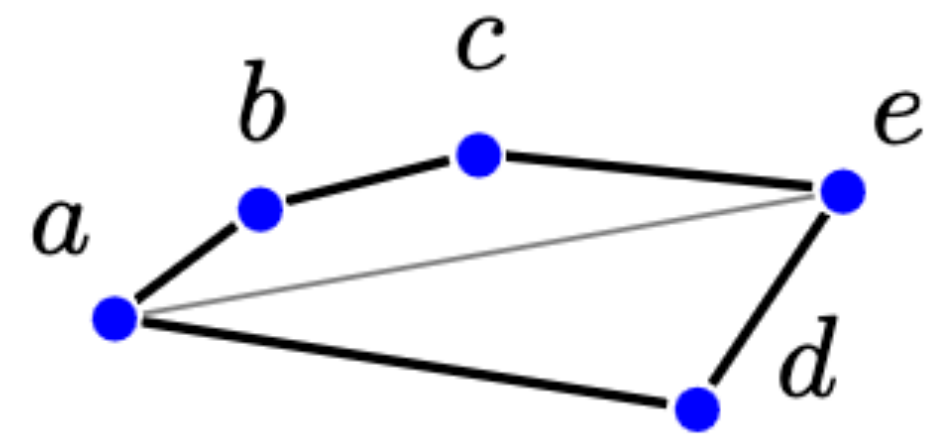


$$\det \begin{bmatrix} a_x & b_x & c_x \\ a_y & b_y & c_y \\ 1 & 1 & 1 \end{bmatrix} > 0$$

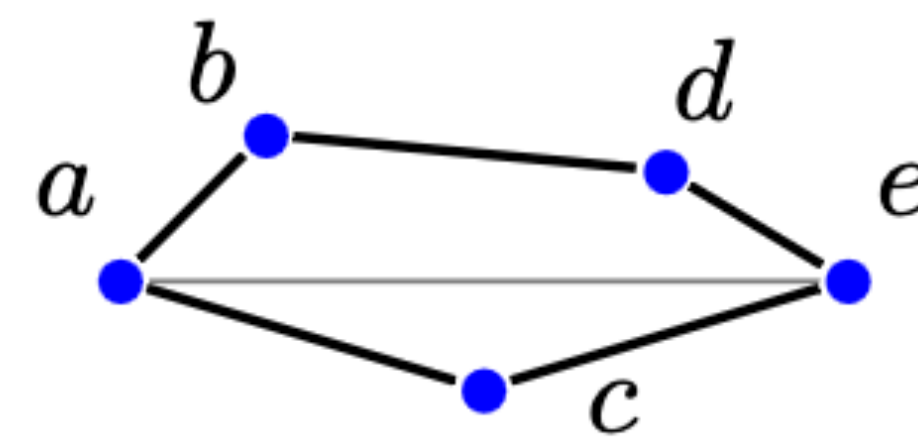
Szekeres and Peters: 8 cases for convex pentagons



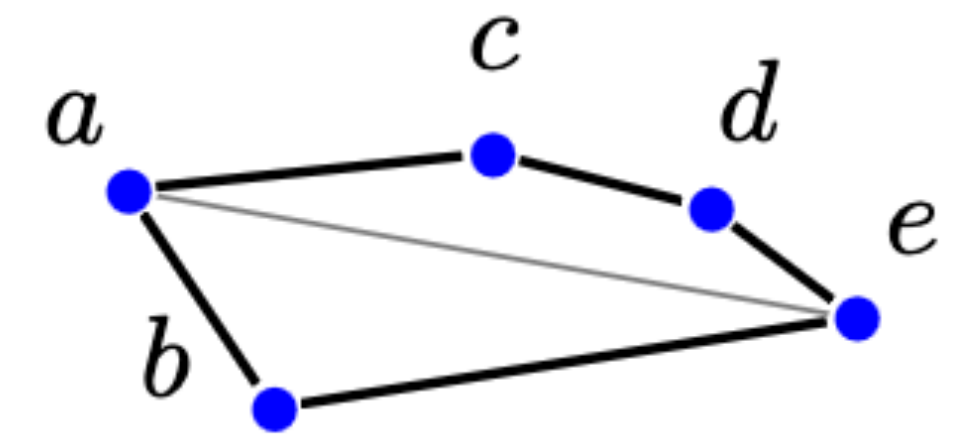
I



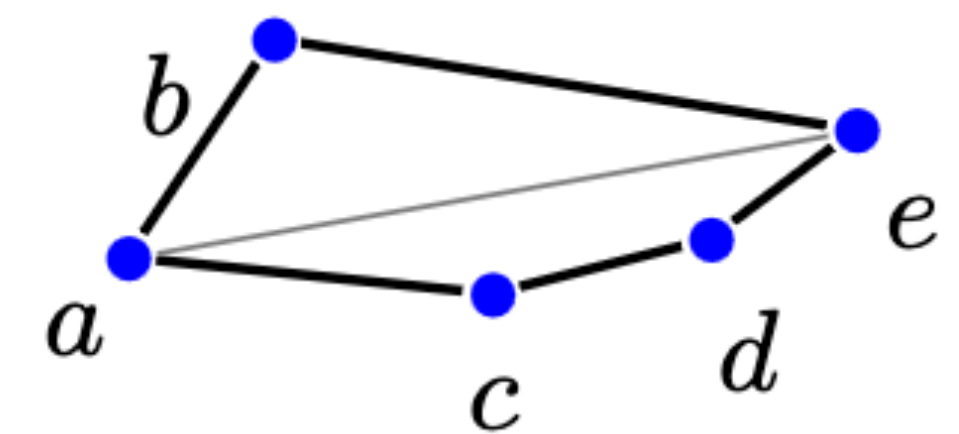
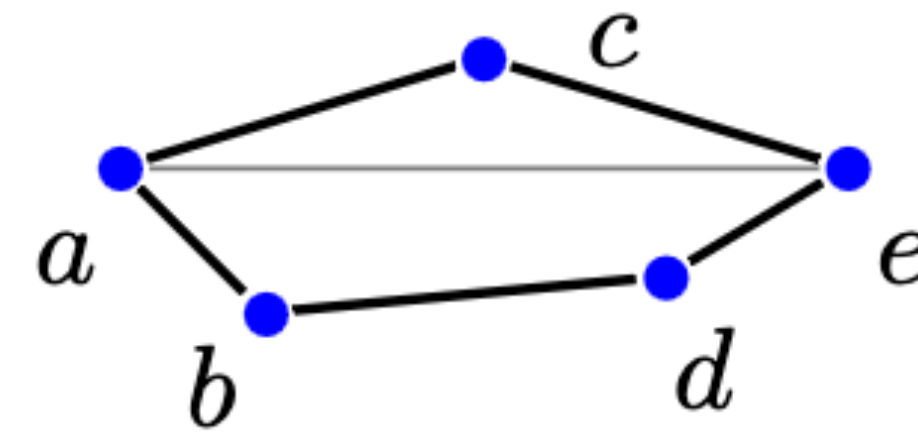
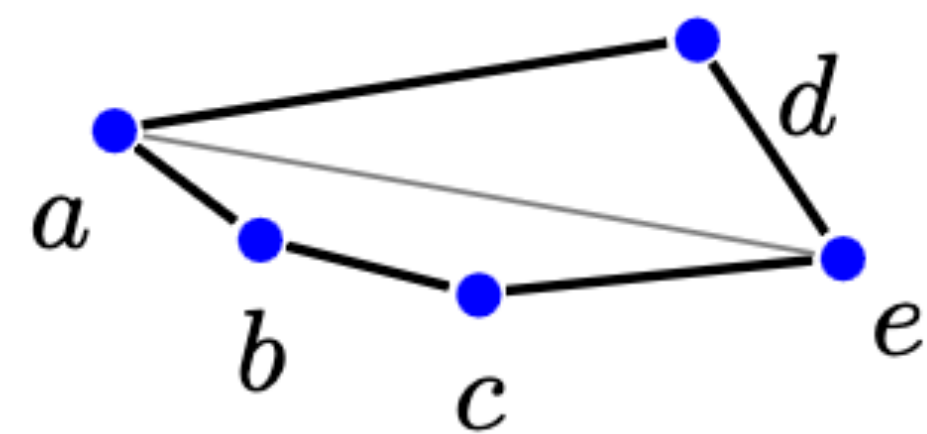
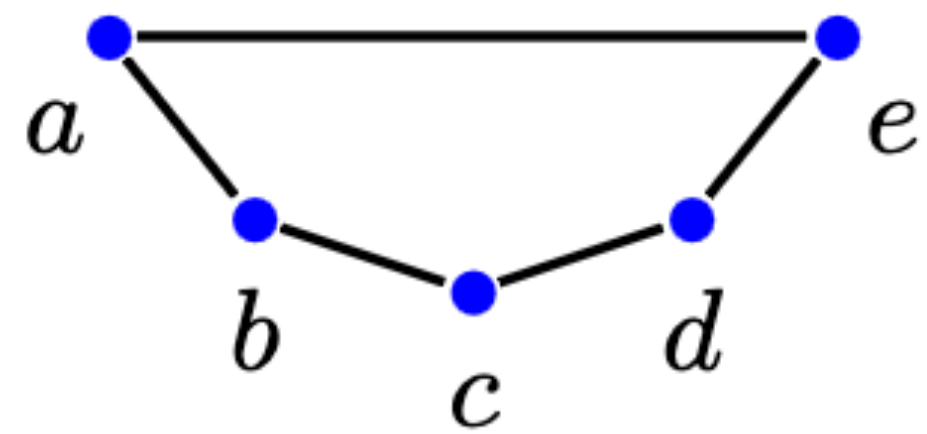
II



III

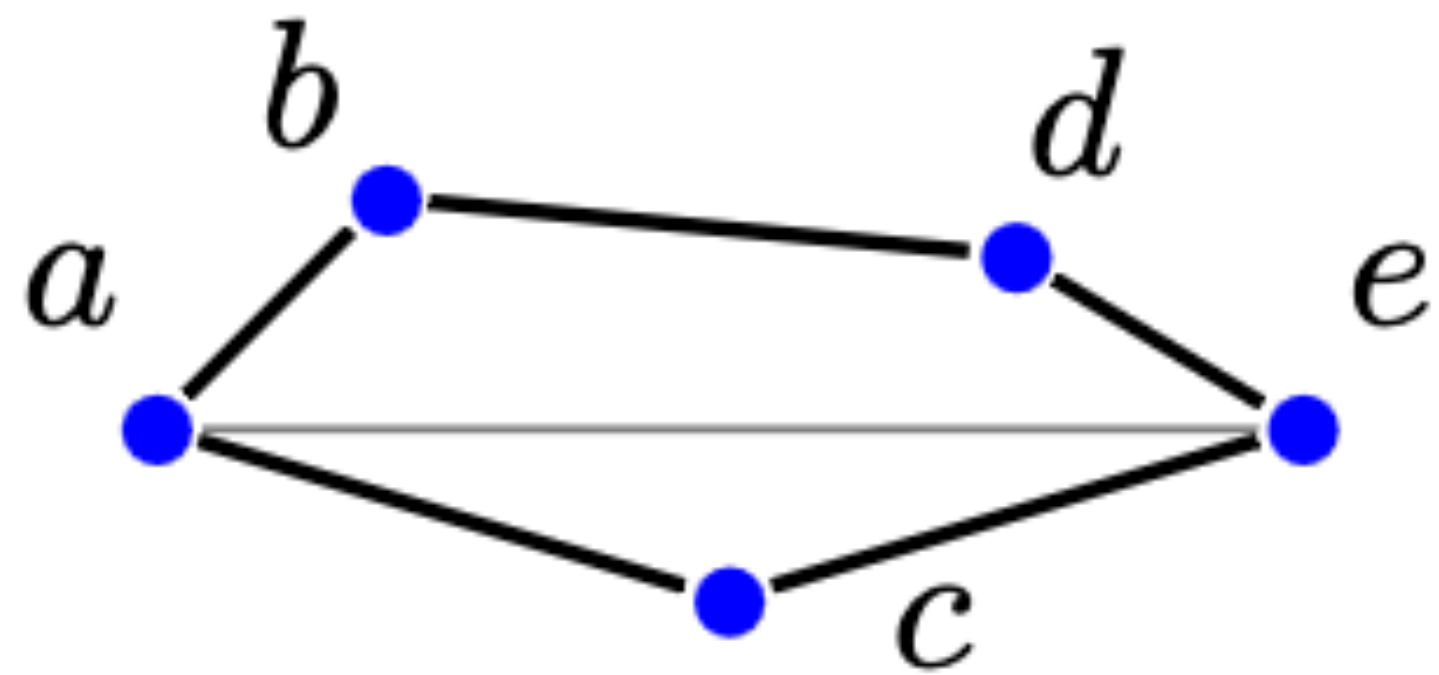


IV



Szekeres and Peters: 8 cases for a convex pentagon

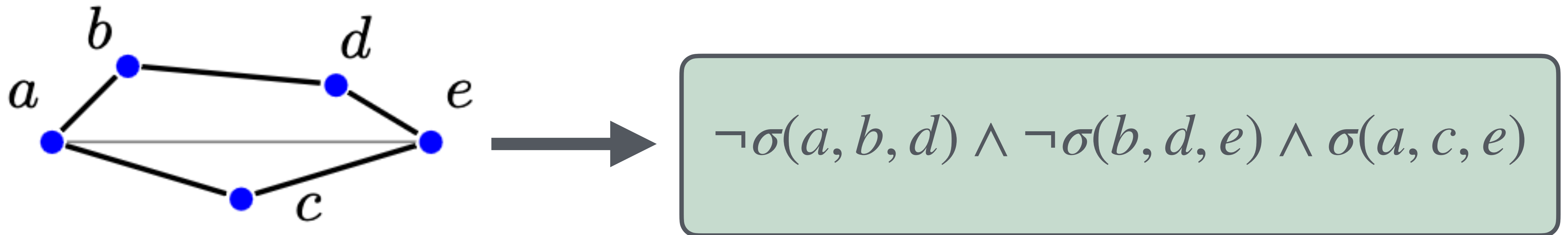
Encoding Example:



$$\neg\sigma(a, b, d) \wedge \neg\sigma(b, d, e) \wedge \sigma(a, c, e)$$

Szekeres and Peters: 8 cases for a convex pentagon

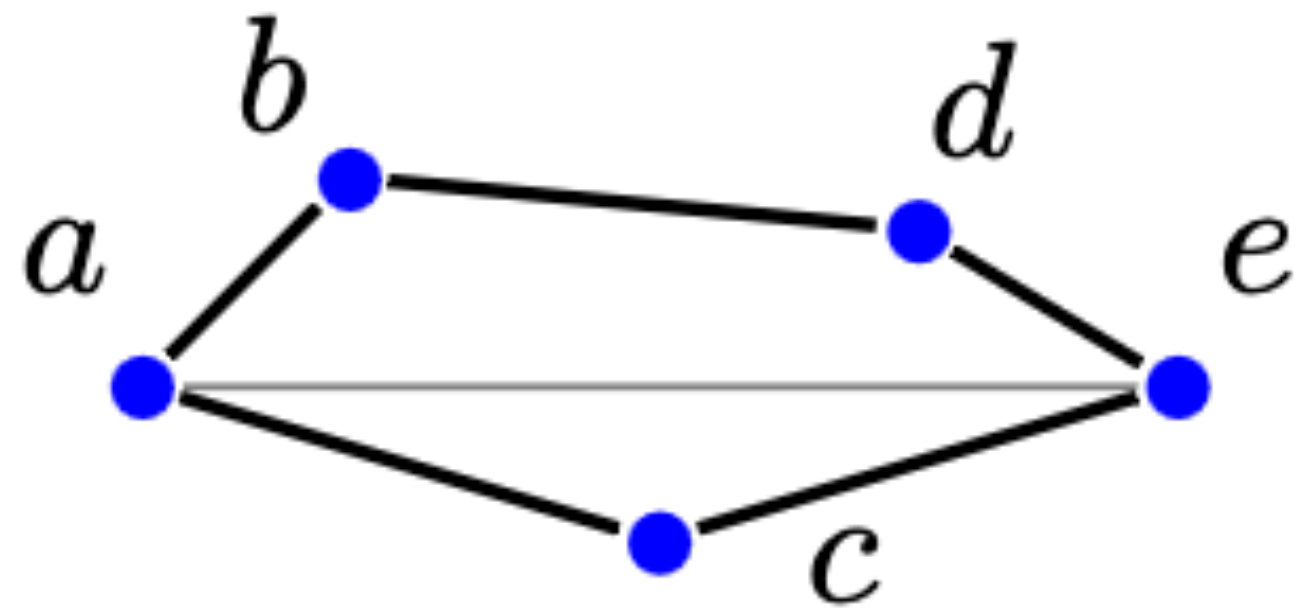
Encoding Example:



So to forbid that pentagon in one clause we do

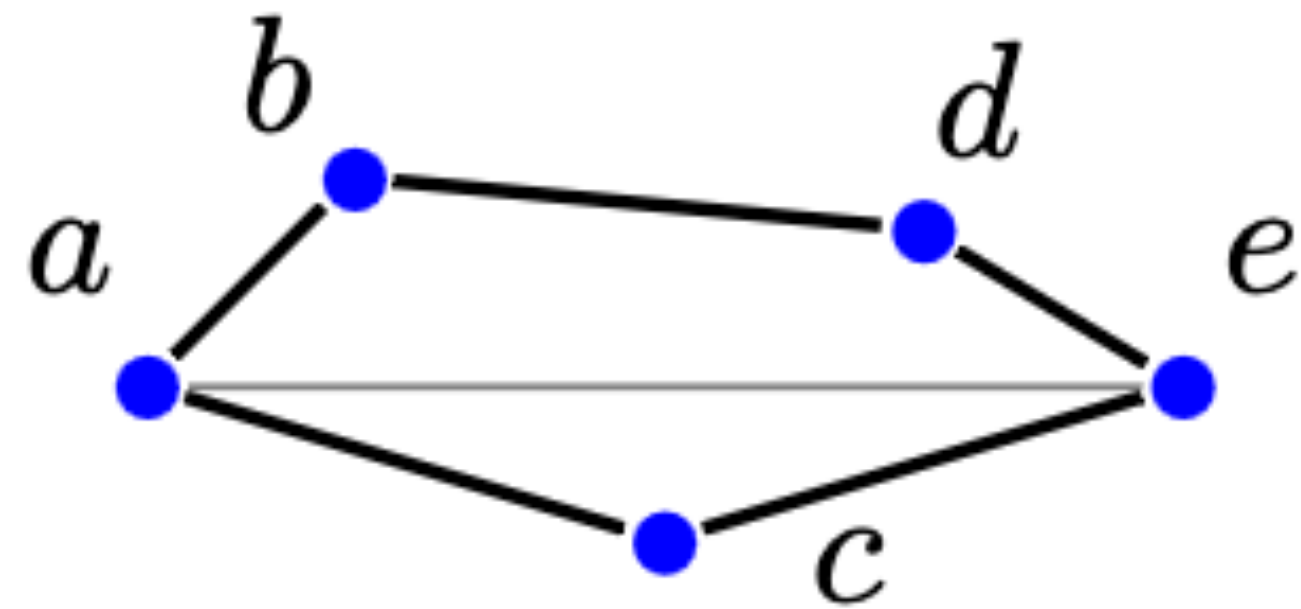
$$\sigma(a, b, d) \vee \sigma(b, d, e) \vee \neg\sigma(a, c, e)$$

We just said:



$$\neg\sigma(a, b, d) \wedge \neg\sigma(b, d, e) \wedge \sigma(a, c, e)$$

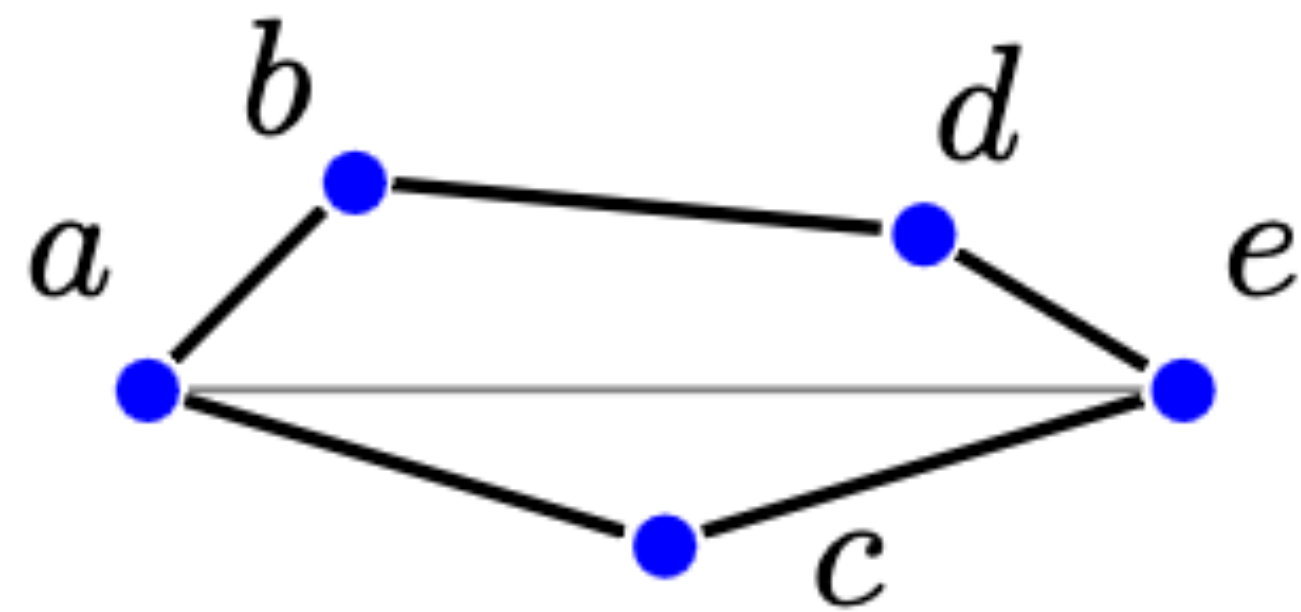
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Not necessarily! We don't know if a σ -assignment corresponds to an actual pointset !

Stochastic Local Search

SAT algorithm that minimize falsified clauses by flipping variables.

No guarantees!

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We add, for each ordered five tuple (a, b, c, d, e) , the 8 clauses that forbid the (mutually exclusive) different ways those points could form a convex pentagon

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Number of falsified clauses \approx Number of convex pentagons*

Stochastic Local Search Results

| N | Best | Time |
|----|----------|--------|
| 9 | 1 | 0.00 s |
| 10 | 2 | 0.00 s |
| 11 | 7 | 0.00 s |
| 12 | 12 | 0.00 s |
| 13 | 27 | 0.01 s |
| 14 | 42 | 0.01 s |
| 15 | 77 | 0.01 s |
| 16 | 112 | 0.02 s |

...

| N | Best | Time |
|----|------|---------|
| 23 | 1254 | 12 s |
| 24 | 1584 | 472 s |
| 25 | 2079 | 64 s |
| 26 | 2574 | 5269 s |
| 27 | 3289 | 1556 s |
| 28 | 4004 | 1792 s |
| 29 | 5005 | 467 s |
| 30 | 6007 | 18244 s |

Note: these are not necessarily optimal

Notice something odd?

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$$c_5 = \lim_{N \rightarrow \infty} \frac{\mu_5(N)}{\binom{N}{5}}$$

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SAT Inspired
Math Idea!

Plug SAT results into Mathematica!

```
In[67]:= (*Define the points*)
points = {{10, 2}, {12, 12}, {14, 42}, {16, 112}, {18, 252}, {20, 504}, {22, 924},
          {24, 1584}};

(*Compute the interpolating polynomial of degree 5*)
poly = InterpolatingPolynomial[points, n];

(*Simplify the polynomial to make it easier to read*)
simplifiedPoly = Simplify[poly];

(*Display the simplified polynomial*)
simplifiedPoly
```

$$\text{Out[70]= } \frac{n (384 - 400 n + 140 n^2 - 20 n^3 + n^4)}{1920}$$

```
In[71]:= FullSimplify[ $\frac{n (384 - 400 n + 140 n^2 - 20 n^3 + n^4)}{1920}$ ]
```

$$\text{Out[71]= } \frac{(-8 + n) \times (-6 + n) \times (-4 + n) \times (-2 + n) n}{1920}$$

Plug SAT results into Mathematica!

Out[71]=
$$\frac{(-8 + n) \times (-6 + n) \times (-4 + n) \times (-2 + n) n}{1920}$$

In[72]:= `Binomial[n, 5]`

Out[72]=
$$\frac{1}{120} \times (-4 + n) \times (-3 + n) \times (-2 + n) \times (-1 + n) n$$

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SAT Inspired Math
Idea!

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How do these solutions look like?

We need to solve the **Realizability** problem ($\exists \mathbb{R}$ complete):
Given a set of orientations, can they all be *realized* by a configuration of points in the plane

(Only available at the in-person talk, sorry)

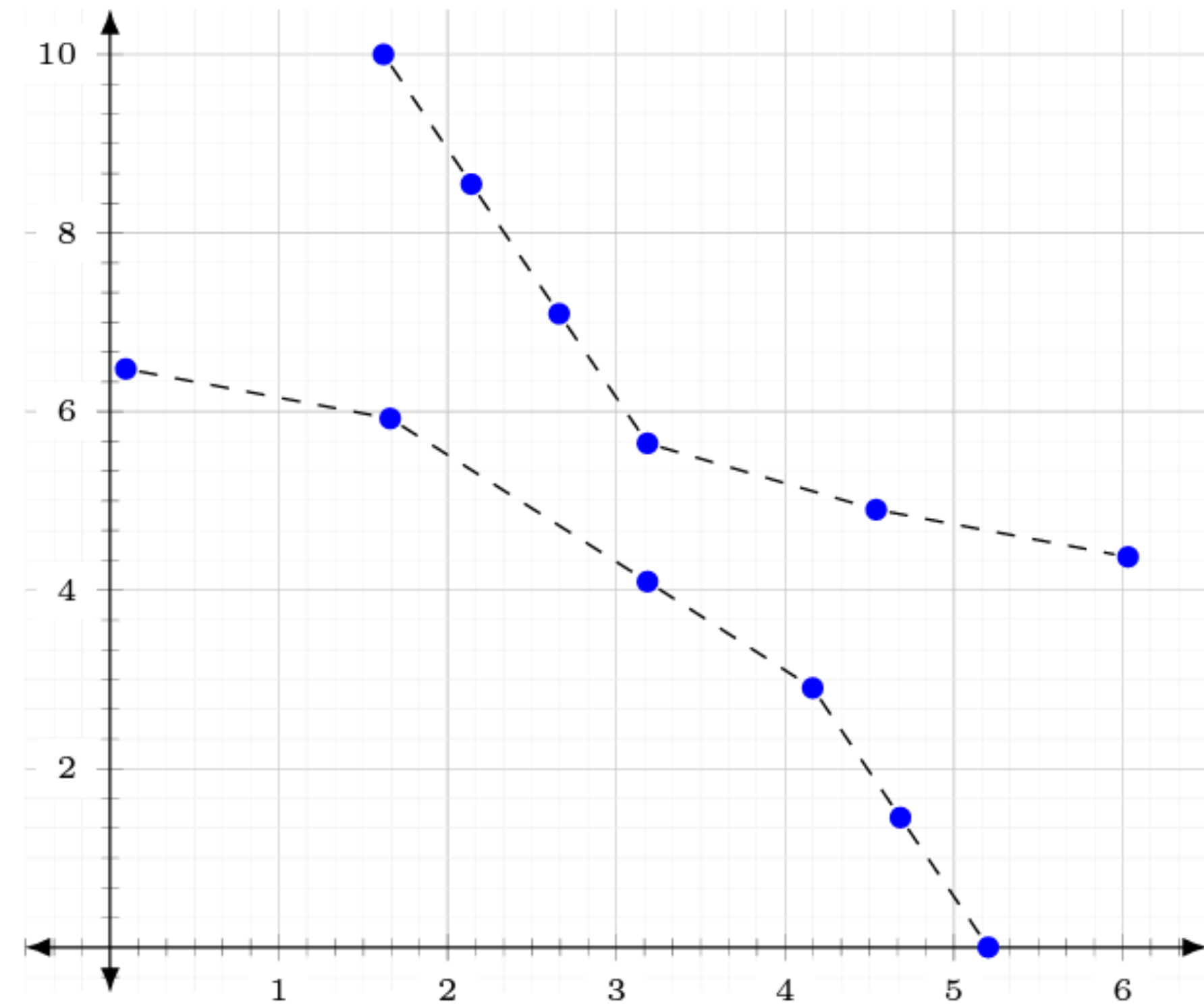
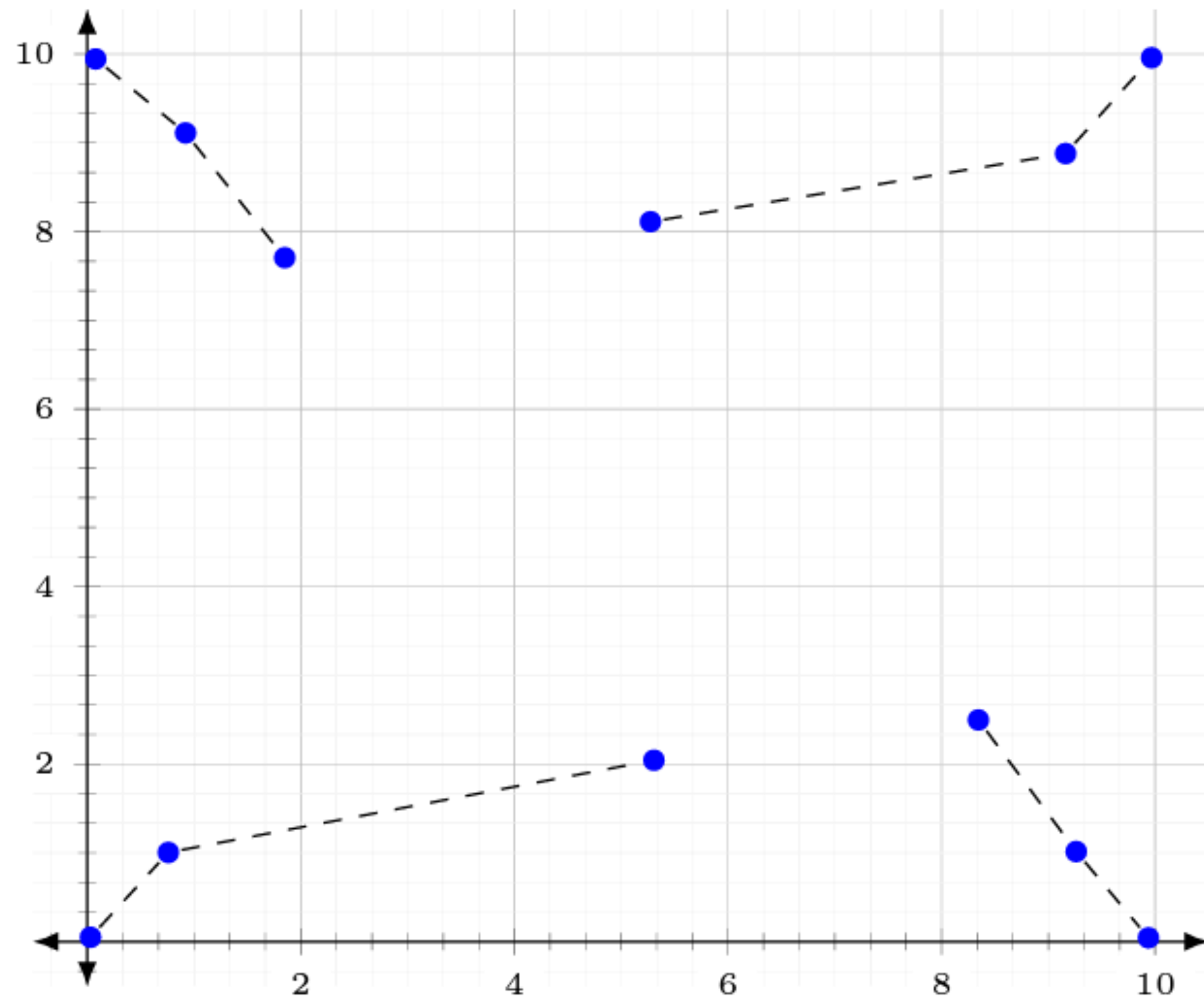
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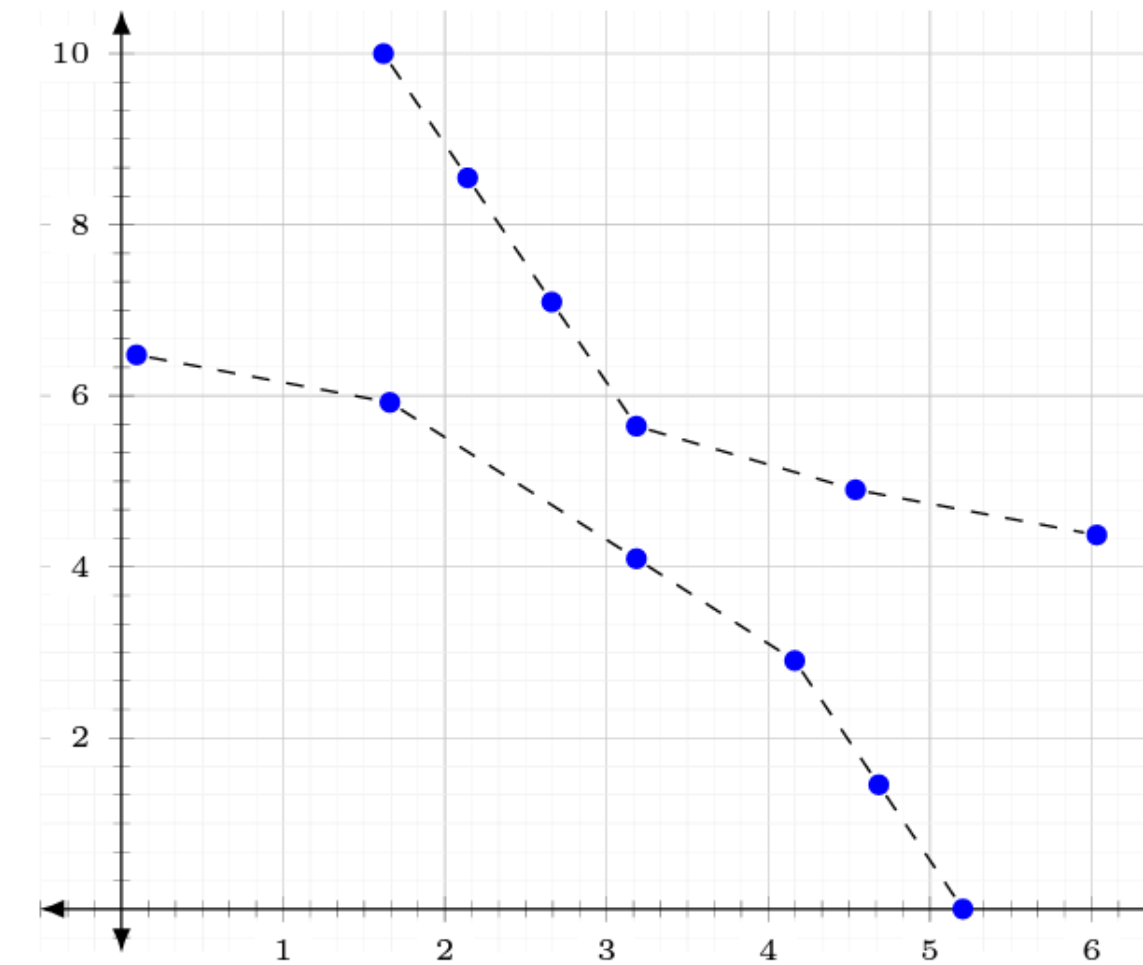
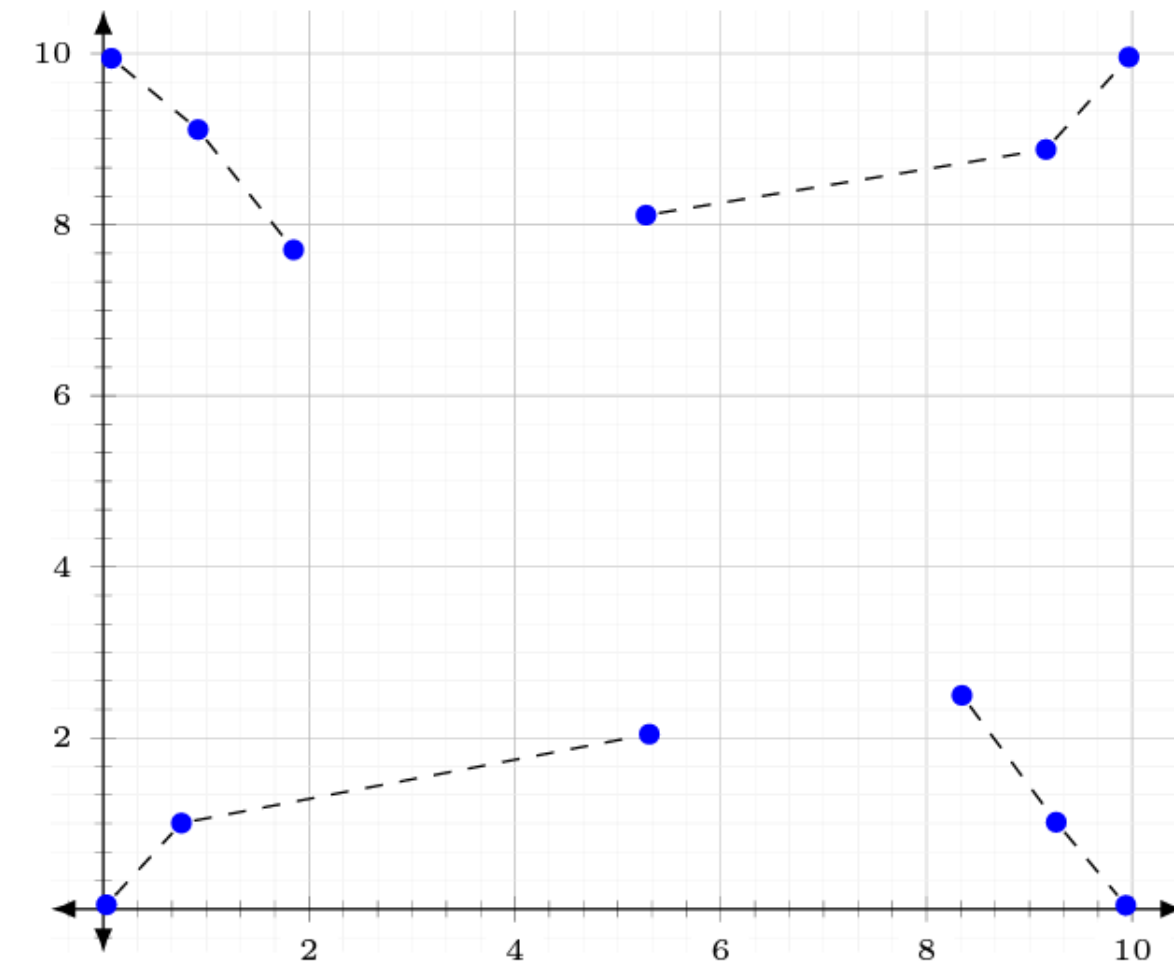
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Demo

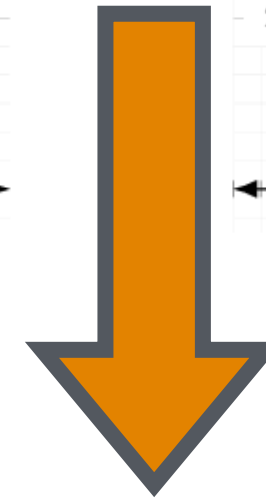
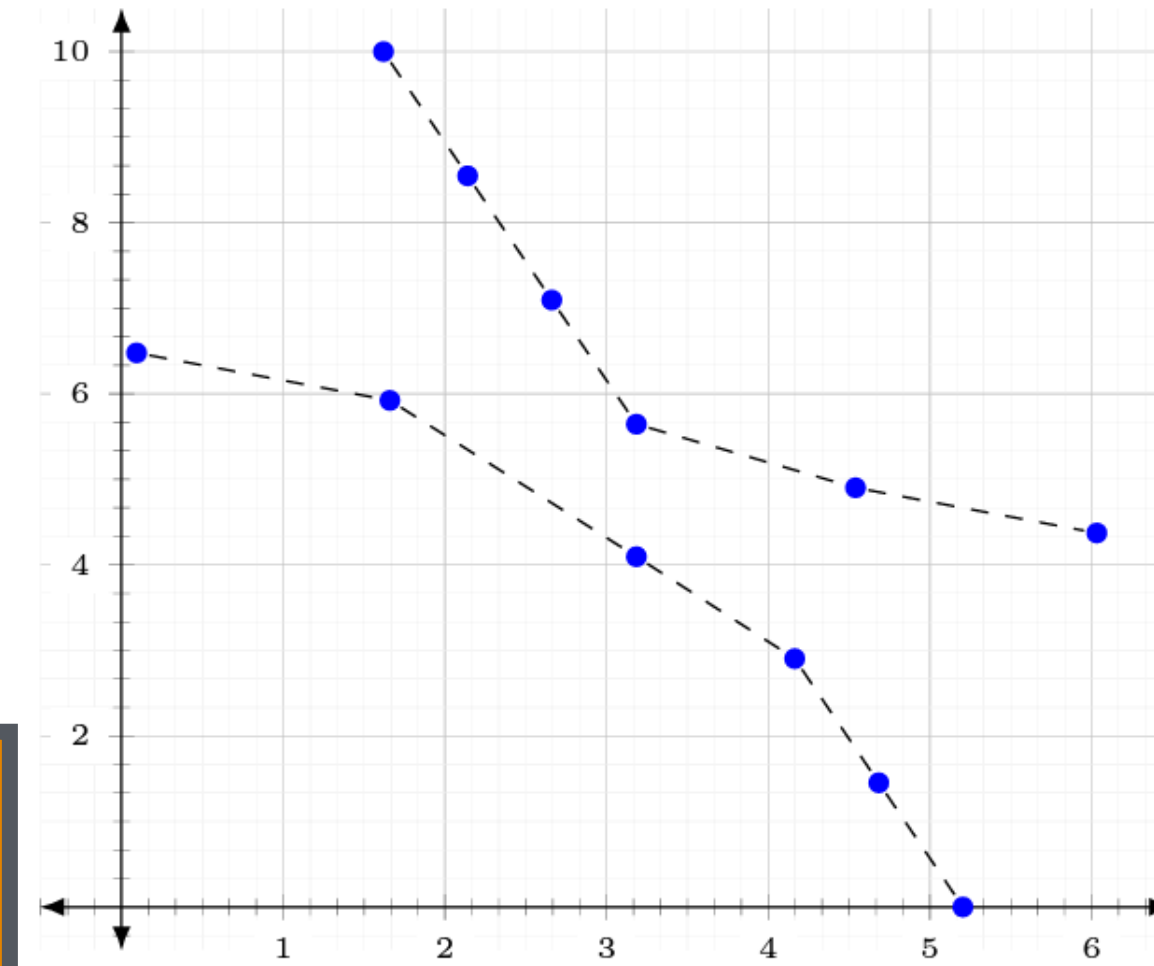
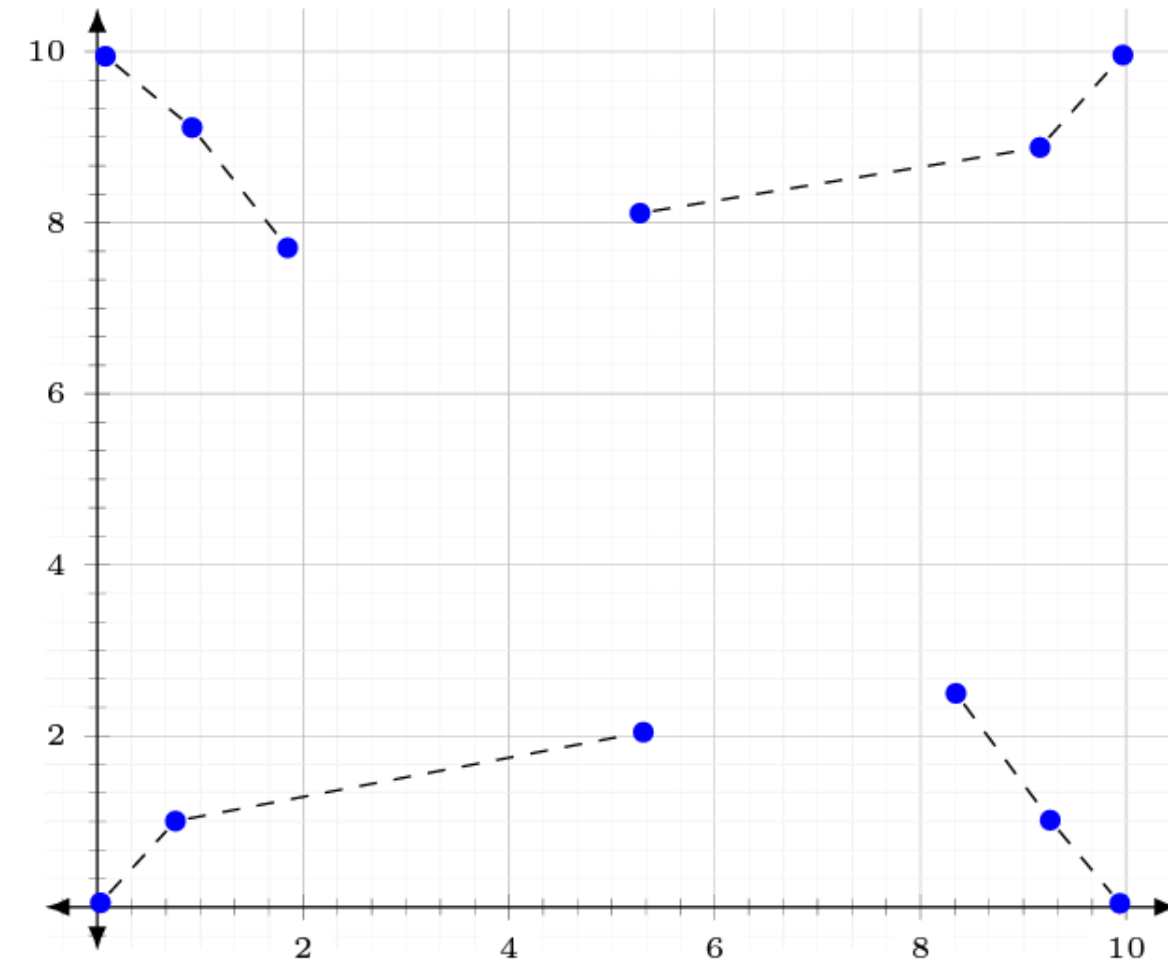
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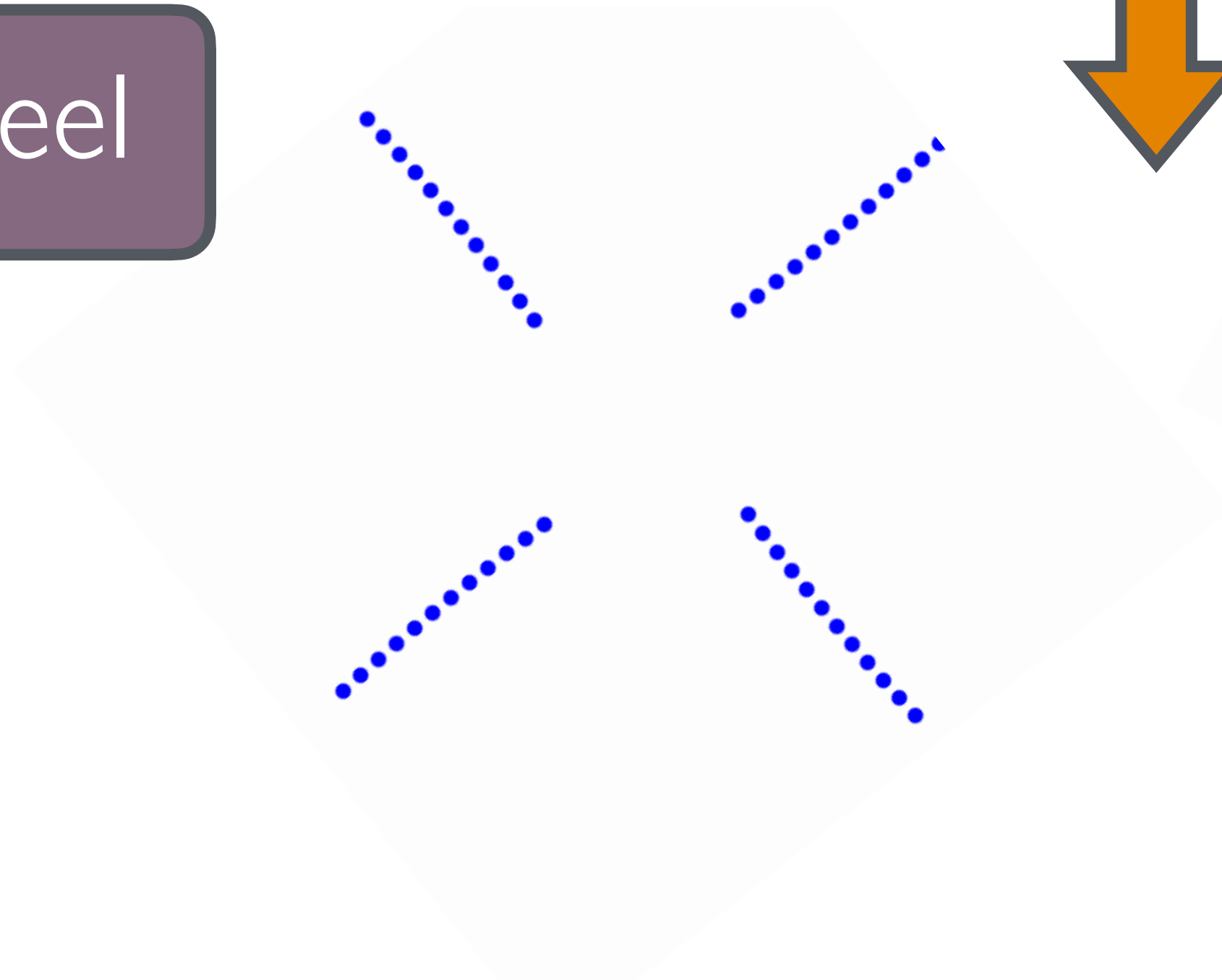
Generalize them as constructions!



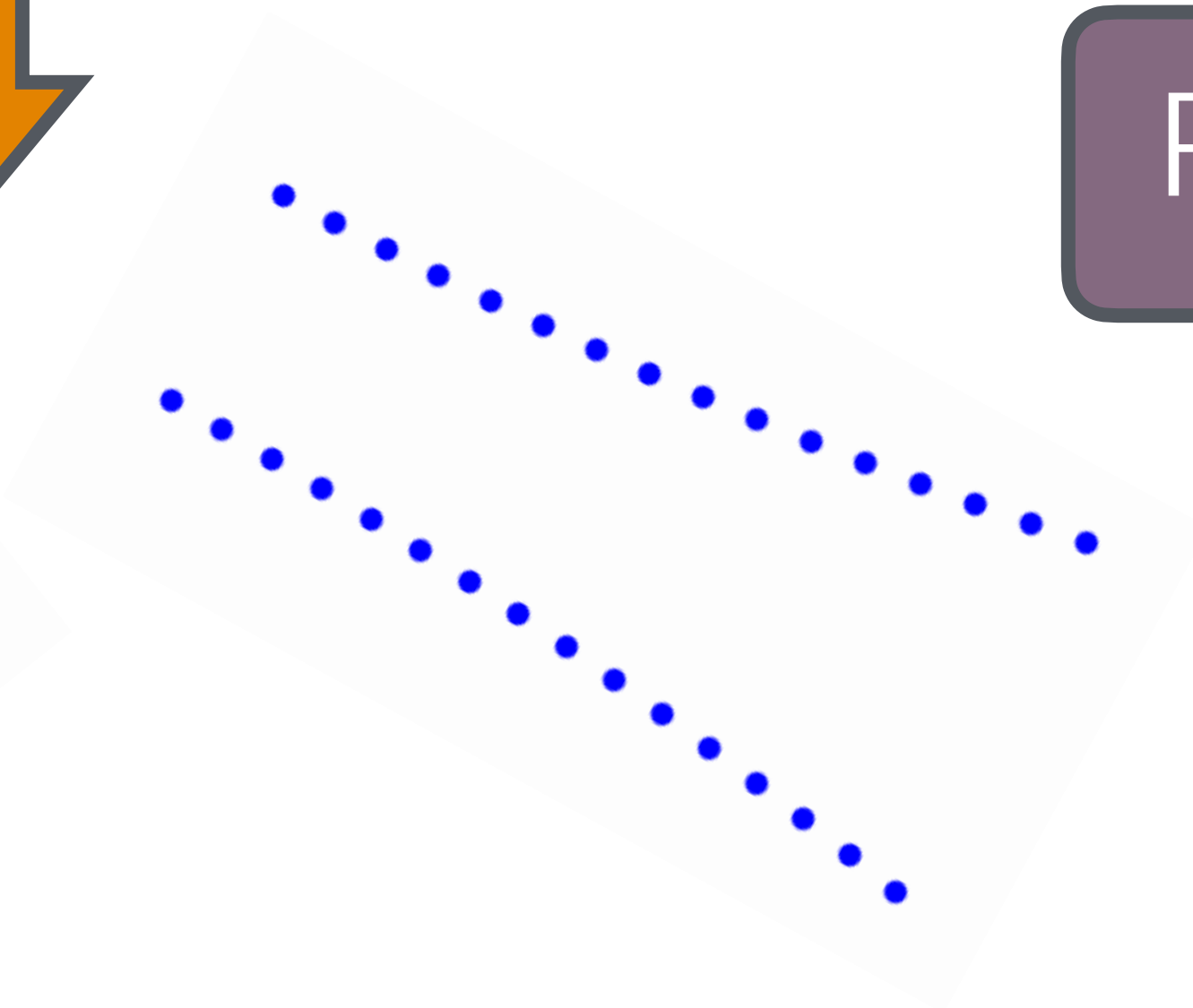
Generalize them as constructions!



Pinwheel



Parabolic



General Upper Bound

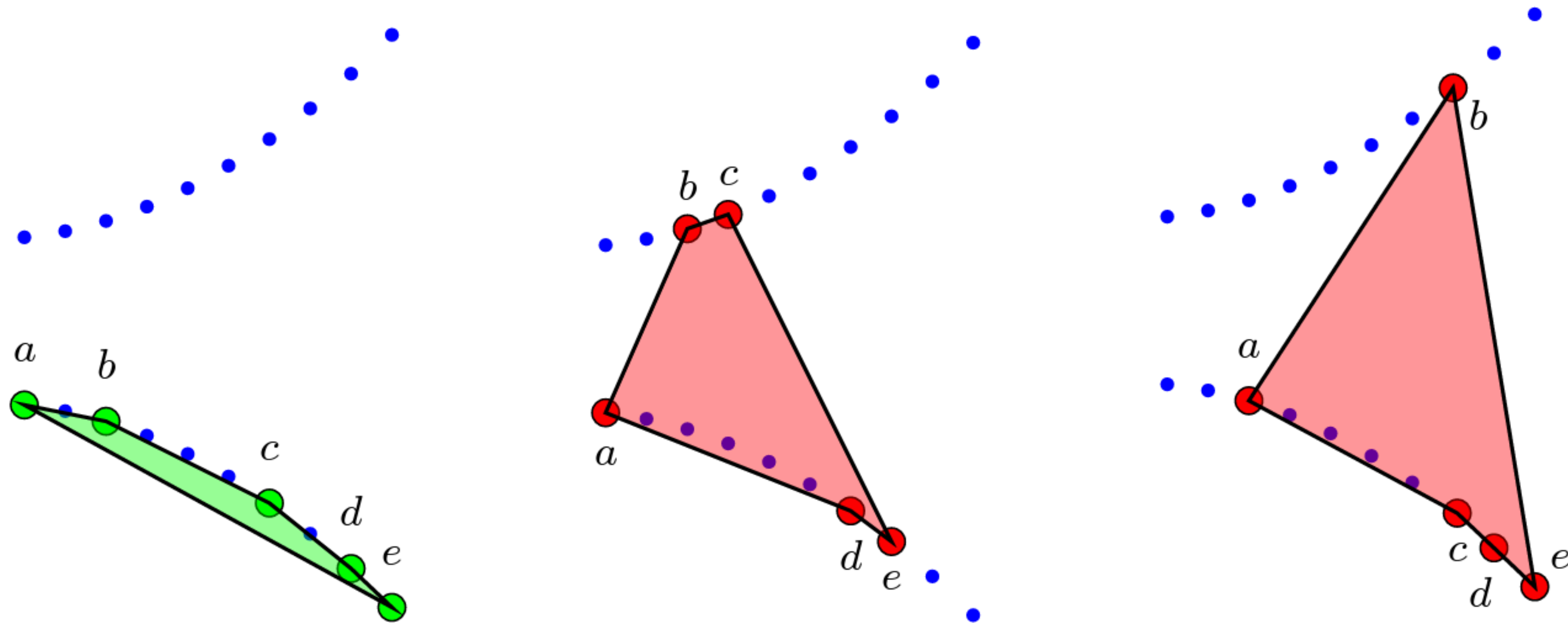
Theorem

$$\mu_5(N) \leq \binom{\lfloor n/2 \rfloor}{5} + \binom{\lceil n/2 \rceil}{5}$$

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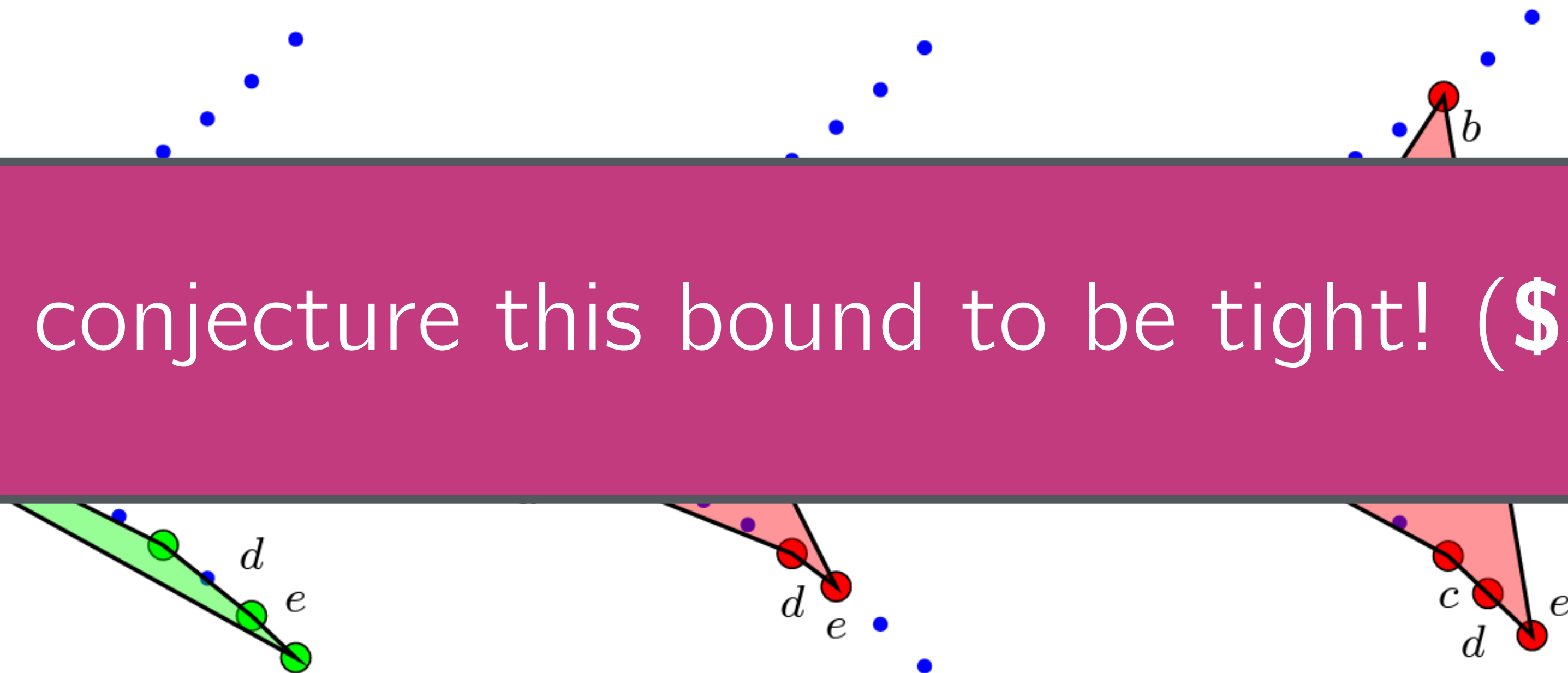


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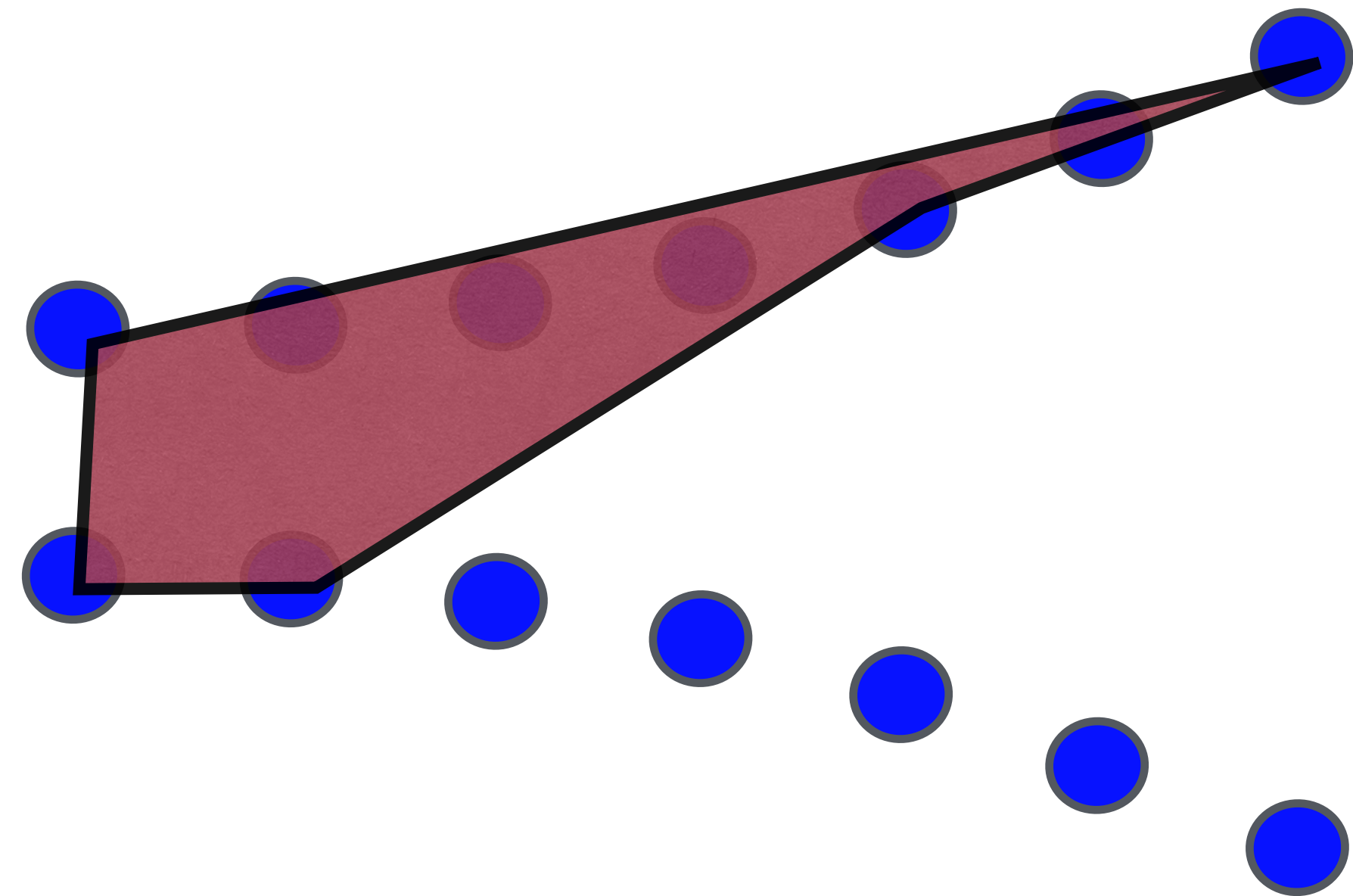
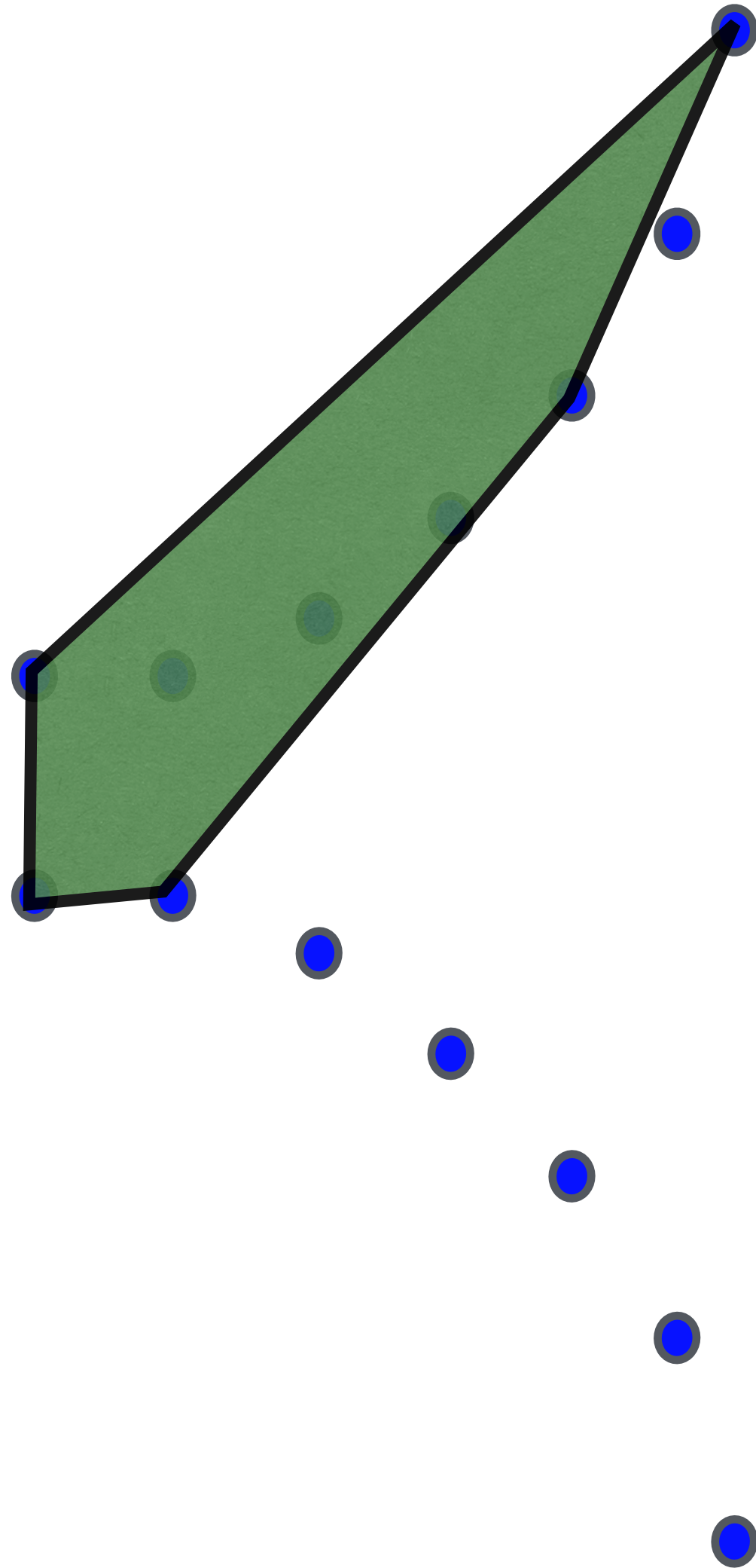
Theorem

$$\mu_5(N) \leq \binom{\lfloor n/2 \rfloor}{5} + \binom{\lceil n/2 \rceil}{5}$$

We conjecture this bound to be tight! (\$500)



We need to be careful though!



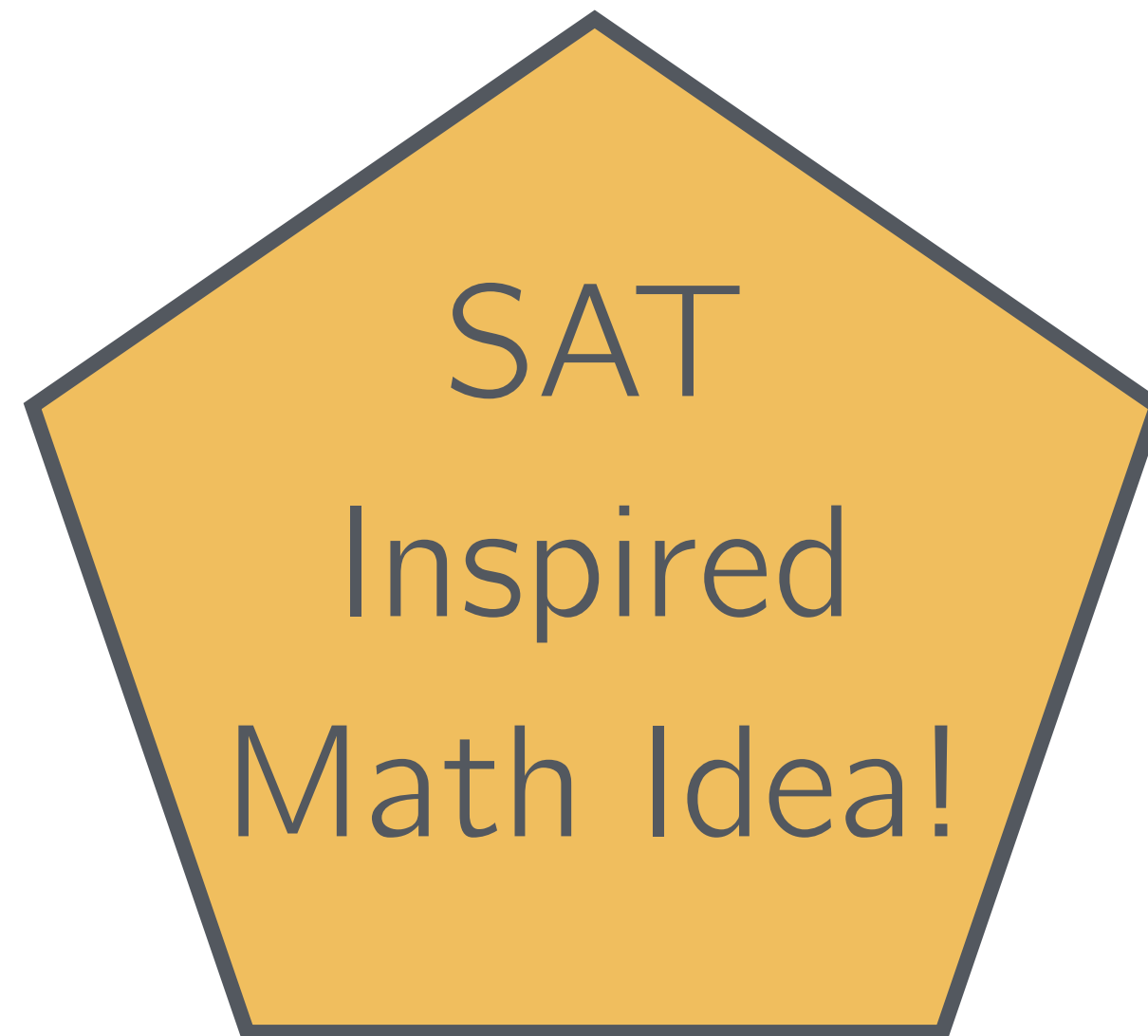
$$p_i^\top = \left(i, 2 + \frac{i^2}{n^2} \right), \forall i \in \left[\left[\frac{n}{2} \right] \right] \quad \text{and} \quad p_i^\perp = \left(i, -2 - \frac{i^2}{n^2} \right), \forall i \in \left[\left[\frac{n}{2} \right] \right]$$

Remember something odd?

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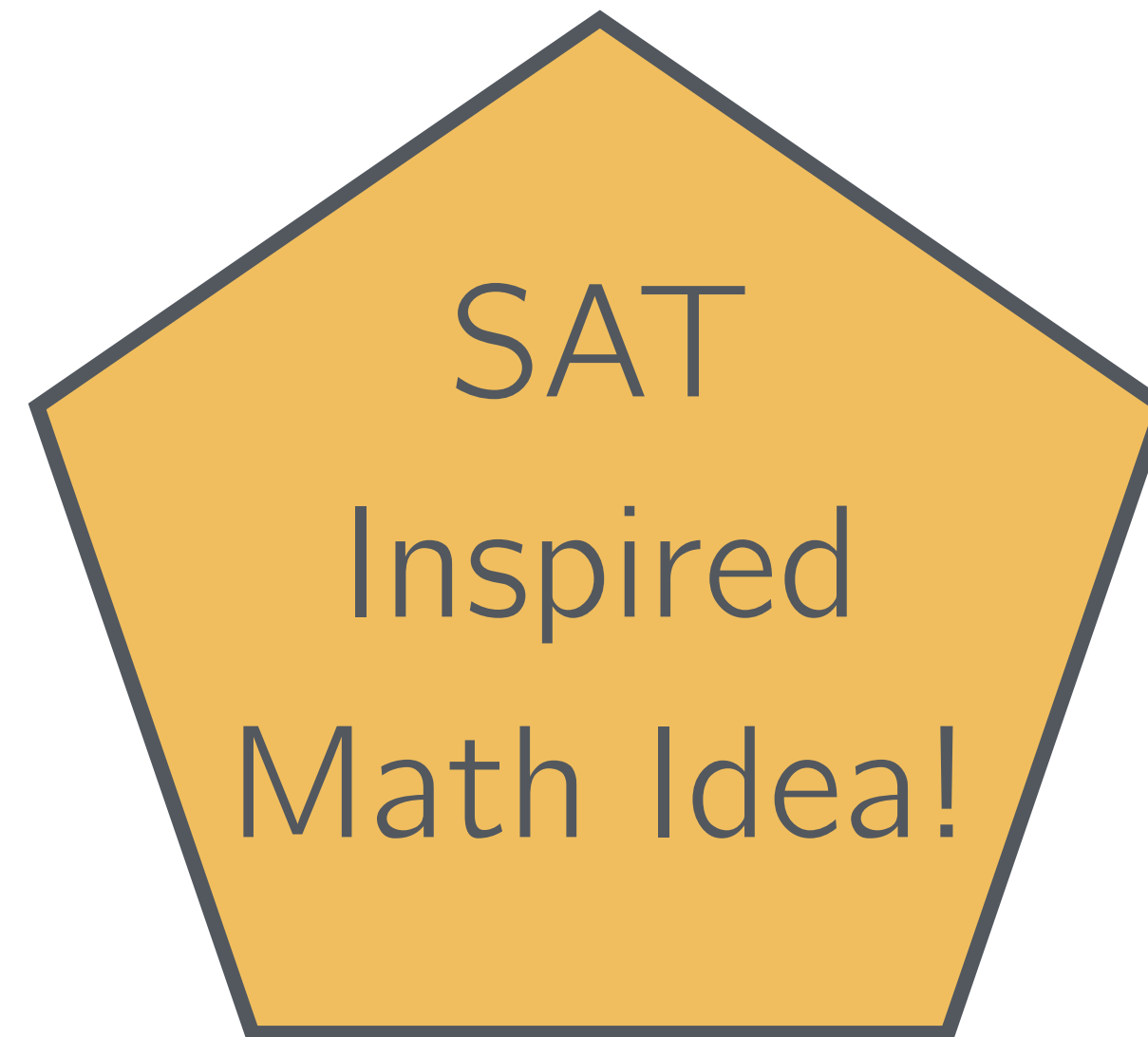
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Theorem: Odd-Even

If the conjecture holds for $2N + 1$,

Then it must hold for $2N + 2$

MaxSAT Verification

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We generate independently-checkable proofs for the optimal bounds up to **$N = 15$**

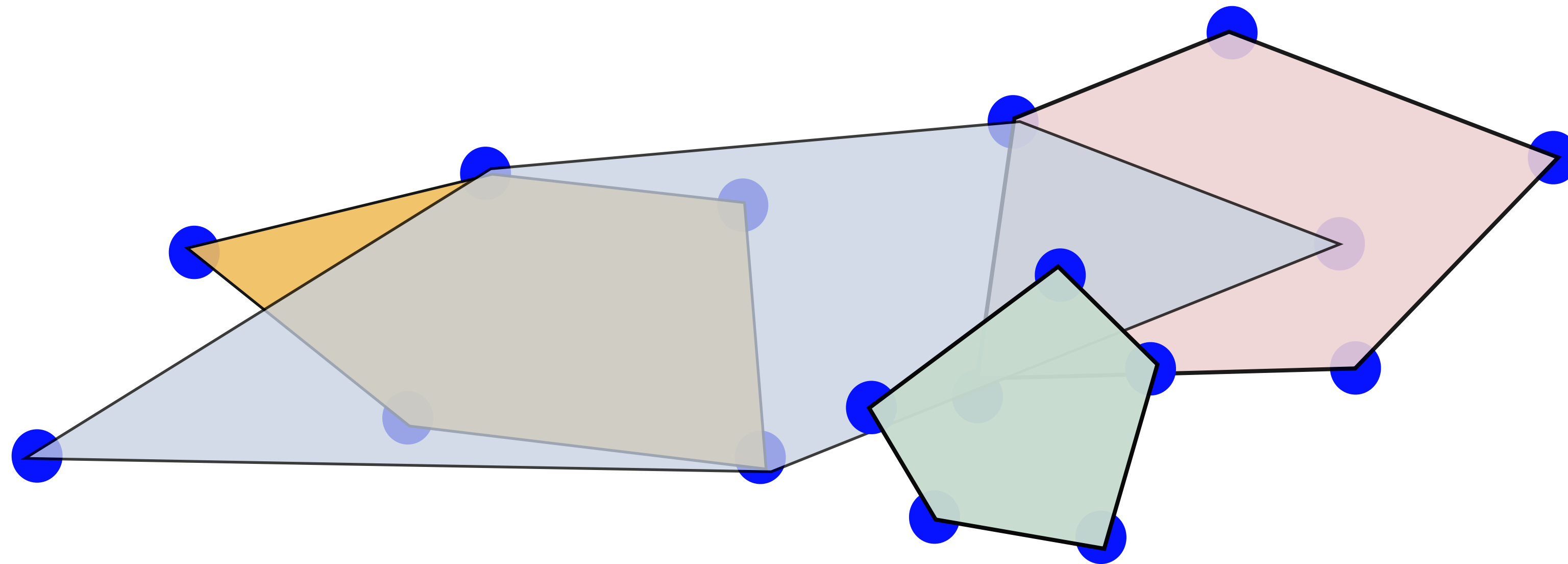
MaxSAT Verification

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We generate independently-checkable proofs for the optimal bounds up to **$N = 15$**

Therefore we verify the conjecture up to **$N = 16$** :)

Automated Mathematical Discovery and Verification



Minimizing Pentagons in the Plane

Bernardo Subercaseaux, John Mackey, Marijn Heule, and Ruben Martins

Carnegie Mellon University